

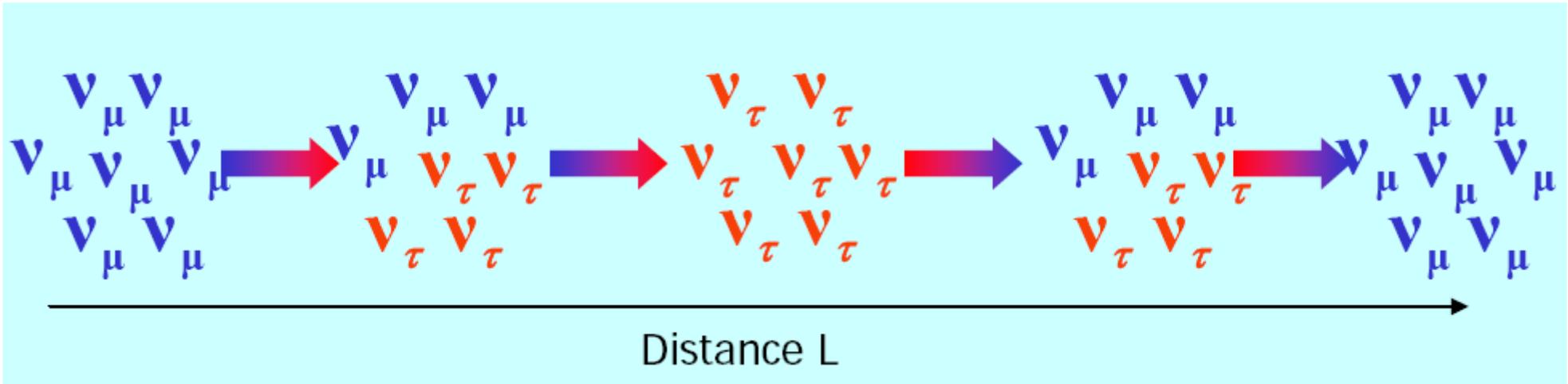
# A measurement of muon neutrino disappearance rate with MINOS

Rustem Ospanov

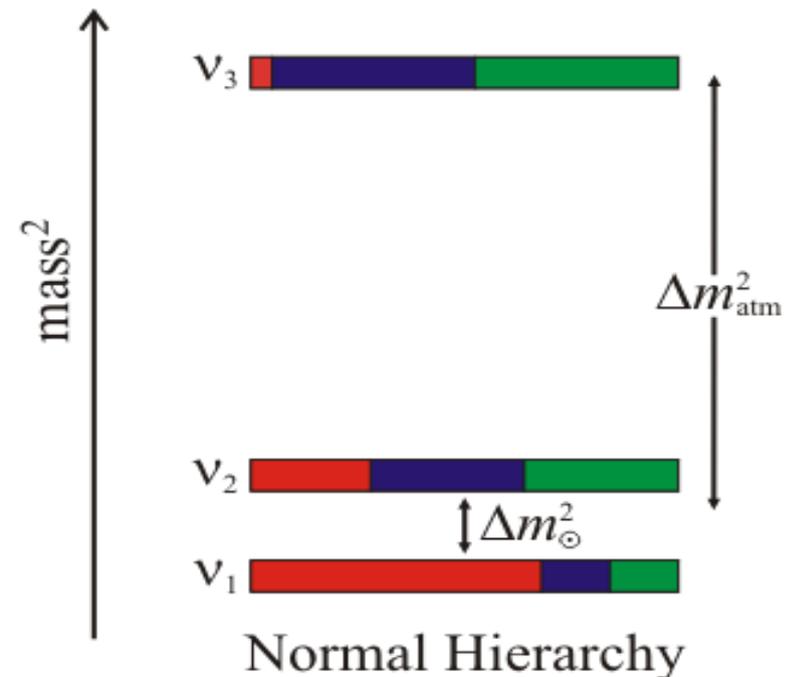
University of Texas at Austin



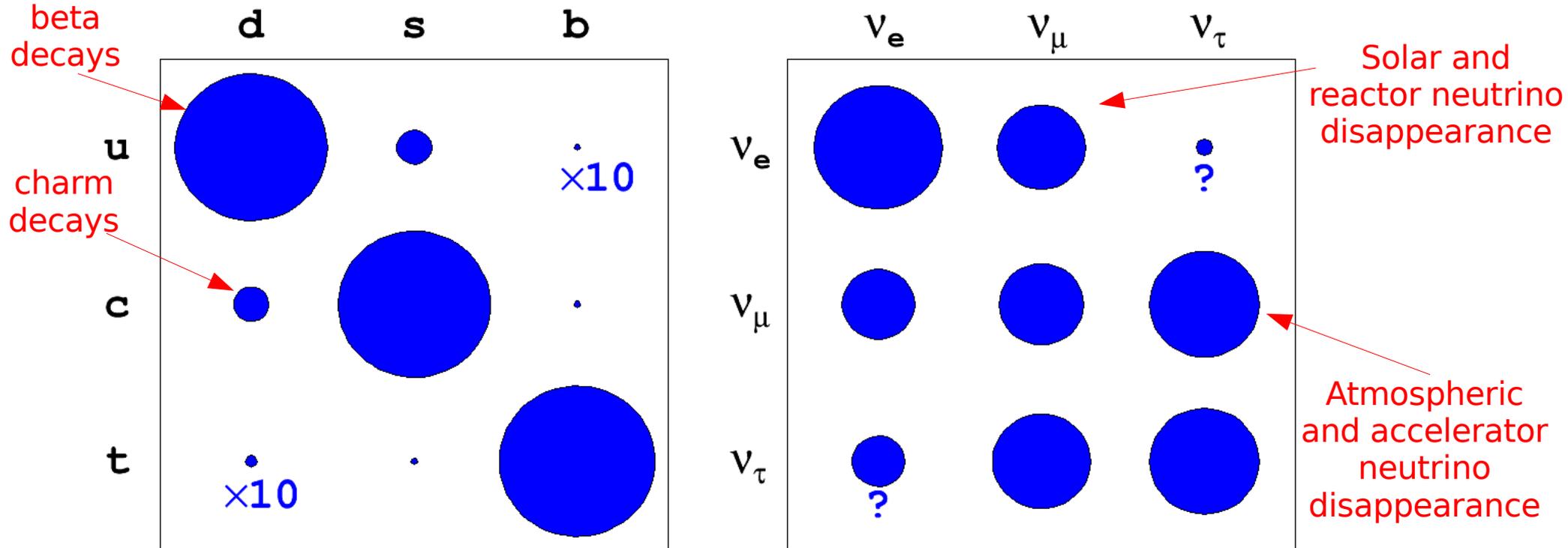
# Neutrino masses



- If neutrinos have mass then flavor and mass eigenstates can mix: quantum mechanical interference
- Amplitude depends on neutrino mass squared differences and mixing angles
- Observation of neutrinos oscillations implies at least two non-zero neutrino masses



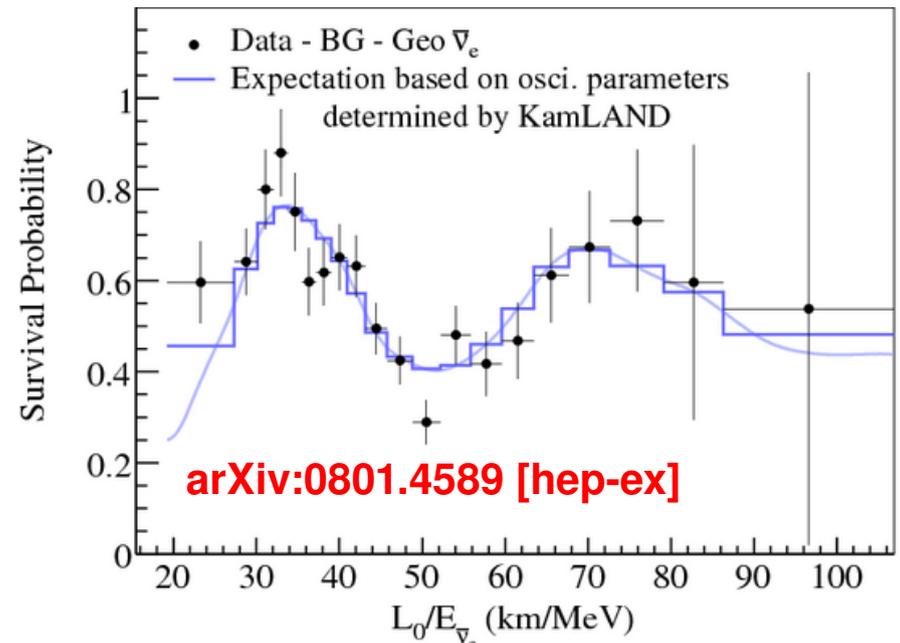
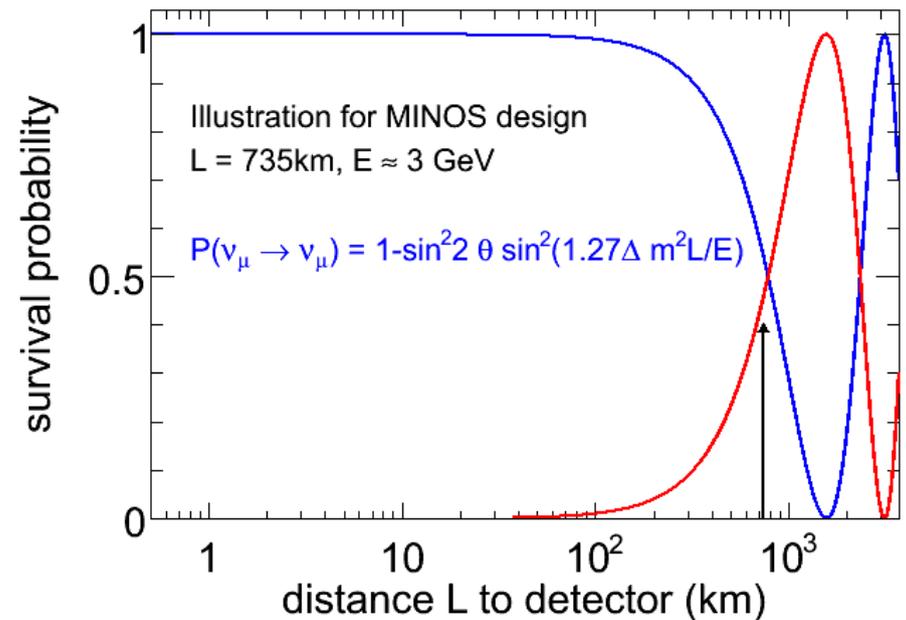
# Quark and neutrino mixing matrices



- CKM matrix gives strength of charged current W interactions to physical quark states: measured by many different experiments
- Neutrino mixing matrix is measured together with neutrino mass squared differences: depending on experiment's L/E that experiment is mostly sensitive to 2x2 mixing matrix as shown above in very simplified view of mixing matrix

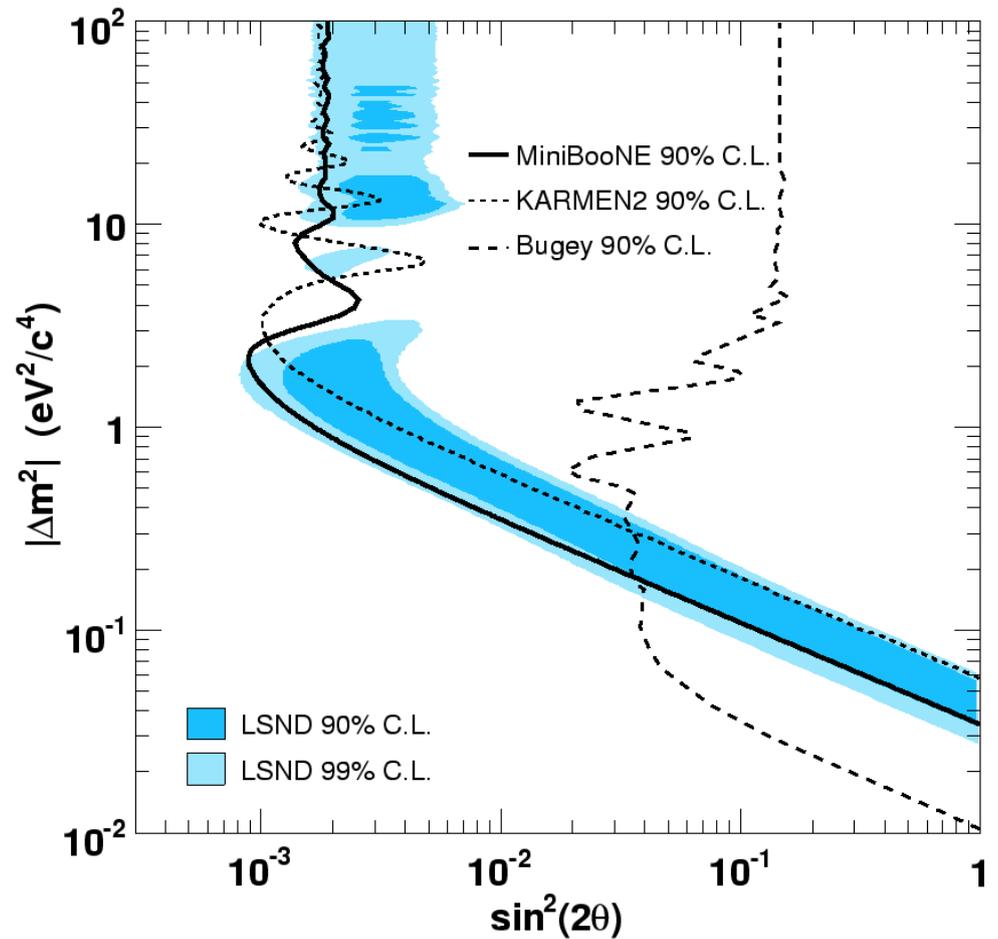
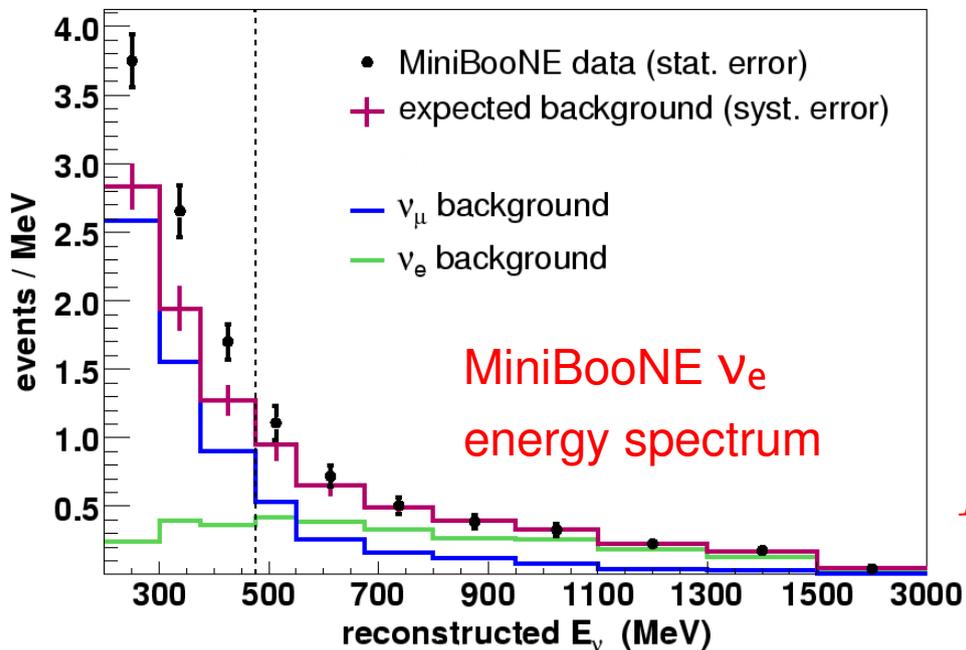
# Neutrino oscillations: disappearance

- Experiments in Homestake, Kamioka and Sudbury established deficit of  $\nu_e$  from the sun
- Total solar flux measured by SNO agrees with predicted flux
- KamLAND measured L/E form of reactor  $\text{anti-}\nu_e$  disappearance rate
- Super-K, K2K and MINOS have measured L/E form of  $\nu_\mu$  disappearance rate



# Neutrino oscillations: appearance

- Another signature of neutrino oscillation is appearance of "wrong" flavor neutrinos
- LNSD observed excess of  $\bar{\nu}_e$  in  $\bar{\nu}_\mu$  beam but MiniBOONE did not confirm this signal with  $\nu_\mu$  beam



Mixing for two neutrino flavors:

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2(1.27\Delta m^2 L/E)$$

# Main Injector Neutrino Oscillation Search (MINOS)

- Investigate oscillations with high intensity  $\nu_{\mu}$  beam
- Measure  $\nu_{\mu}$  and anti- $\nu_{\mu}$  disappearance rates
- Search for  $\nu_{\mu} \rightarrow \nu_e$  appearance
- Search for  $\nu_{\mu} \rightarrow \nu_s$  transition
- Cosmic ray physics
- Neutrino cross-section measurements

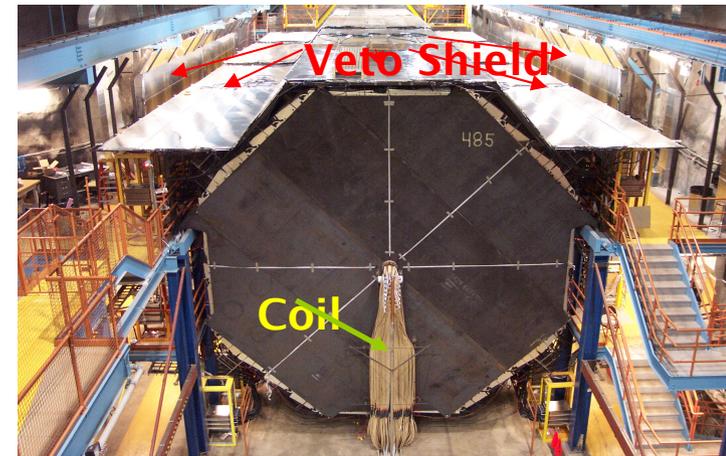
Near Detector



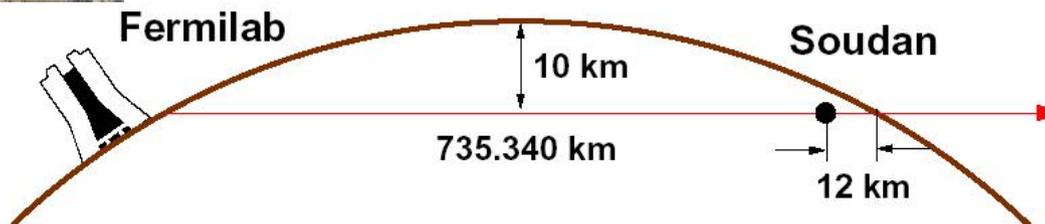
980 tons  
1 km from target  
100 m deep

Two functionally identical magnetized iron-scintillator calorimeters

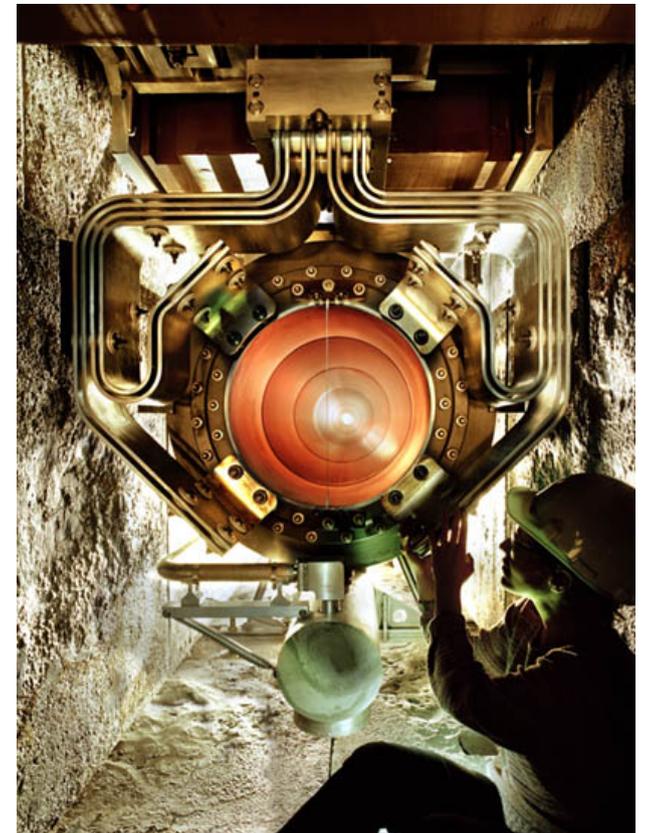
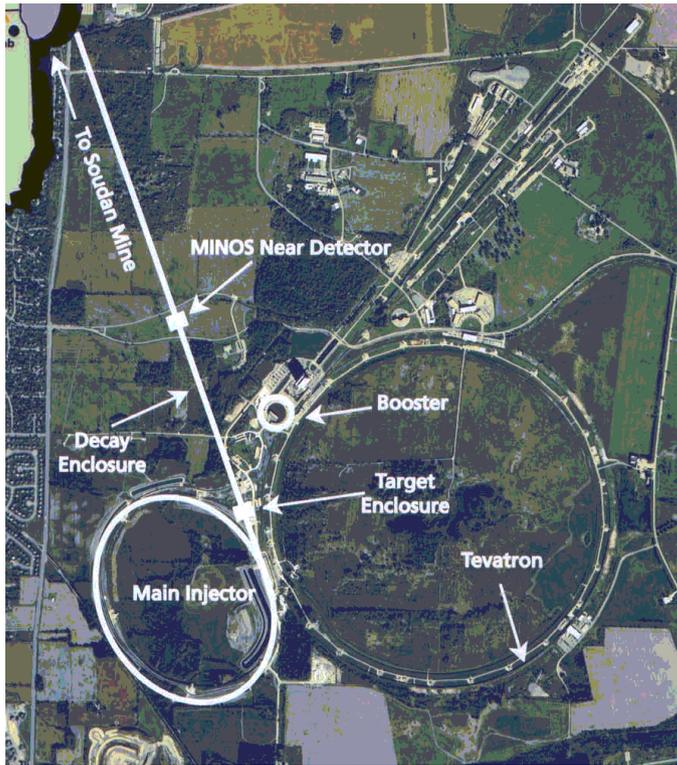
Far Detector



5400 tons  
735 km away  
700 m deep

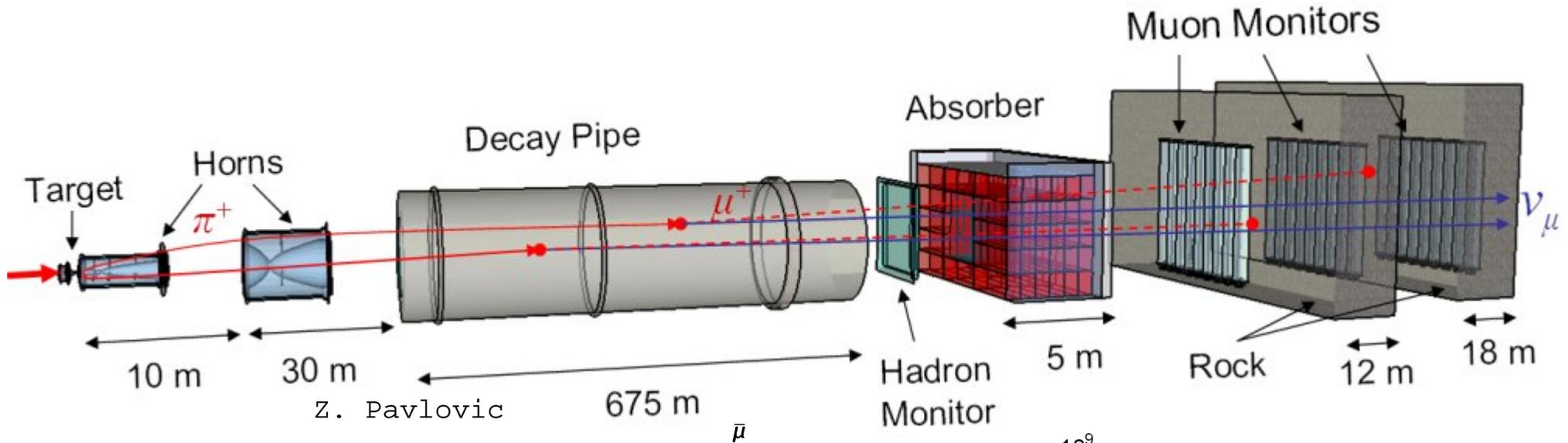


# Neutrinos at Main Injector (NuMI)



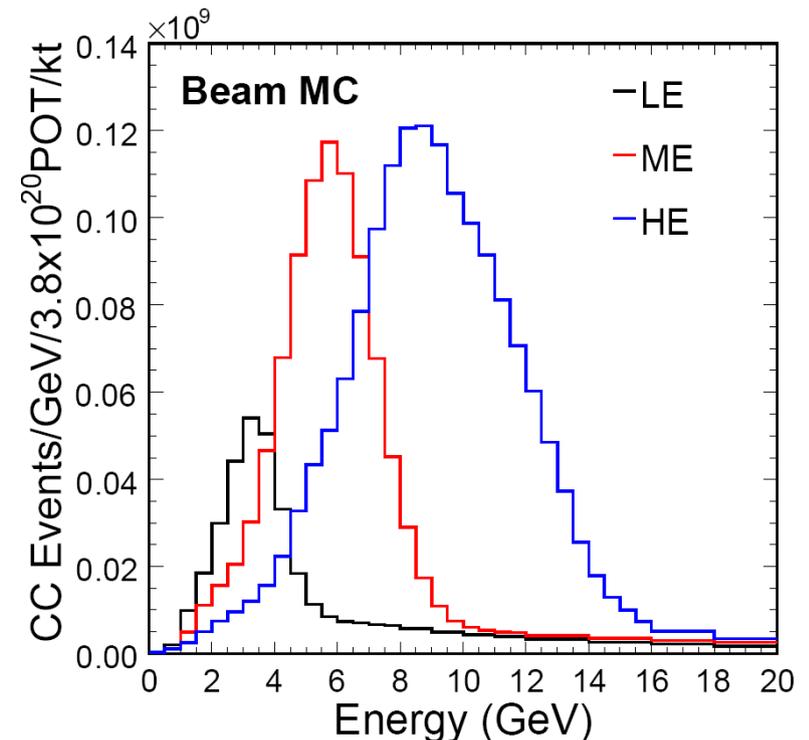
- Advance neutrino beamline uses 120GeV/c Main Injector protons
- $2.4 \times 10^{13}$  protons/spill every  $\sim 2.4$ s
- $\sim 0.2$  MW average beam power

# NuMI beamline



- Water cooled graphite target
- 2 pulsed parabolic magnetic horns
- Hadron and muon monitors
- Change beam flux by changing relative positions of target and horns
- Mostly run in Low Energy (LE) beam

$$\nu_\mu = 93\%, \bar{\nu}_\mu = 6\%, \nu_e + \bar{\nu}_e = 1\%$$



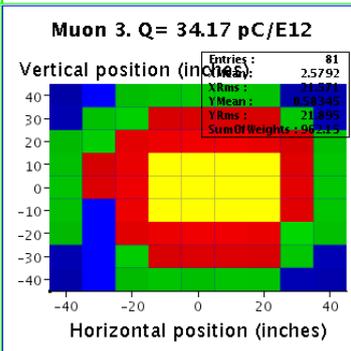
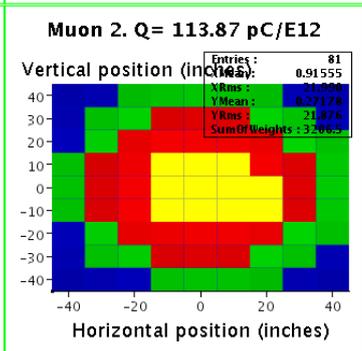
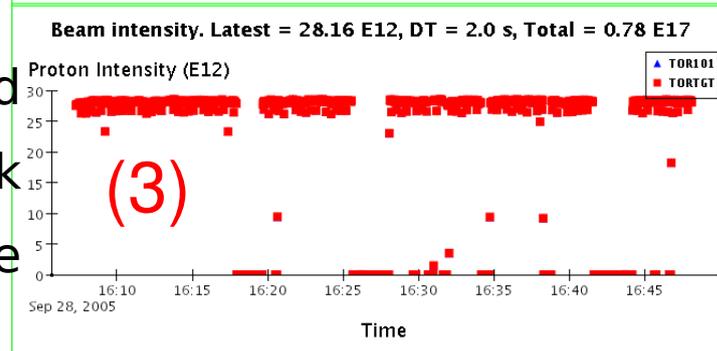
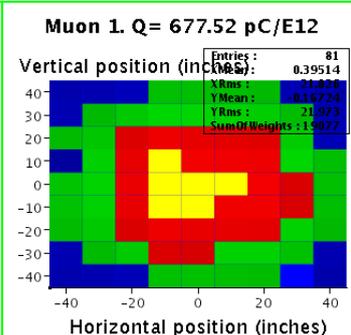
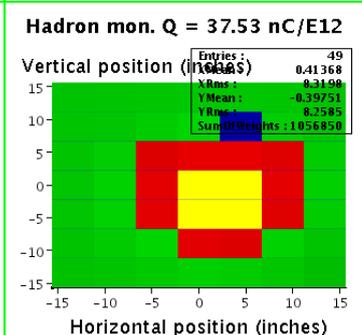
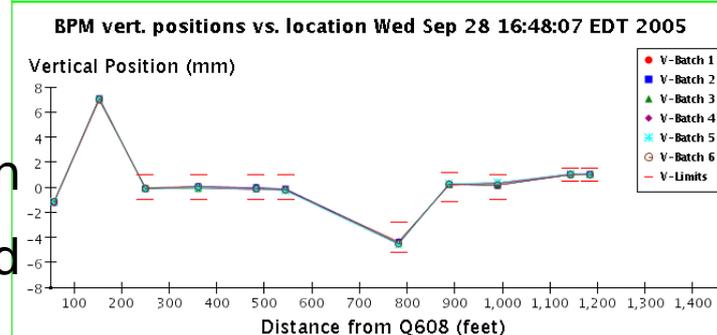
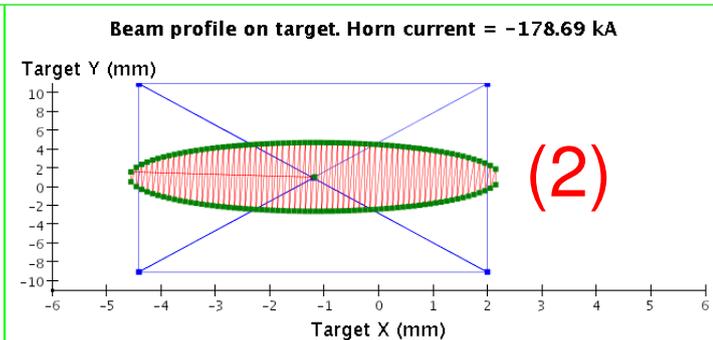
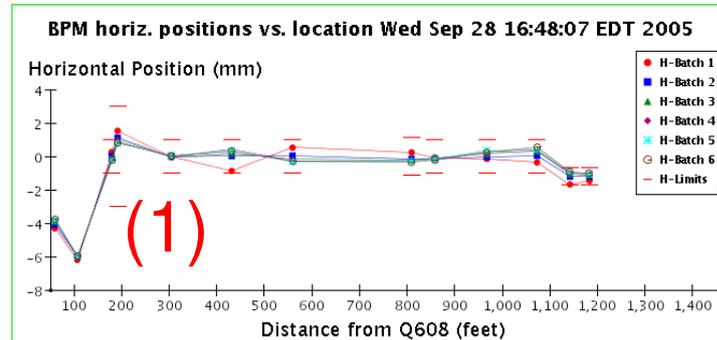
# Monitoring NuMI beamline

• Each spill we monitor:

- 1) Beam trajectory
- 2) Beam position and profile at target
- 3) Intensity
- 4) Hadron and muon monitors

• This information is then used offline to select good beam quality spills

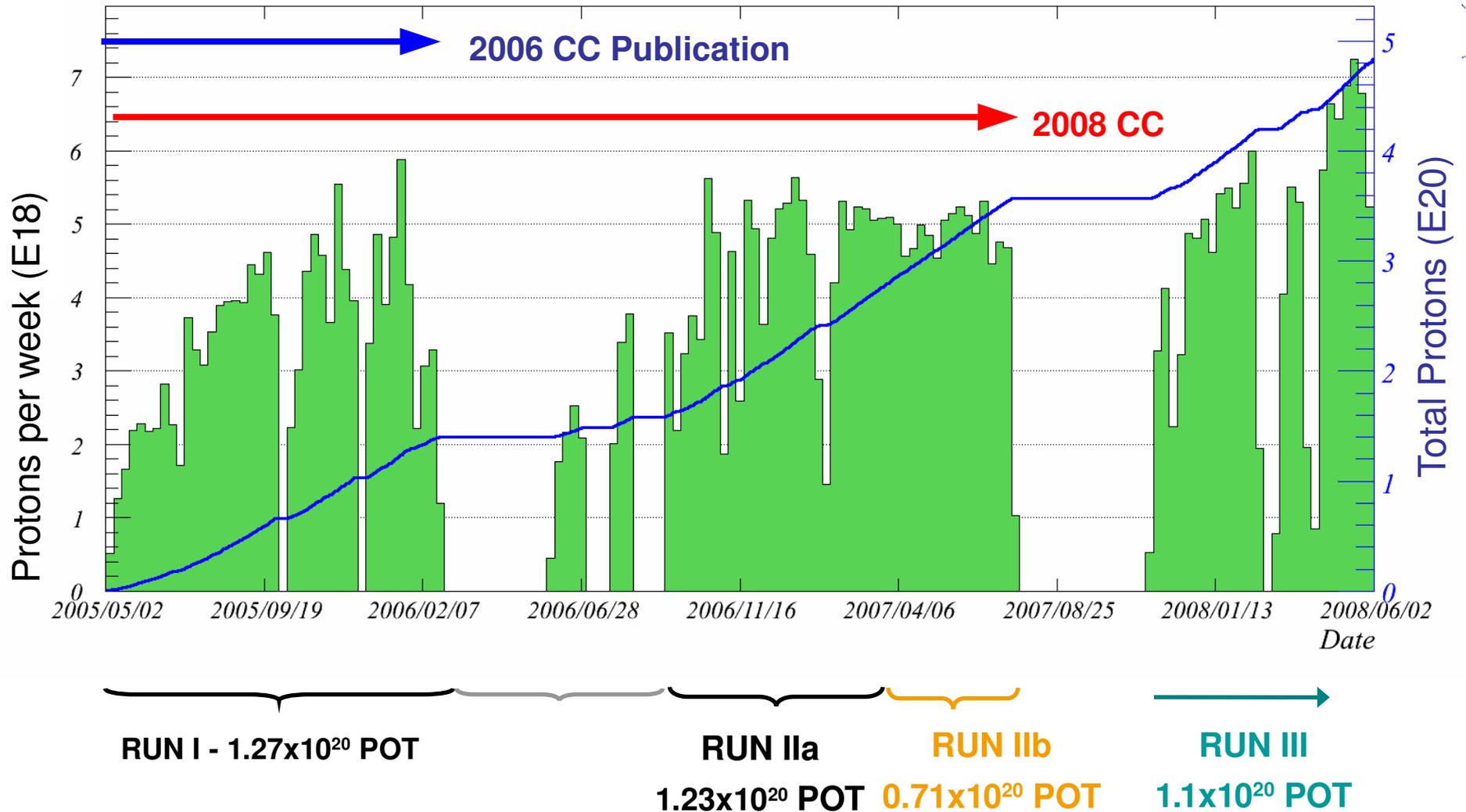
• Use GPS, gyroscopes and muon monitors to check the beam alignment to the far detector



(4)

# NuMI Beam Performance

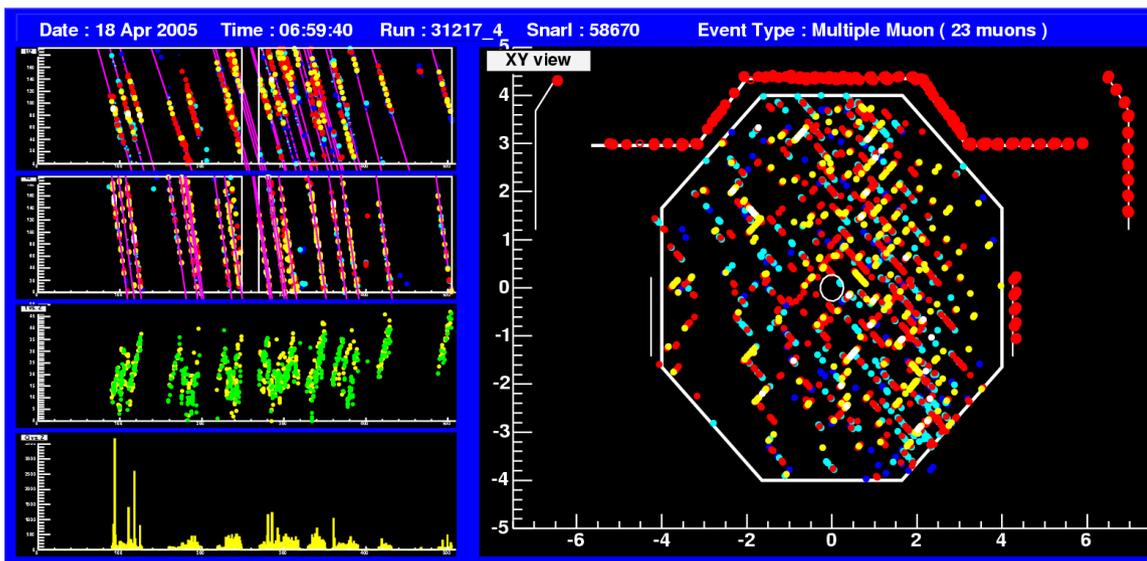
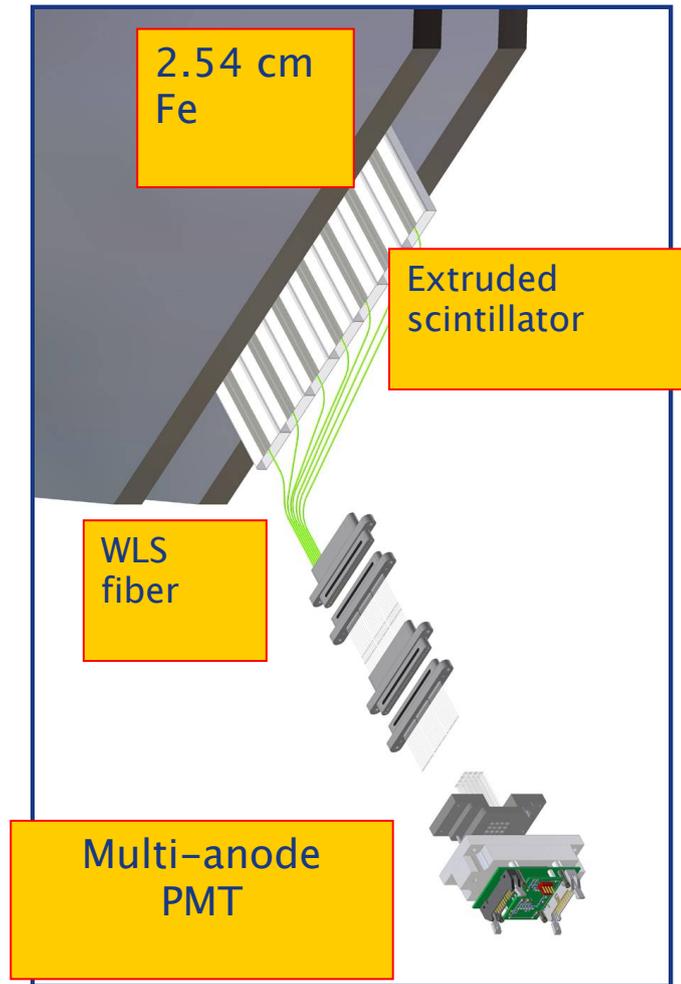
Total NuMI Protons to Monday, June 2nd, 2008



Many thanks to Fermilab staff for the great beam performance!

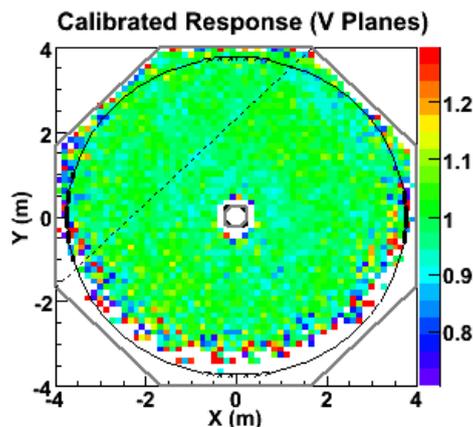
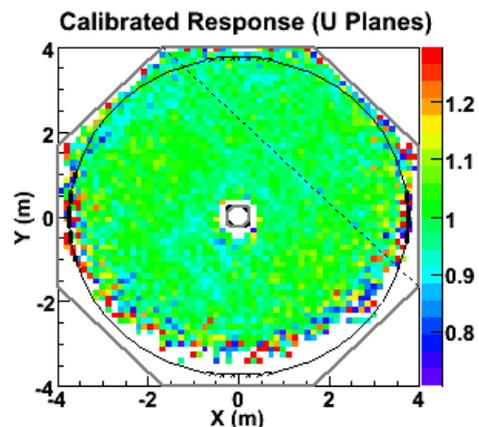
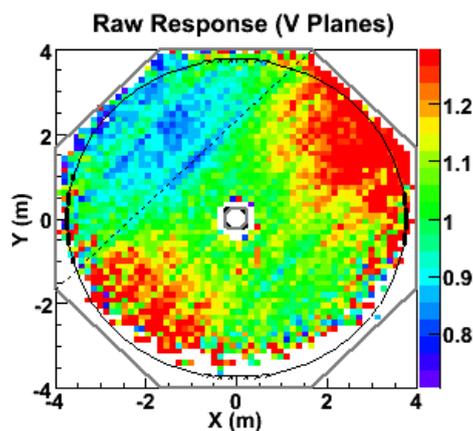
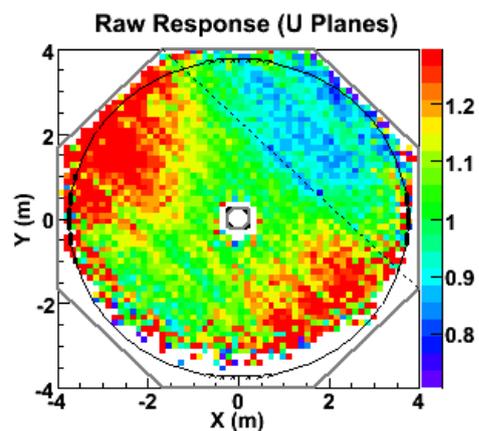
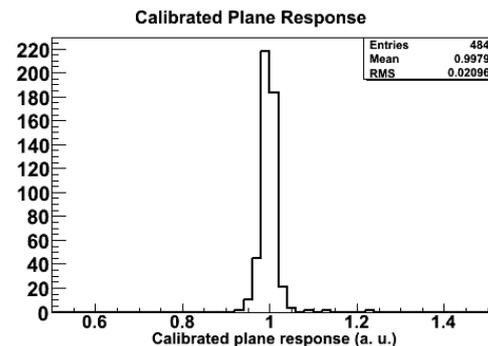
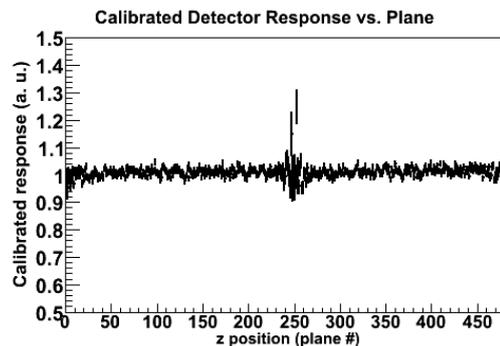
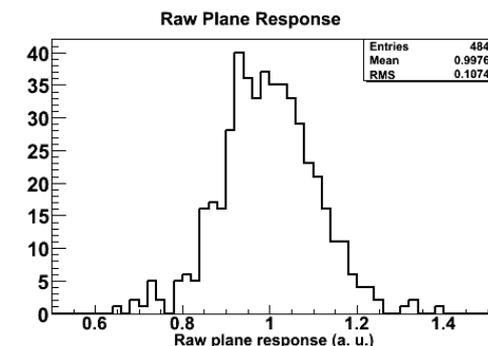
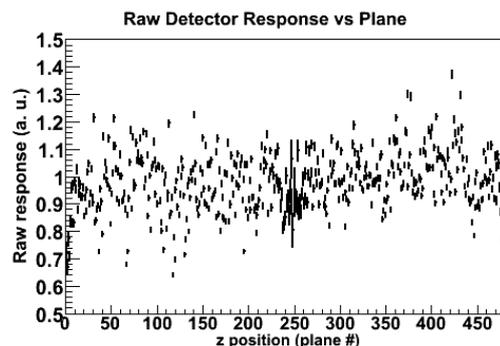
# MINOS detectors

- MINOS uses far/near ratio to reduced errors
- Two detectors use same steel and scintillator
- Toroidal magnetic field with  $\sim 1.2\text{T}$
- Orthogonal strip orientation in alternating planes allows 3d tracking
- Shower energy = sum of scintillation light
- Muon momentum = range or curvature



# MINOS calibration

- Detectors are calibrated using LED light injection system and cosmic ray muons
- Absolute calibration with stopping muons

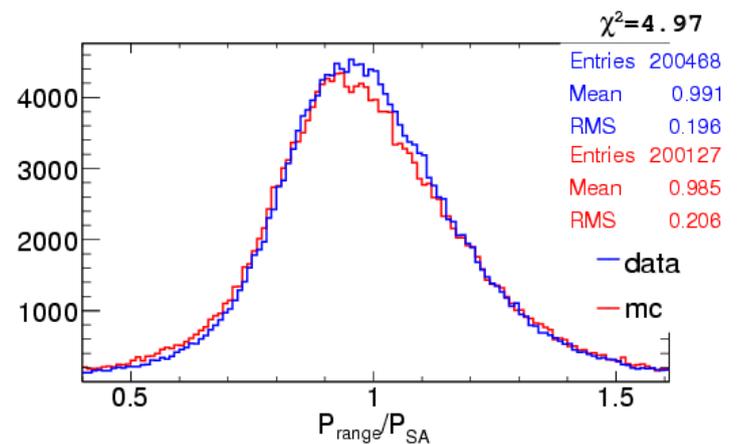
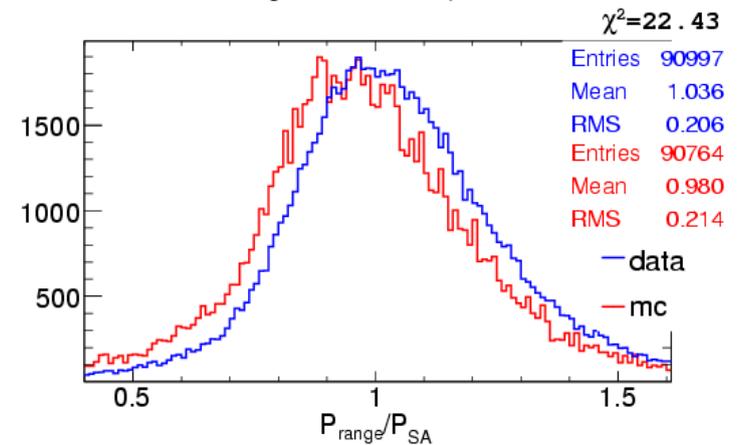
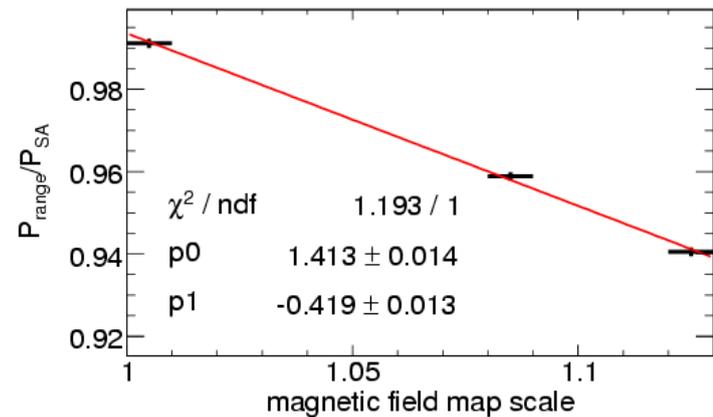
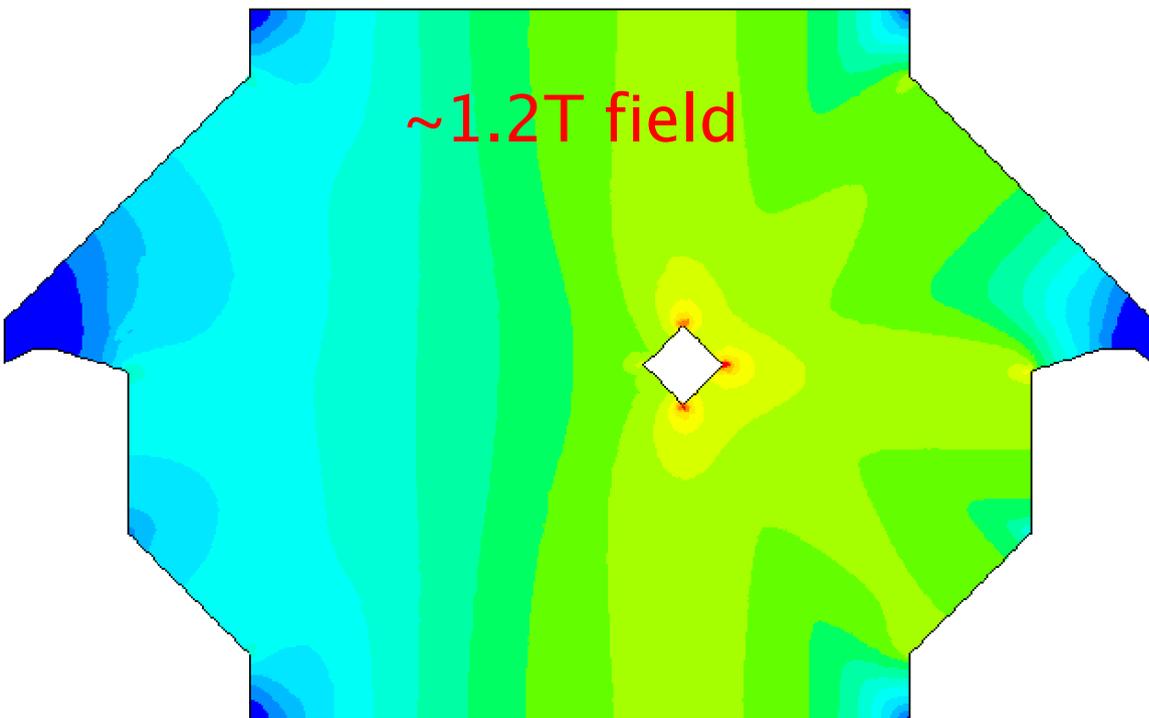


Energy scale calibration:

- **3.1 % absolute error in ND**
- **2.3 % absolute error in FD**
- **3.8 % relative**

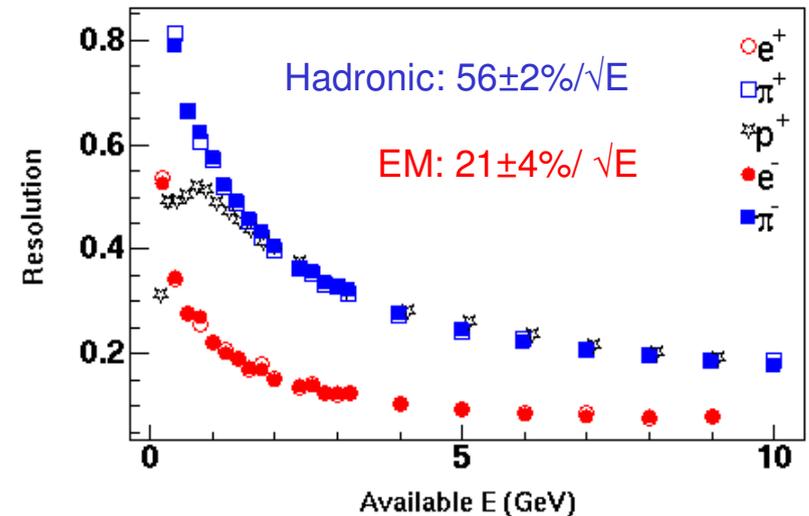
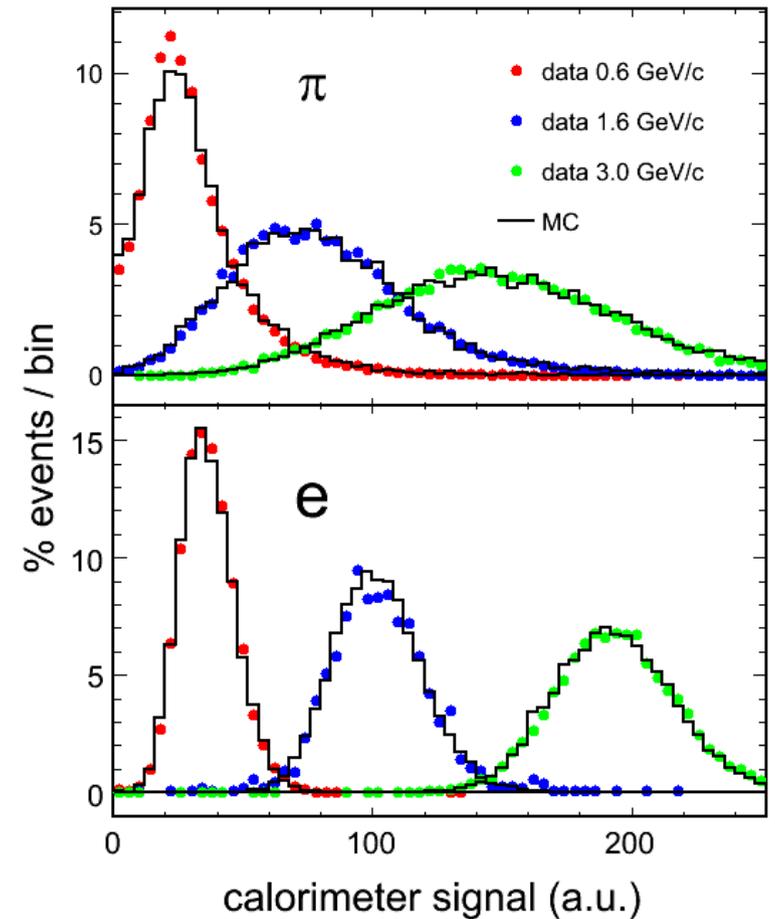
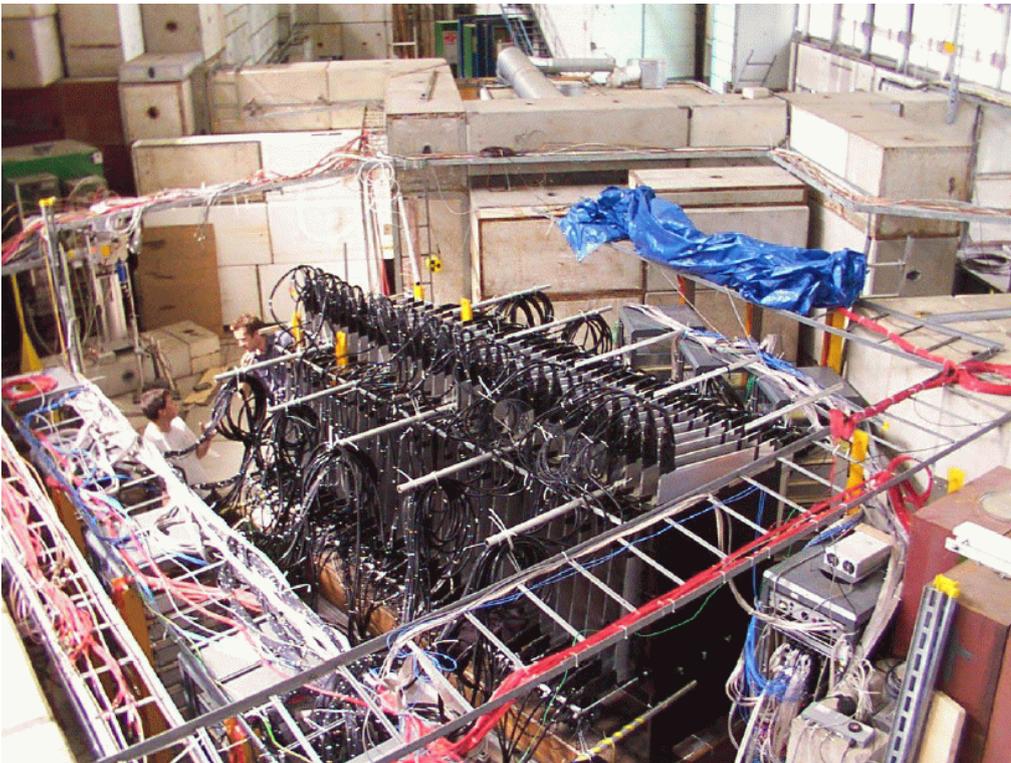
# MINOS magnetic field

- MINOS measured magnetic properties of steel and used that data for finite element simulation of the field map
- Calibrate overall field strength by comparing range and curvature of stopping muons



# Caldet

- 60-plane 'micro-MINOS'
  - has taken data at T7 & T11 test beam lines at CERN during 2001, 2002, 2003
- Instrumented with both Near and Far Detector electronics
  - To provide cross-calibrations between two detectors



# Charged Current Analysis



*Precision  
measurement of  
 $\Delta m^2$  and  $\sin^2(2\theta)$*

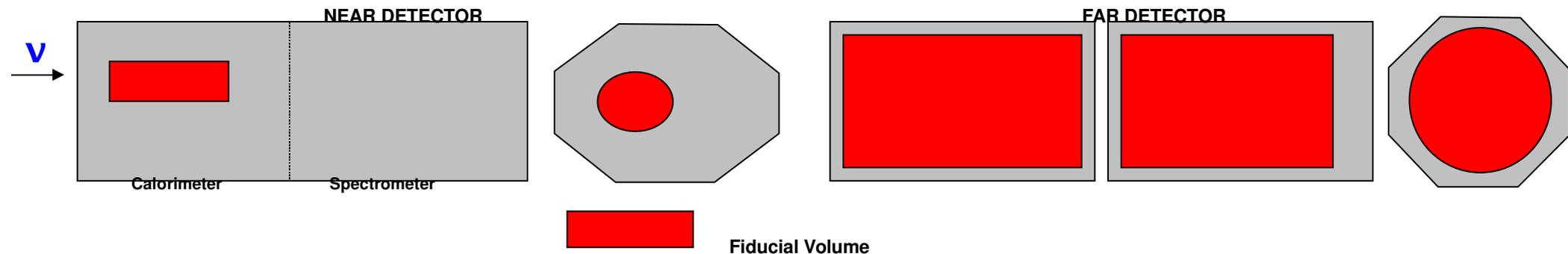
*Testing the oscillation  
hypothesis*

# CC Event Selection

$\nu_\mu$  CC-like events are selected in the following way:

Event must contain at least one good reconstructed track

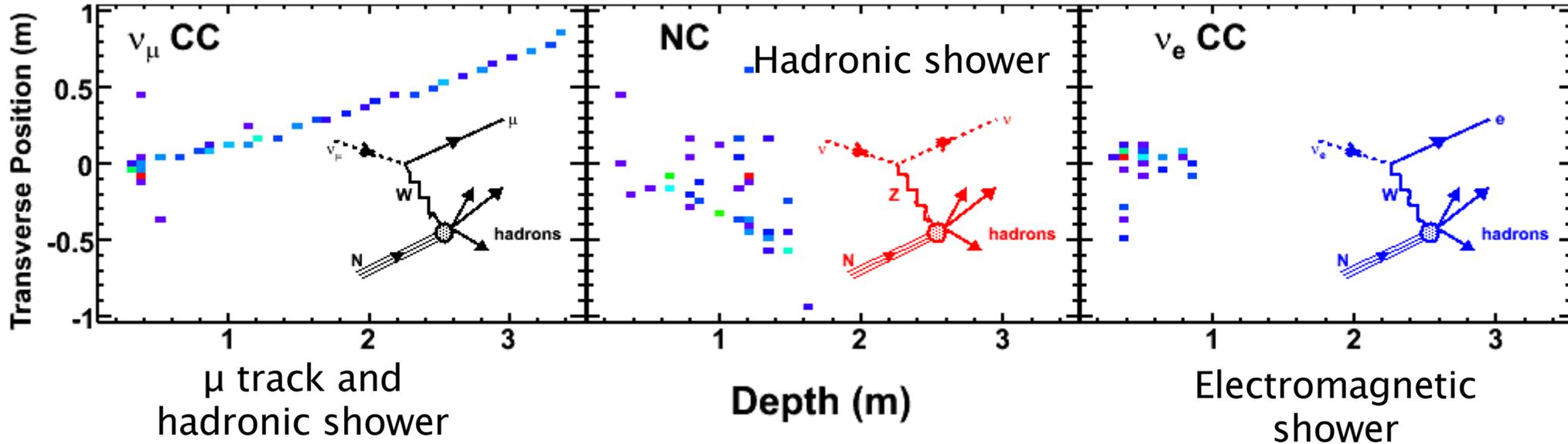
The reconstructed track vertex should be within the fiducial volume of the detector:



The fitted track should have negative charge (selects  $\nu_\mu$ )

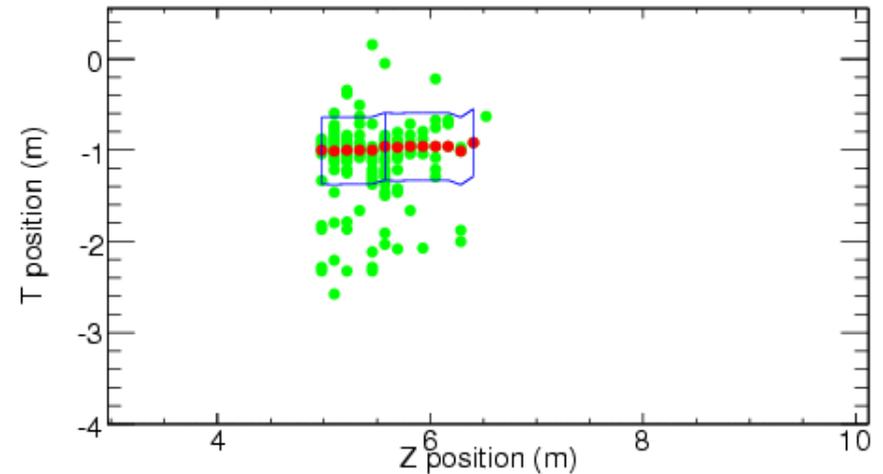
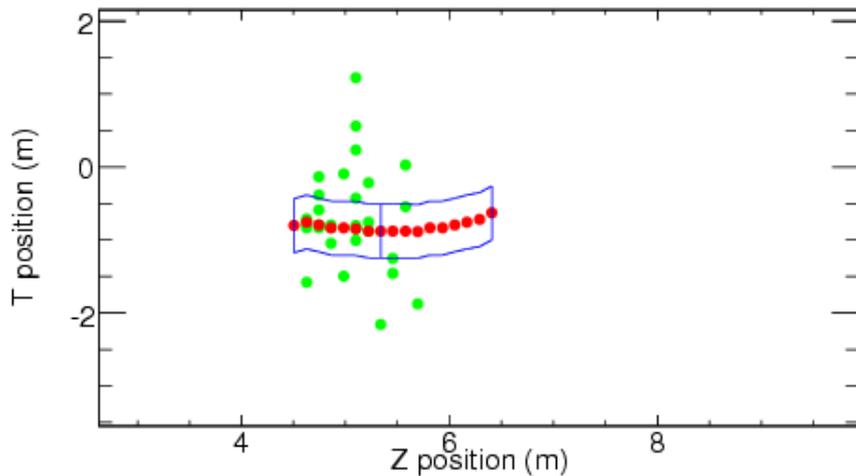
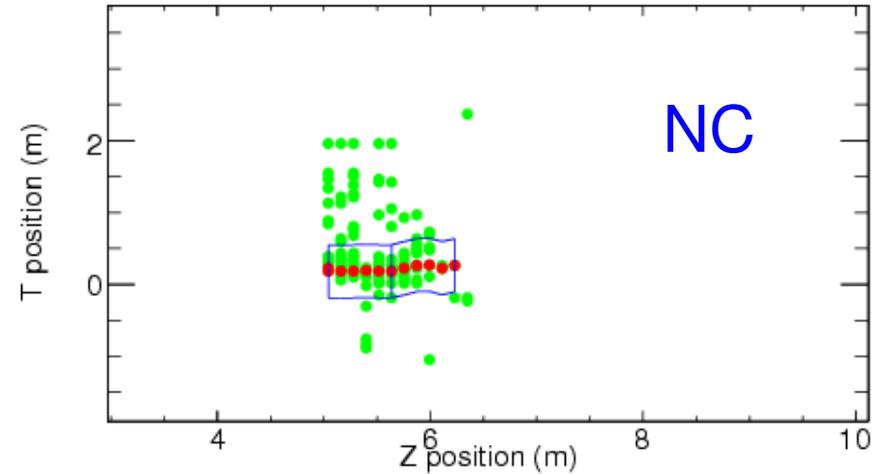
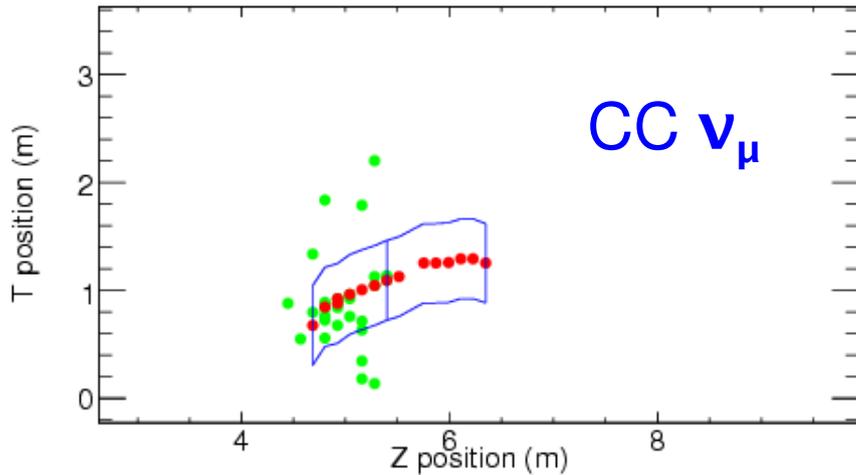
Cut on multi-variate Particle ID parameter which is used to separate CC and NC events.

# Event classification



- Three types of interactions:
  - Charged current  $\nu_\mu$  and anti- $\nu_\mu$
  - Neutral current interactions
  - Charged current  $\nu_e$
- Use pattern of hits and pulse height of energy depositions to select charged current  $\nu_\mu$  events

# Identifying muon track

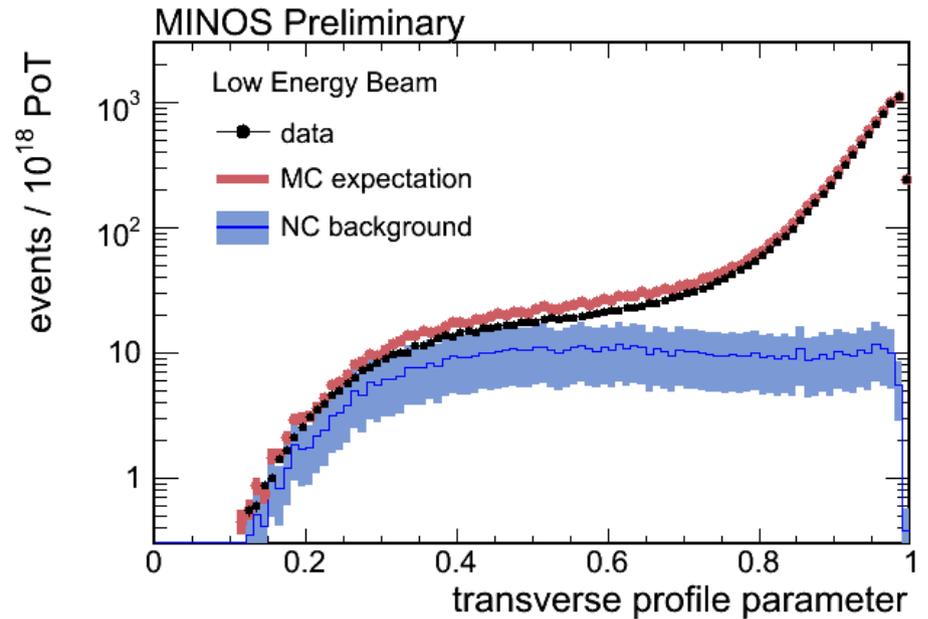
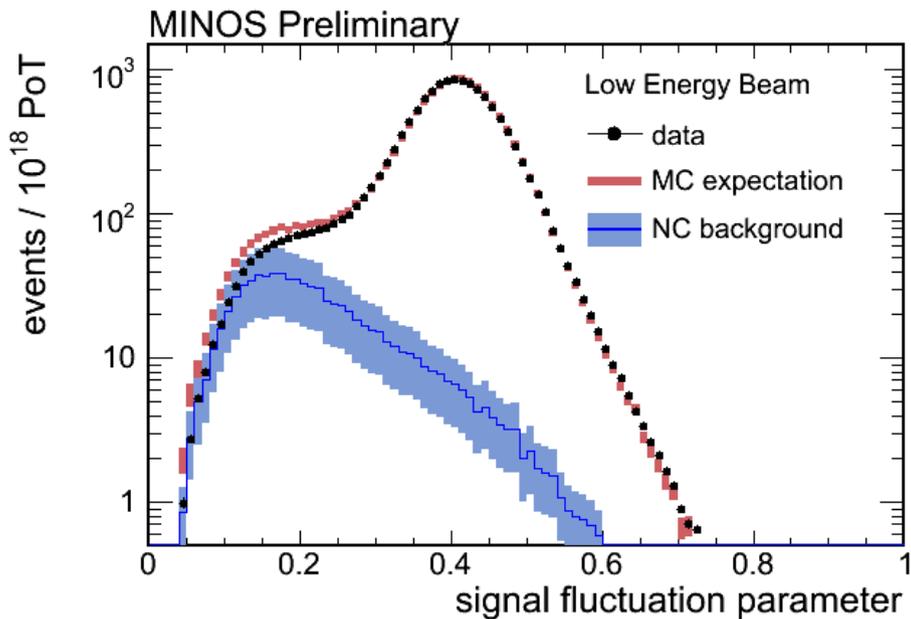
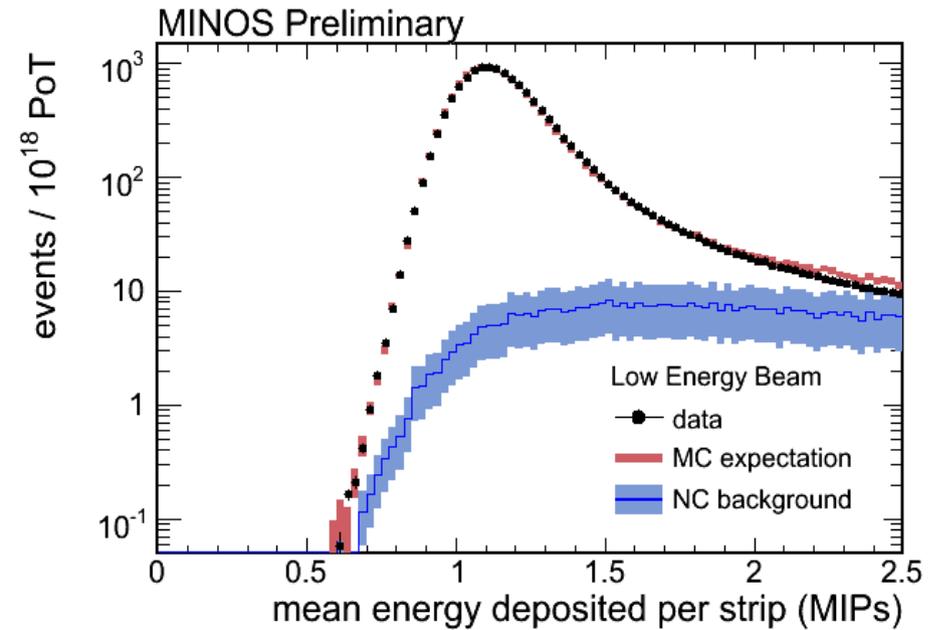
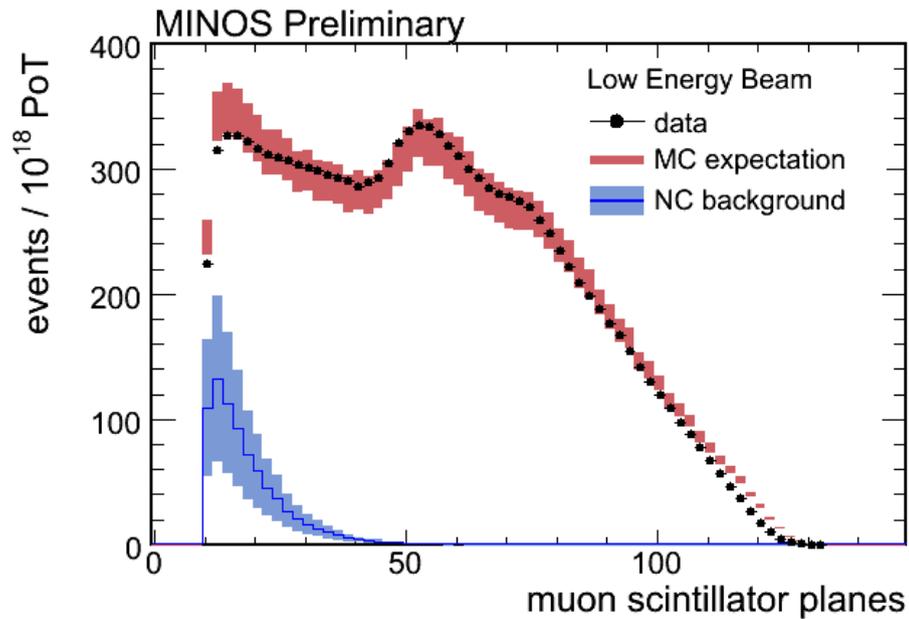


- Ignore track planes near vertex that contain shower hits
- Construct variables using hits on and around track
- Compare thousands of combinations to optimize selection

# Event classification

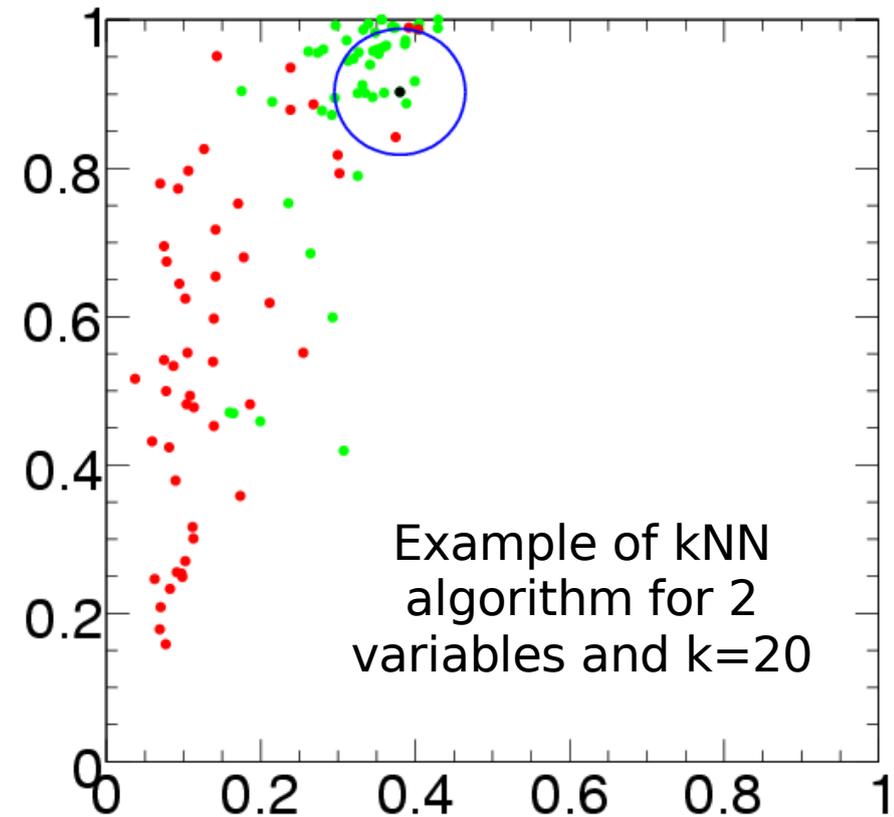
- Create 4 track variables to identify muon tracks:
  - Number of steel planes crossed
  - Mean of scintillator strips' pulse height
    - ~ mean of Landau distribution for muons
  - Fluctuation in scintillator strips' pulse height
    - ~ width of Landau distribution for muons
  - Transverse track profile
    - ~ single muon propagation versus multi-particle hadronic shower
- These 4 variables are inputs to the k-Nearest Neighbour algorithm (kNN)

# Event classification variables



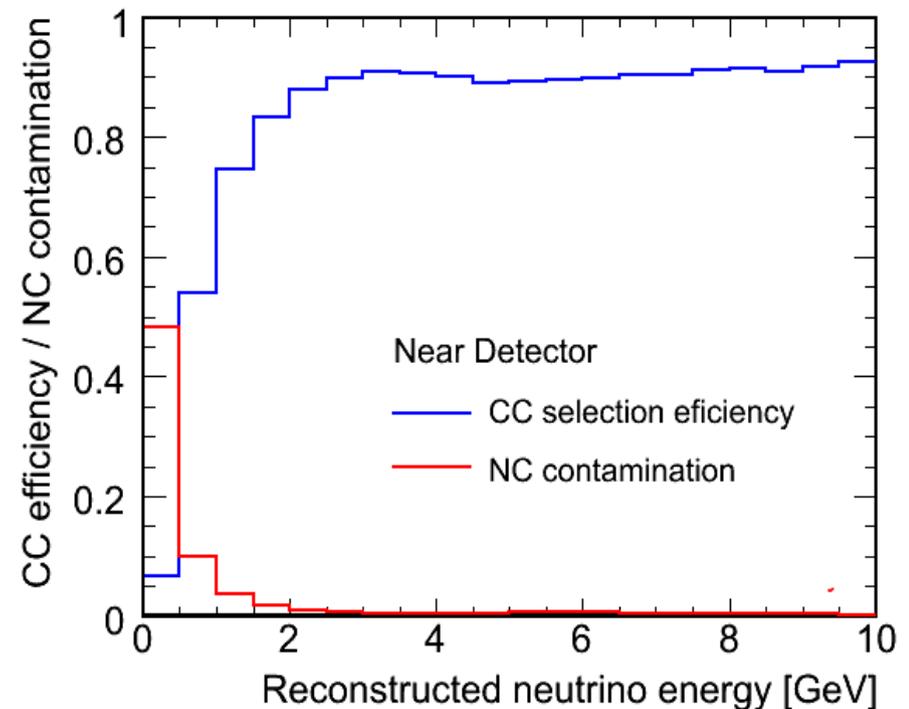
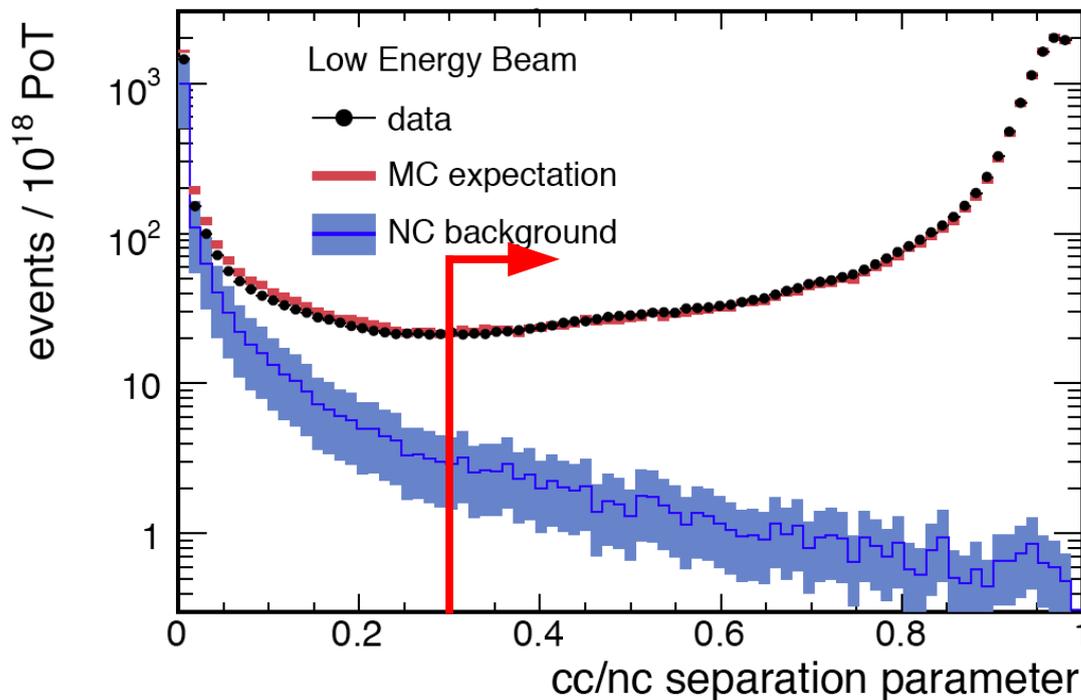
# k-Nearest Neighbour algorithm

- For general kNN algorithm populate multi-dimensional parameter space with **signal** and **background** events
- Search for k neighbours and classify event by majority vote of k-neighbours
- kNN algorithm must be fast to search through thousands Monte-Carlo events (500k for MINOS)
- The algorithm's code is based on paper by J.H. Freidman, J.L. Bentley and R.A. Finkel and is added to TMVA/ROOT



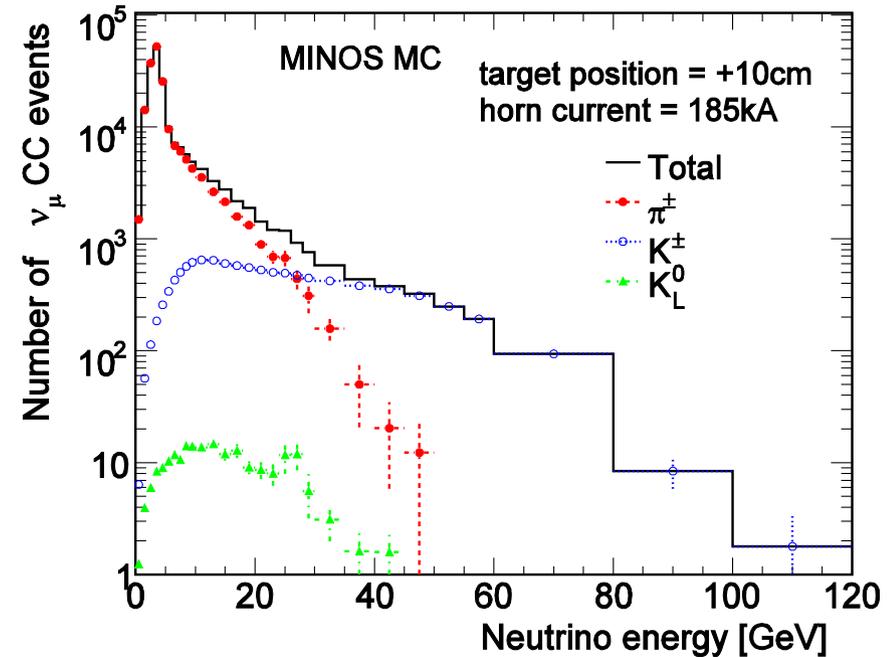
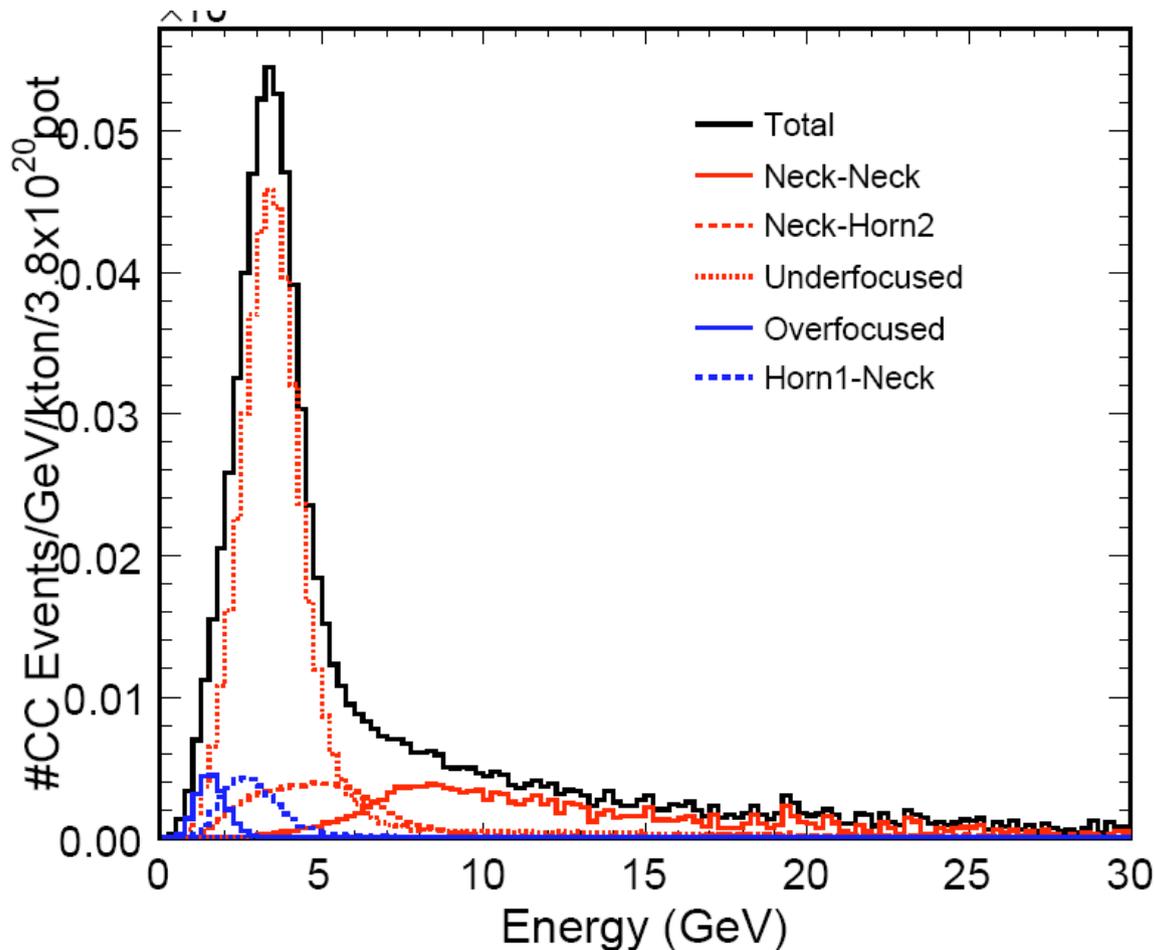
# Charged current $\nu_\mu$ event selection

- Select events using kNN variable: fraction of true Monte-Carlo muon muon tracks that are similar to this track
- NC background is  $<0.6\% \pm 0.3\%$  (data driven estimate)
- Energy threshold is  $\sim 0.5$  GeV or  $\sim 24$  cm of steel



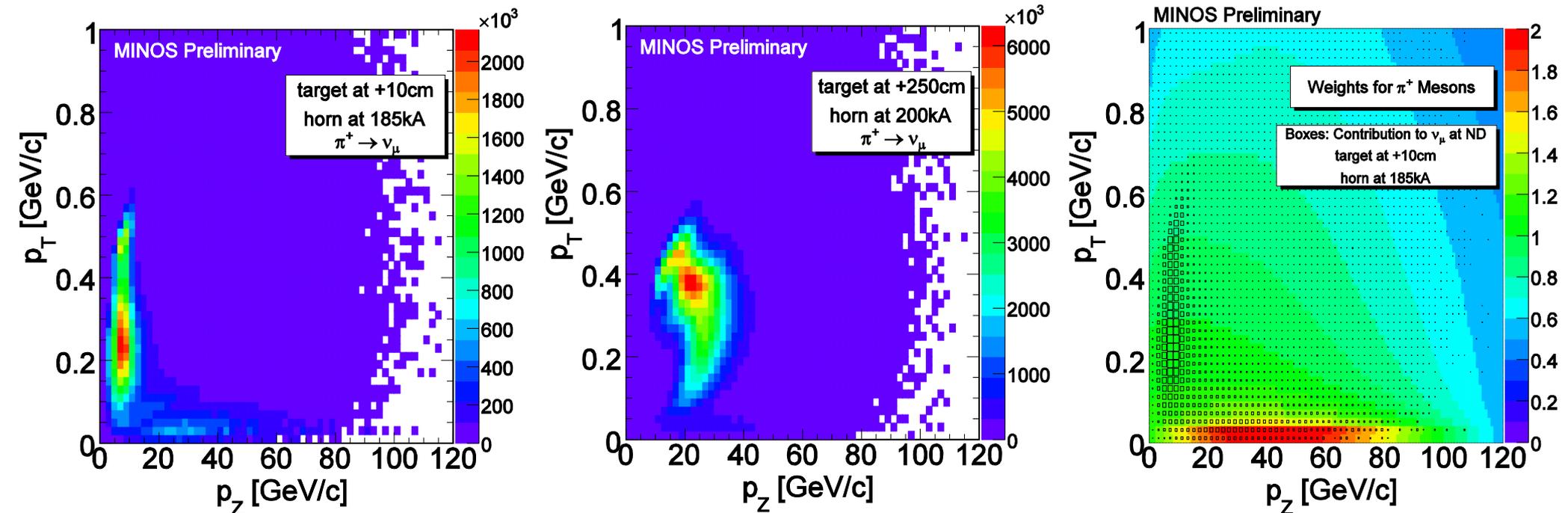
# NuMI Flux prediction

- Fluka05 simulation of 120GeV protons hitting graphite target
- Geant3 propagates secondary pions and kaons through magnetic horns, target assembly elements and decay pipe



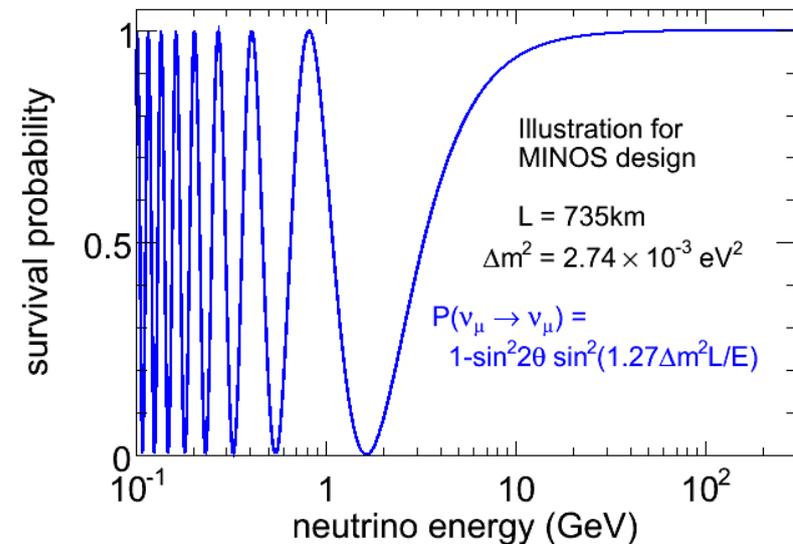
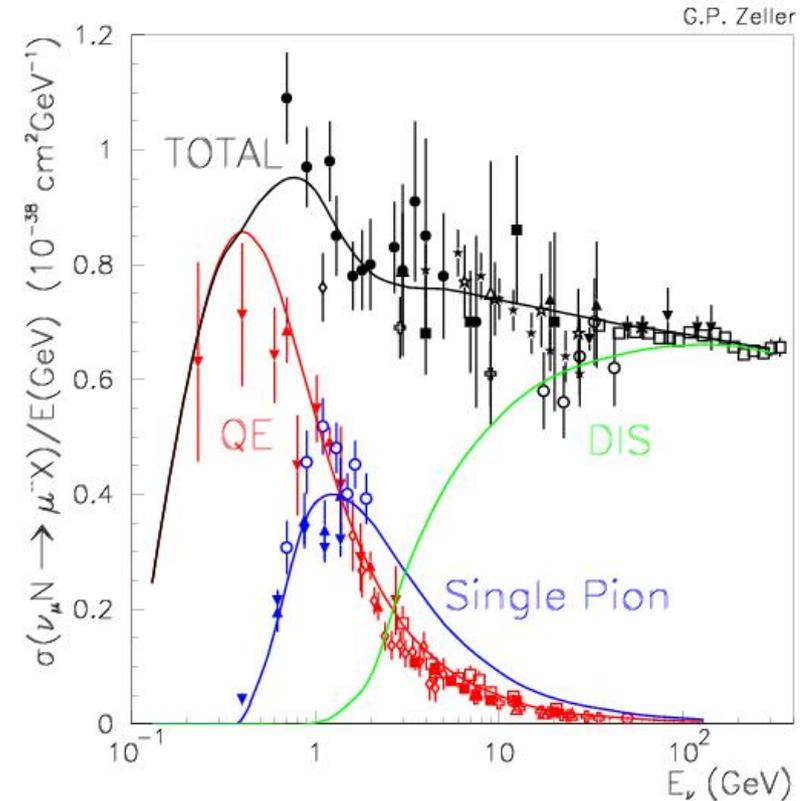
# NuMI Flux prediction

- Distribution of pions's  $p_t$  and  $p_z$  momentum off the target
- Flux fit change weights in  $p_t$ - $p_z$  plane for pions and kaons
- Multiple beam configuration have sensitivity to different regions of  $p_t$ - $p_z$  space

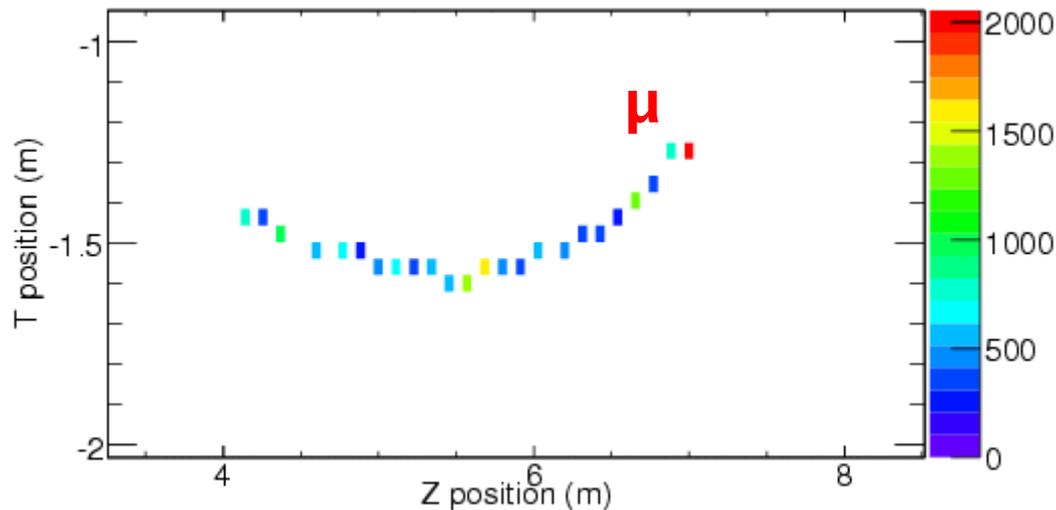
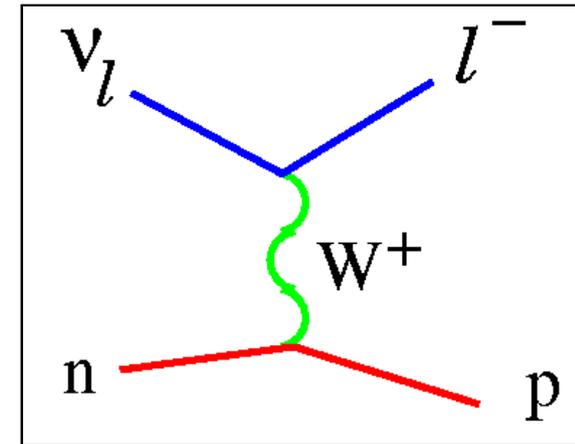
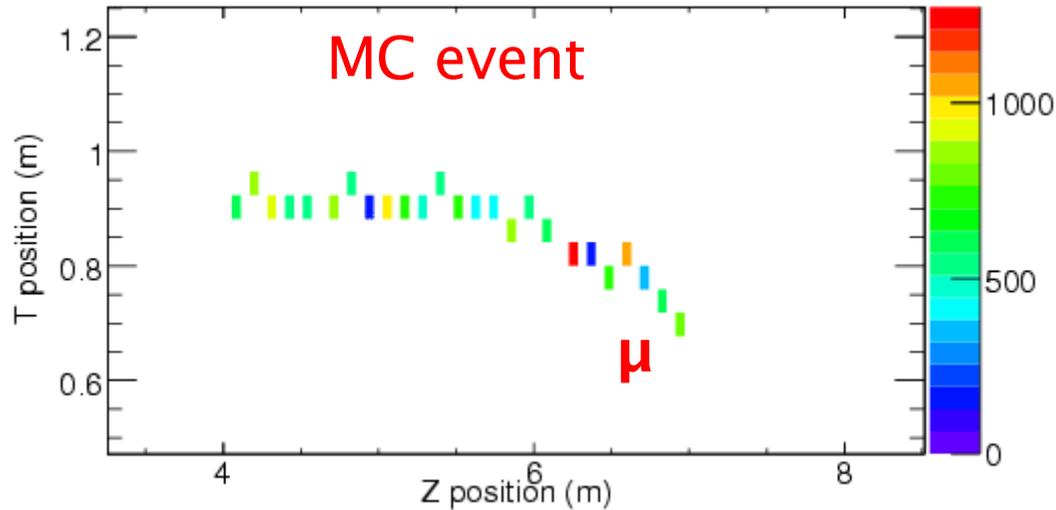


# Neutrino CC cross-sections

- Cross-section and hadronization models are tuned to world  $\nu$  data
- 3 types of charged current  $\nu_\mu$  interactions:
  - **Quasi-elastic scattering:** target proton stays intact
  - **Single pion production:** production of delta resonance that decay to single pion
  - **Deep inelastic scattering:** multiple hadronic final states, generates hadronic shower



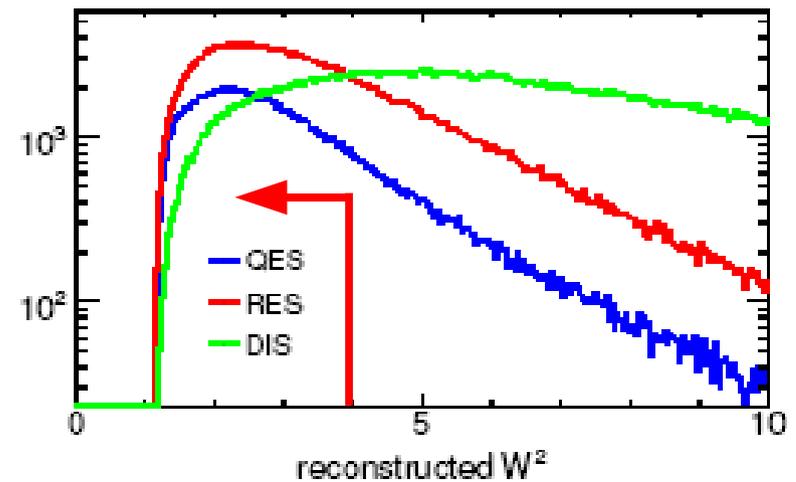
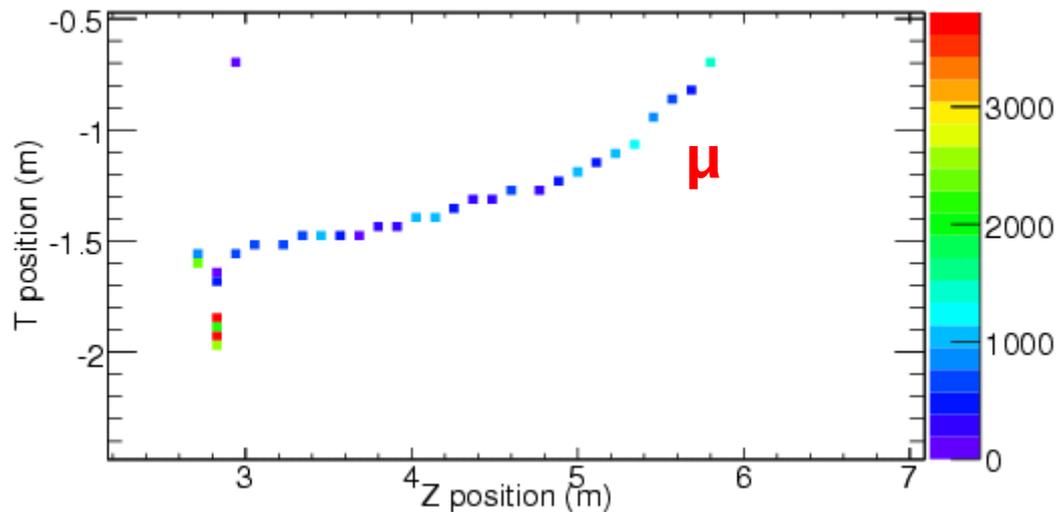
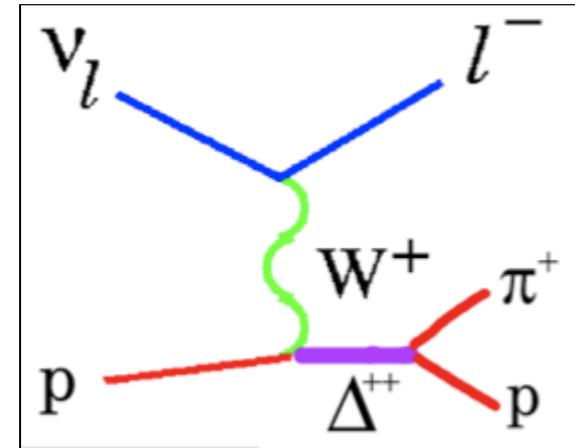
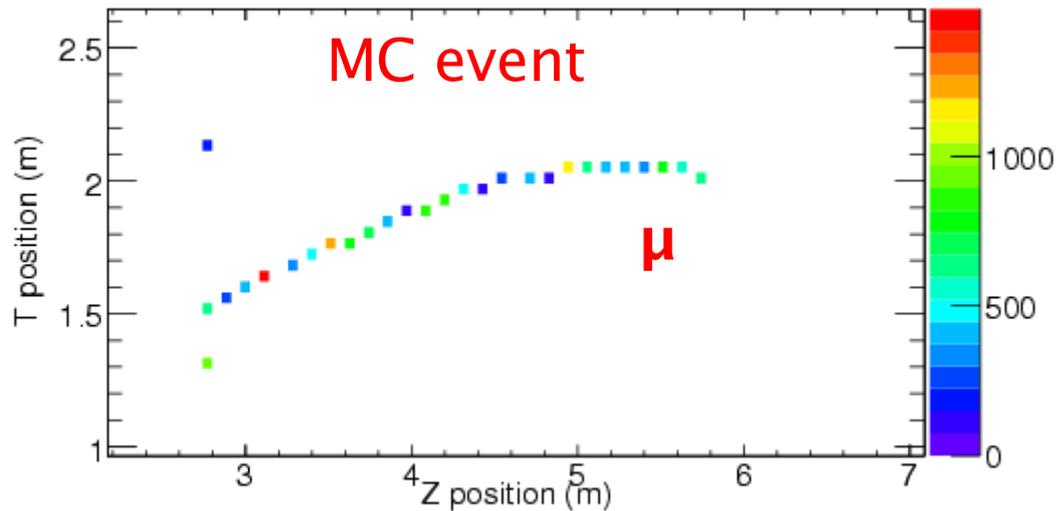
# Quasi-elastic scattering



- Apply cut on shower energy:  
 $E_{had} < 150 \text{ MeV}$
- MINOS steel is 2.54cm thick
- Most protons range out in steel
- Use muon momentum and angle to reconstruct neutrino energy

$$E_{QES} = \frac{(M_p - E_{bin})E_\mu + \frac{1}{2}(M_p^2 - (M_p - E_{bin})^2 - m_\mu^2)}{M_p - E_{bin} - E_\mu + P_\mu \cos\theta_\mu}$$

# Resonance production

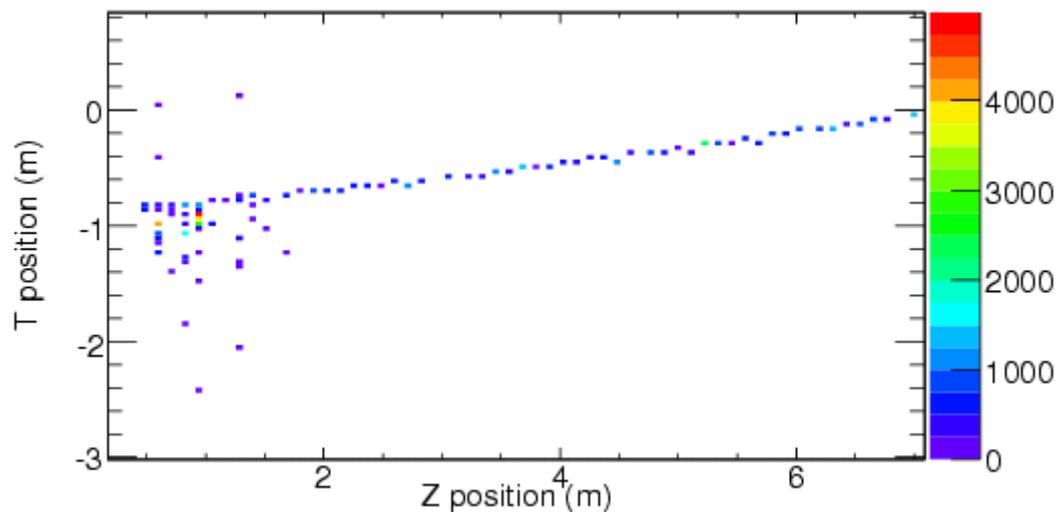
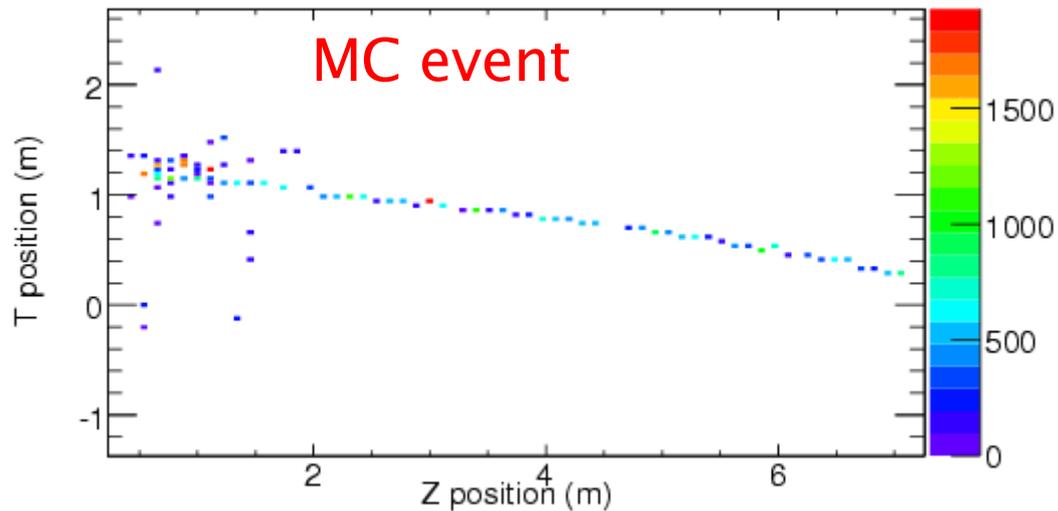


$$Q^2 = 2E_\nu E_\mu (1 - \cos\theta_\mu)$$

$$W^2 = M_p^2 + 2M_p E_{had} + Q^2$$

$$E_\nu = E_\mu + E_{had}$$

# Deep inelastic scattering

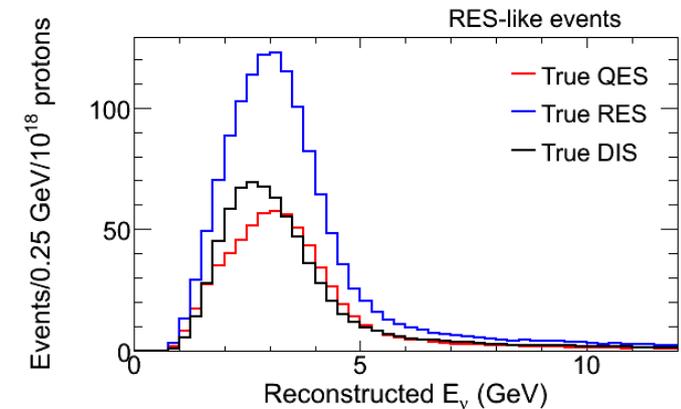
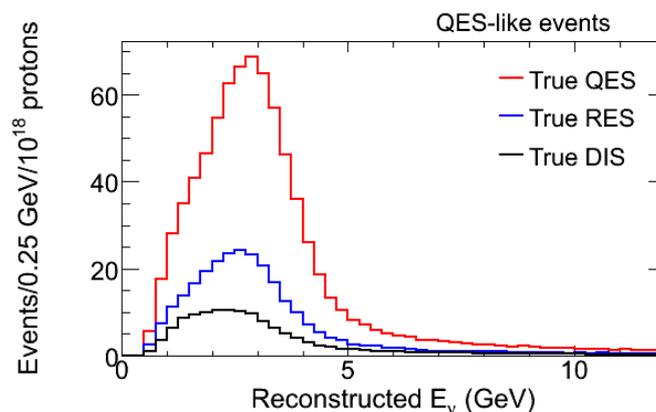
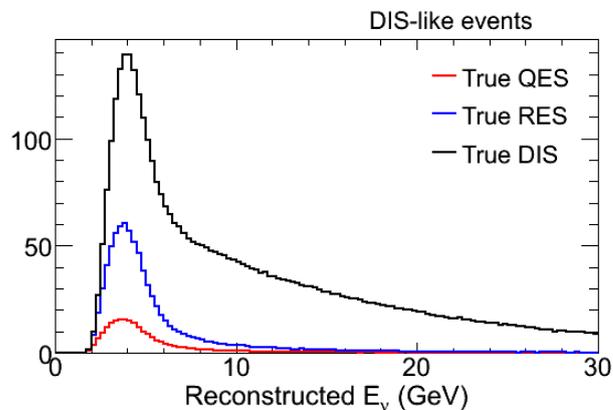
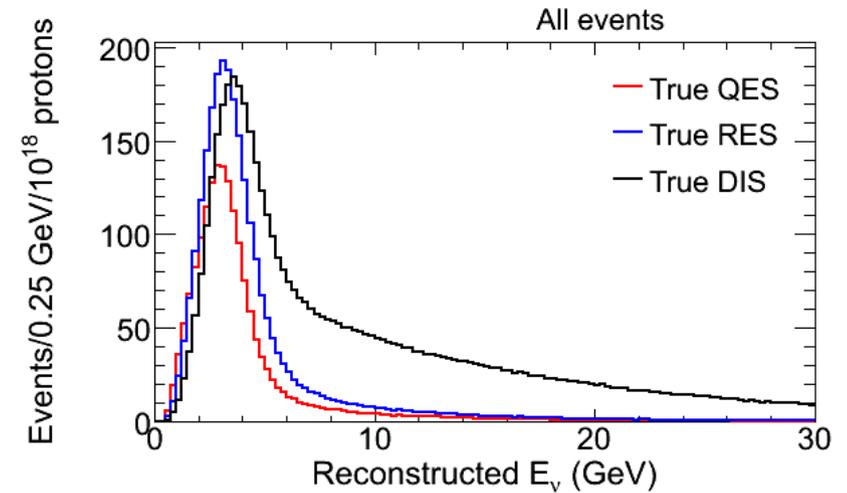


- All other events are classified as DIS: typically have substantial showers
- Tune MINOS Monte-Carlo to the world's data
- Tune single particle response Monte-Carlo to the calibration detector data in CERN test beam facility

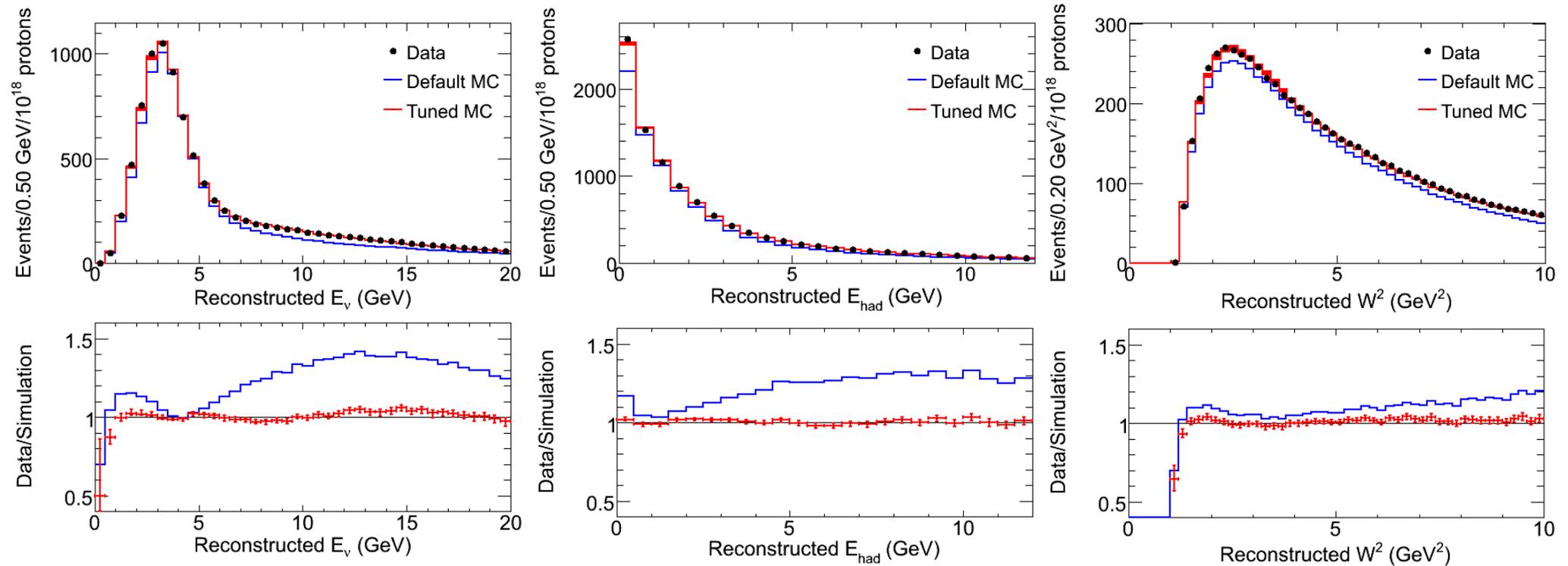
$$E_\nu = E_\mu + E_{had}$$

# Monte-Carlo tuning

- Use near detector data to tune
  - Flux parametrization
  - QES and RES normalization
  - QES, RES and DIS energy scales
- 9 beam configurations
- 3 spectra enriched with QES, RES and DIS events
- Basic modelling of the detector is not changed by tuning

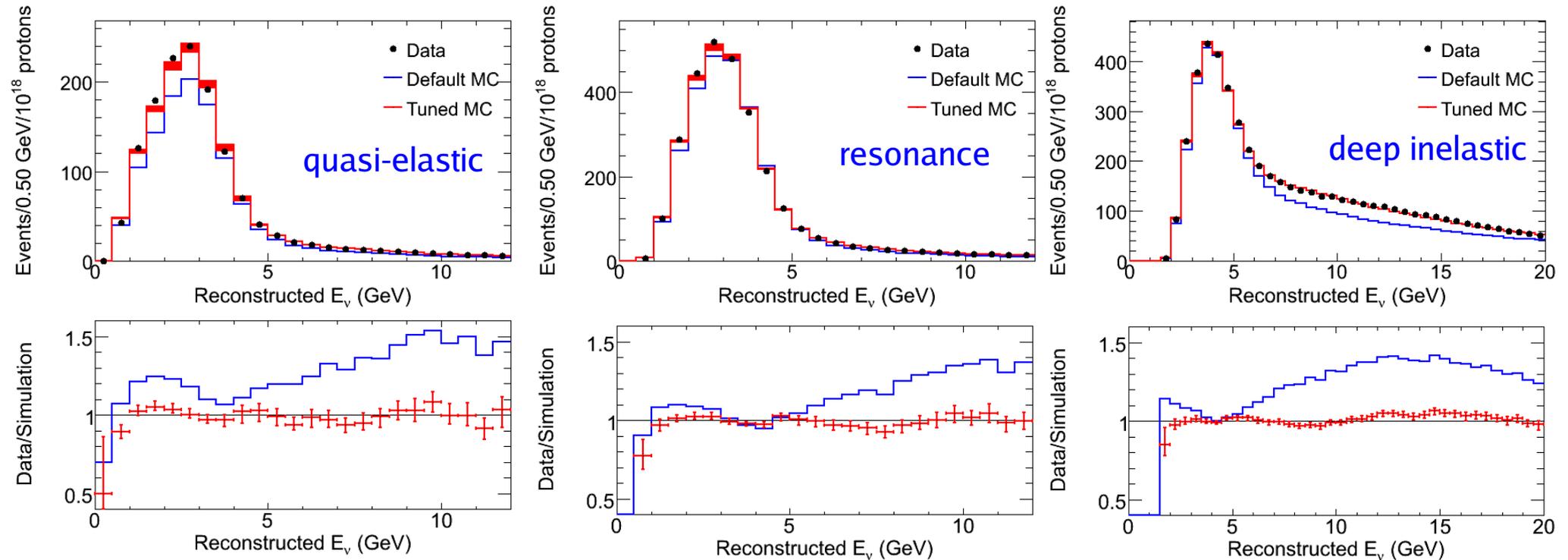


# Low energy beam events



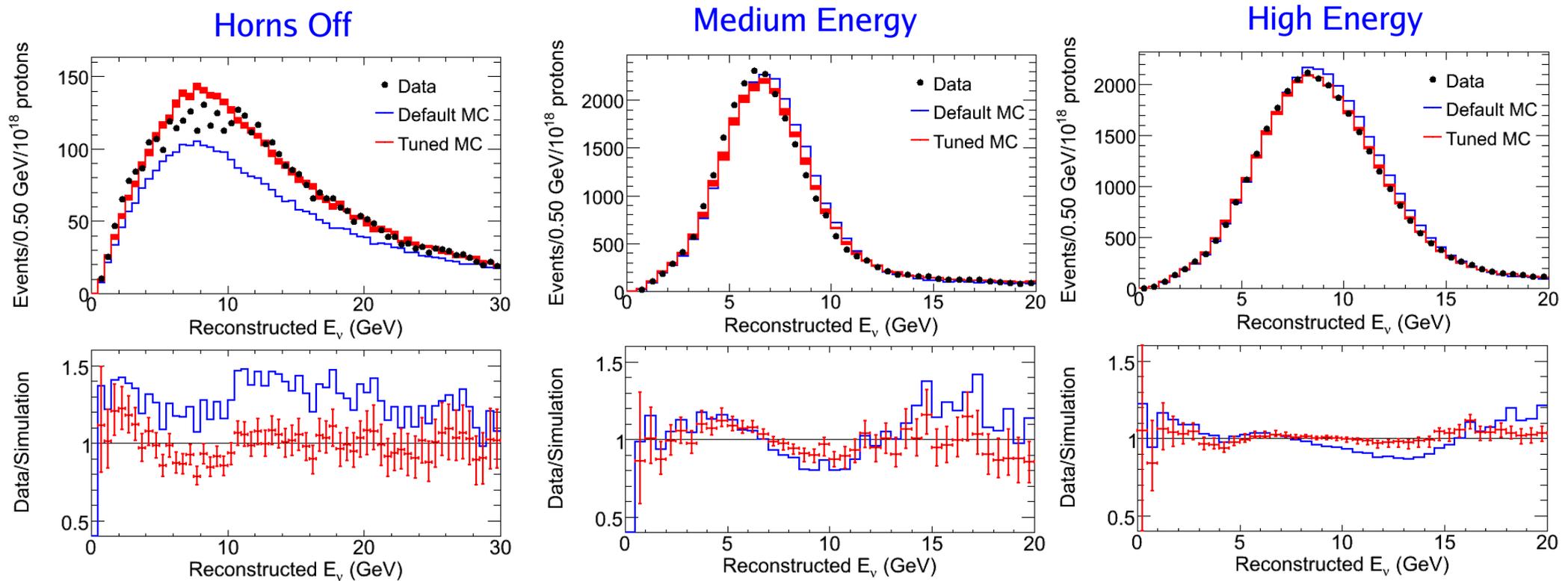
- Energy spectrum, hadronic energy and invariant mass squared agree well after tuning procedure
- **Tuned MC** errors include statistical errors and variation in fit parameters from selection uncertainty

# Spectra for low energy beam



- Energy spectra for QE, Resonance and DIS events from Low Energy beam configuration
- Tuning changes spectrum of secondary pions and kaons: improves data and MC agreement for energy greater than 4GeV
- QES and RES normalization improves agreement below 4GeV

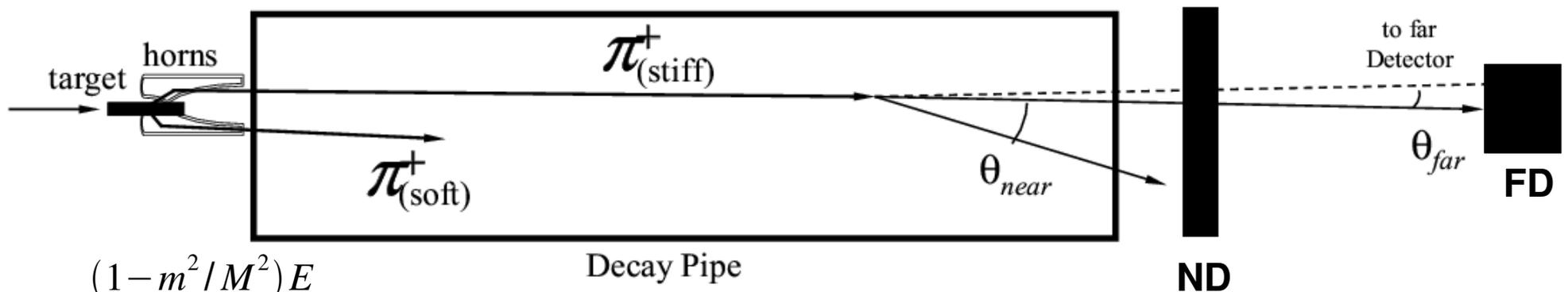
# Different beam configurations



- Horns Off, Medium Energy and High Energy beams
- See horn focusing effect: number of interactions in Horns Off beam is significantly less than in beams where secondary pions are focused forward by horns
- Default MC sees deficit of forward pions

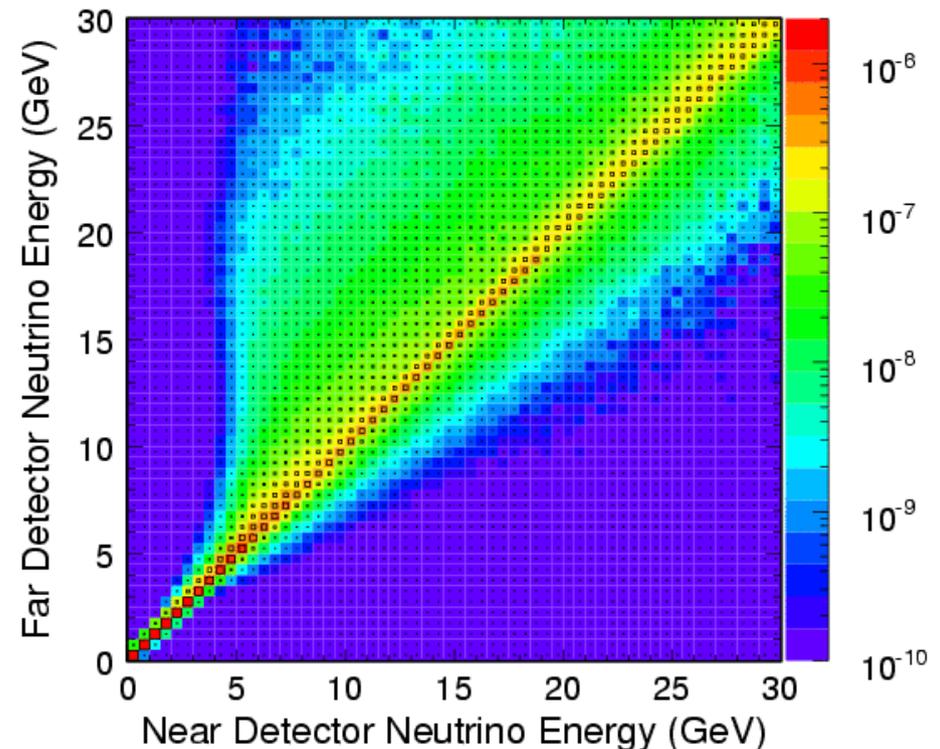
# Near to far extrapolation

Rely on relativistic kinematics of pion and kaon decays to project near detector observed spectra to far detector



$$E_{\nu} = \frac{(1 - m_{\mu}^2 / M^2) E}{1 + \gamma^2 \tan^2 \theta_{\nu}^2}$$

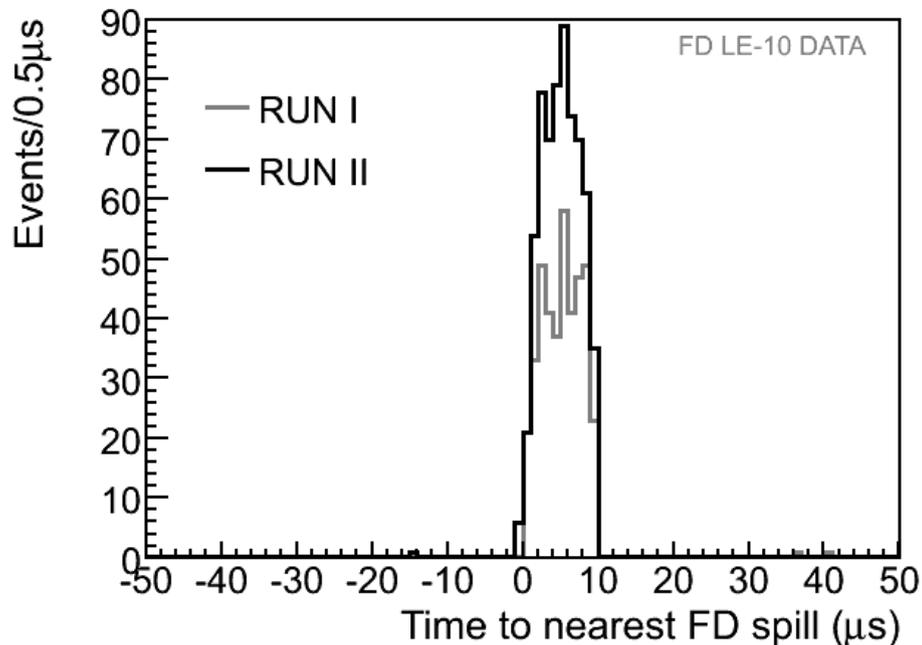
- Use near detector data to extrapolate near spectra to far detector
- MC provides acceptance, purity and energy smearing corrections
- Using near detector data reduces systematic errors from flux and cross-section uncertainties



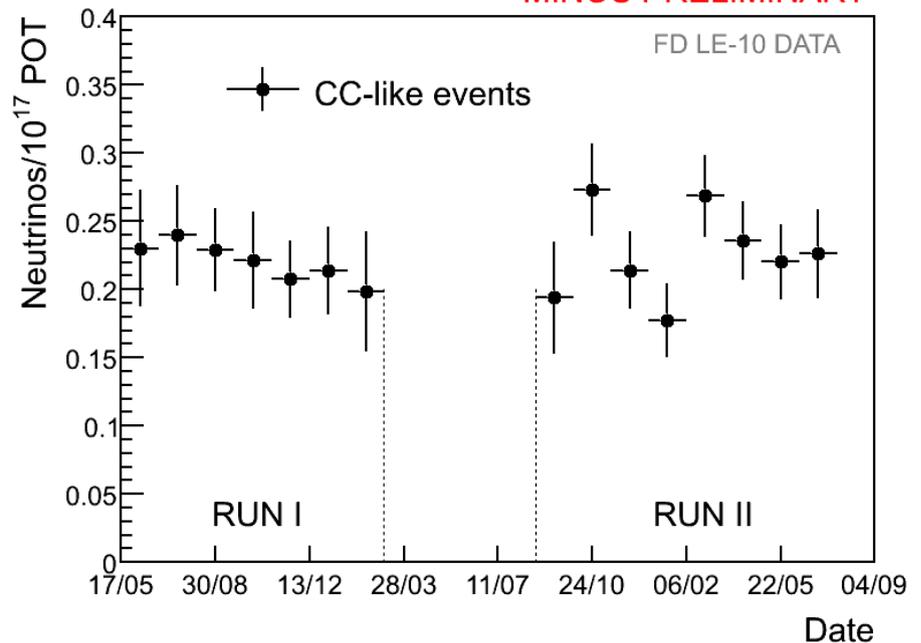
# Far detector trigger

- Sync clocks at the near and far detector with GPS receivers
- Send to far detector GPS time of the incoming beam spill
- Use energy trigger when near-to-far communication fails
- Use "fake" triggers to study cosmic backgrounds:  
~ $10^6$  background suppression

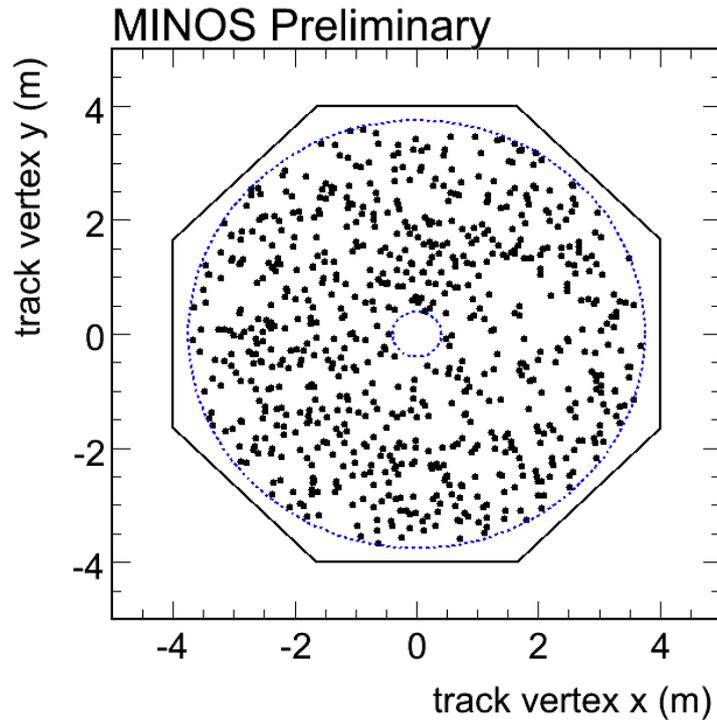
MINOS PRELIMINARY



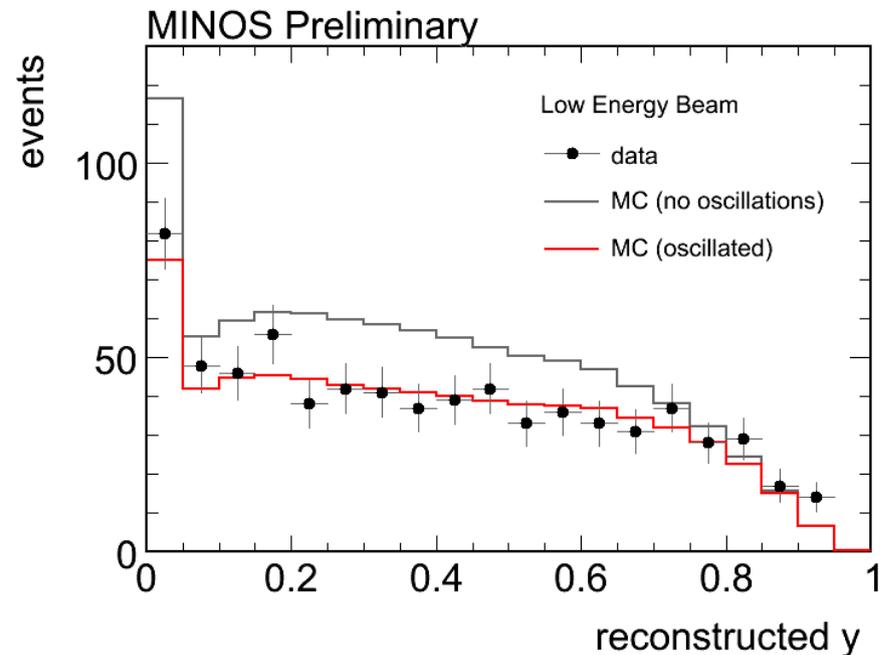
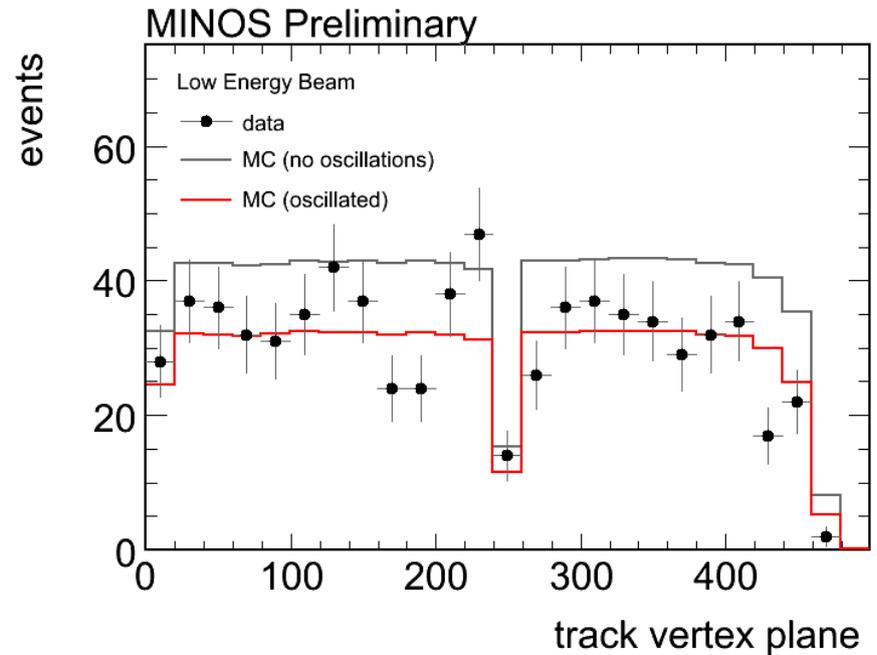
MINOS PRELIMINARY



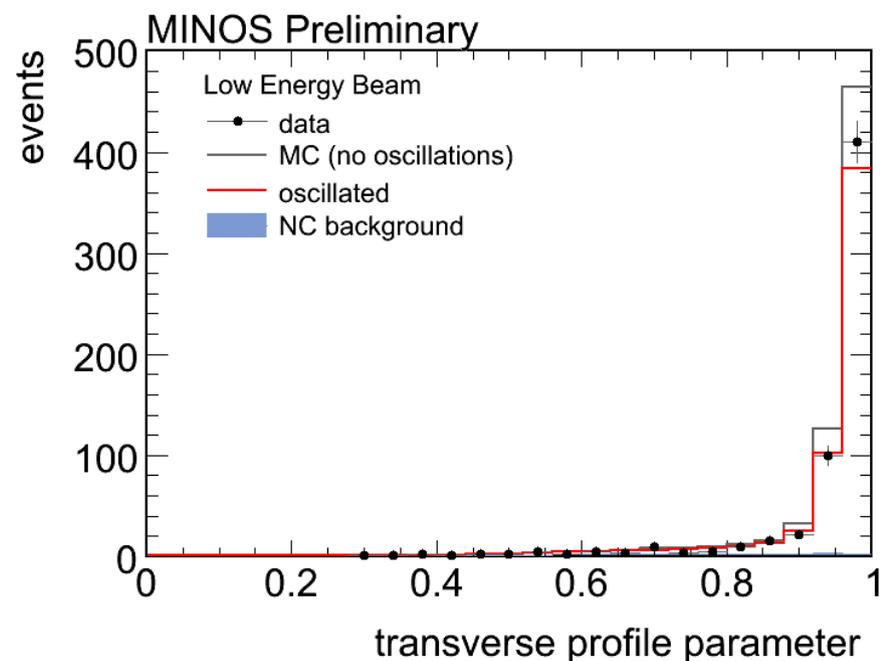
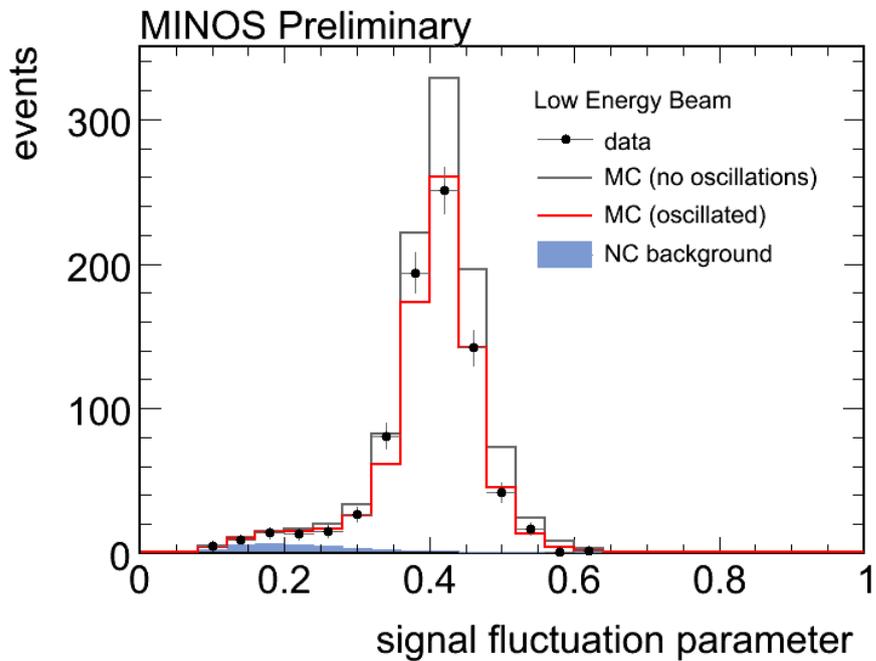
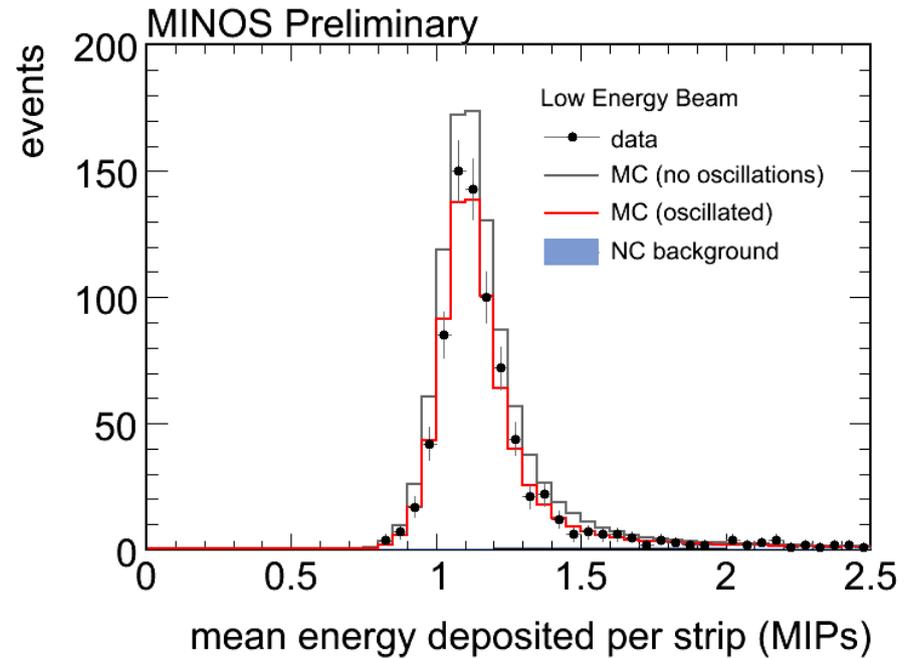
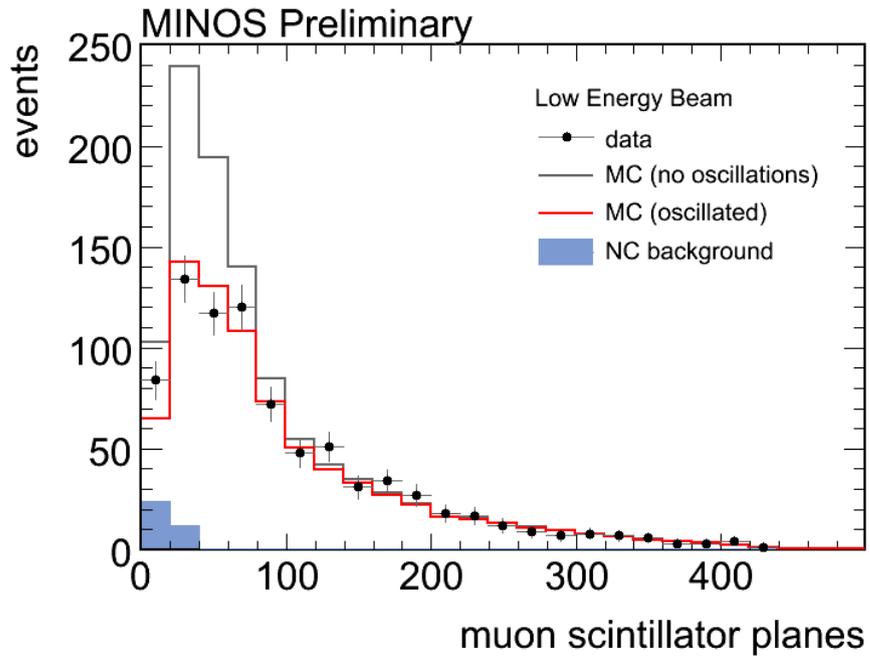
# Far detector data quality



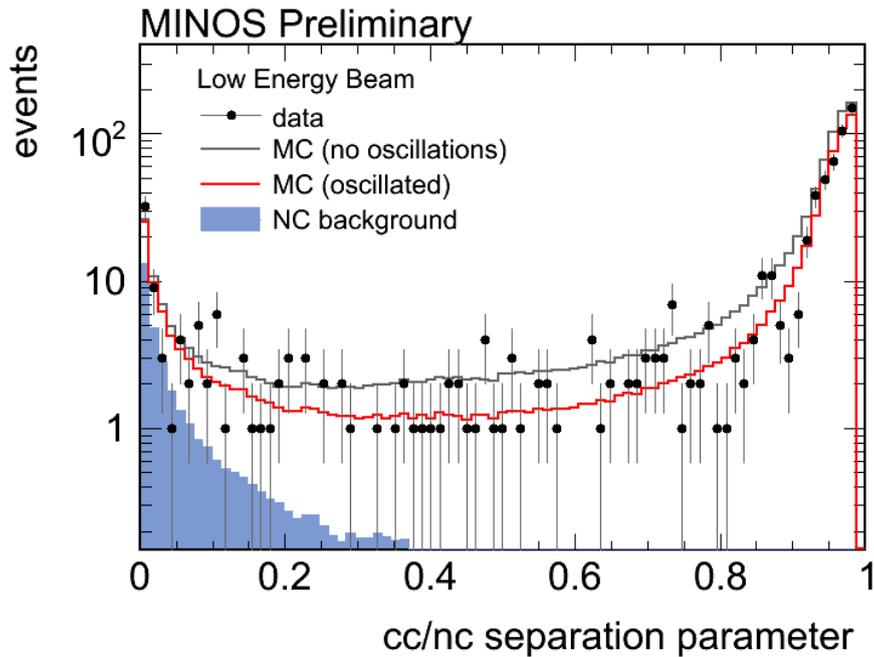
- Follow number of steps to check data quality gradually revealing more information about data
- If no problems observed in data then proceed to oscillation analysis



# Far detector event selection

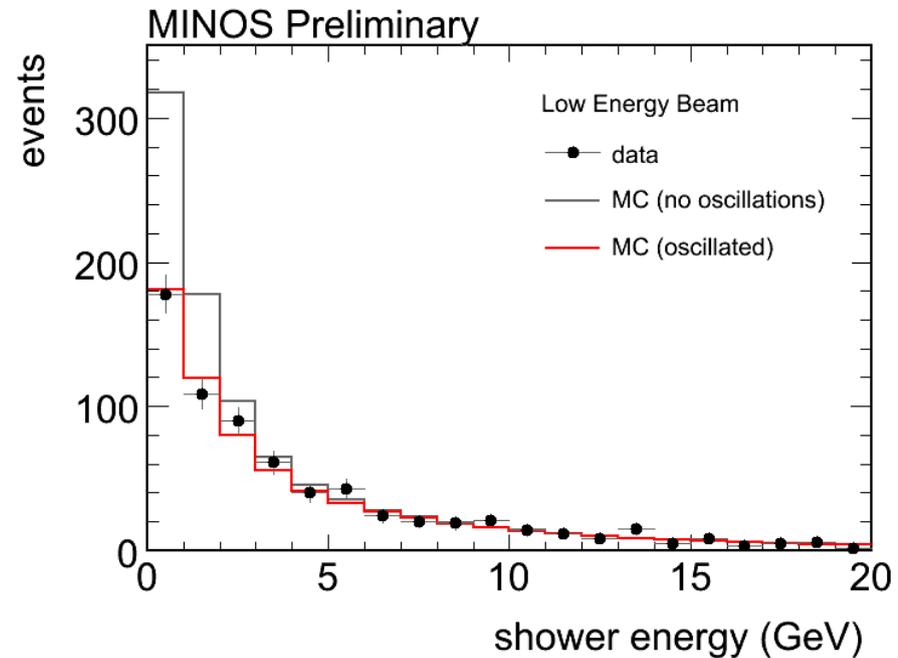
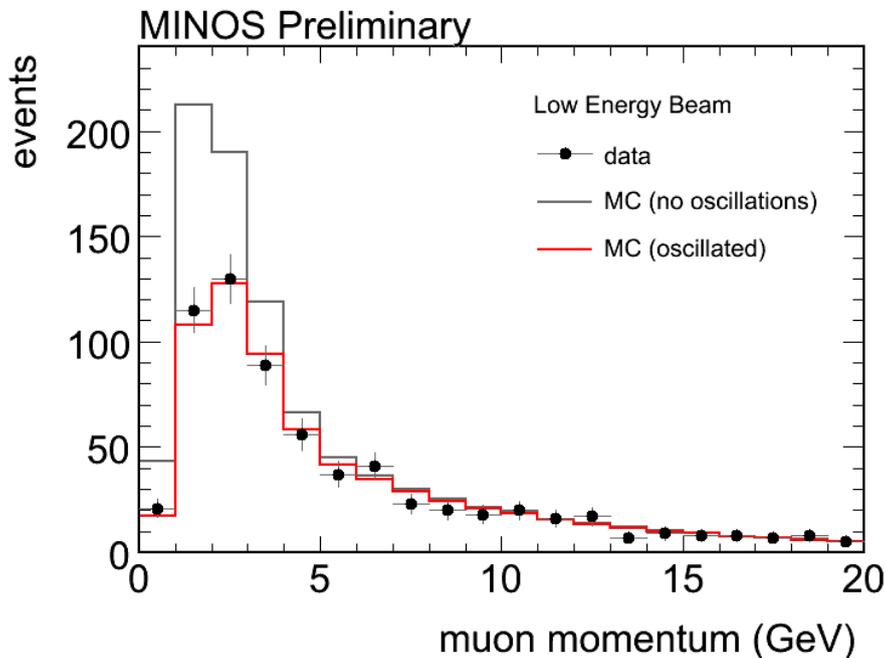


# Far detector event selection

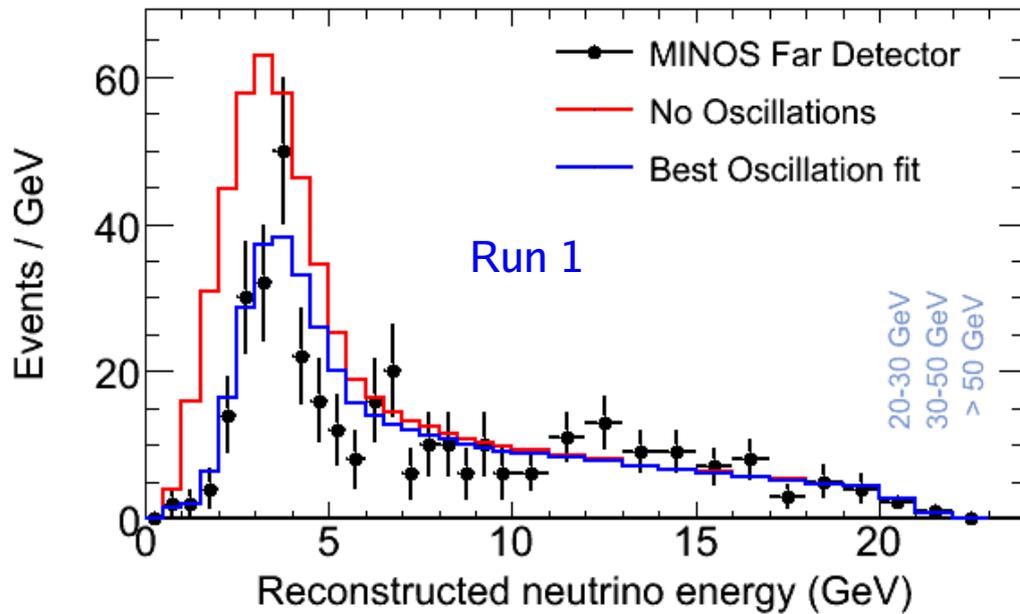


- Good agreement for event selection variables
- Good agreement is also seen in reconstructed quantities.

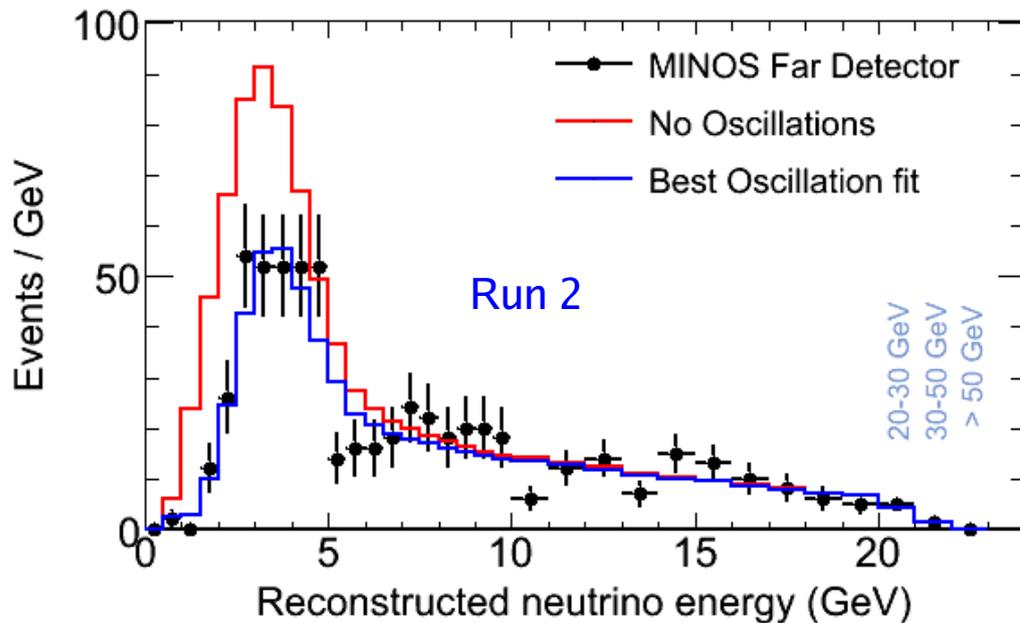
- Numbers of tracks/showers
- Track energies
- Shower energies
- Kinematic distributions



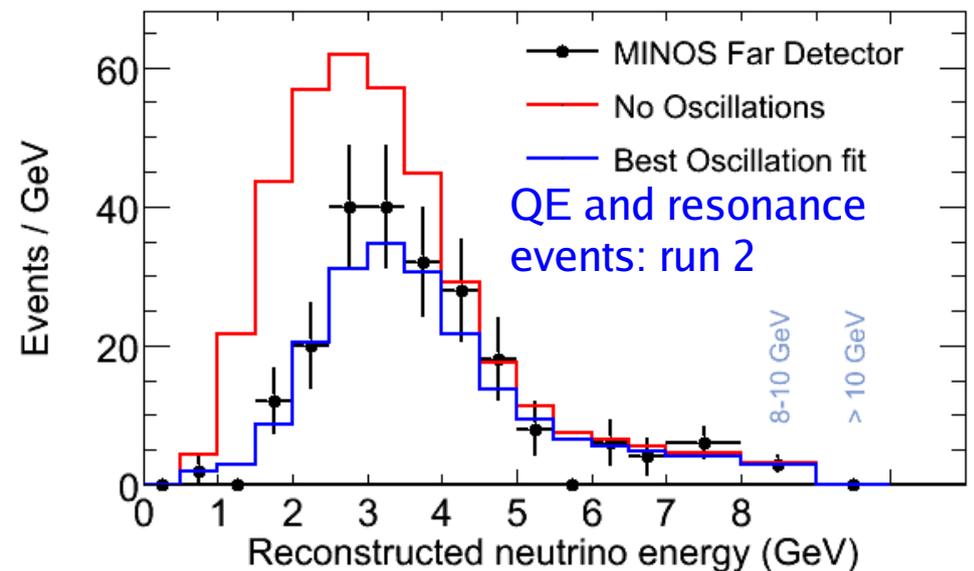
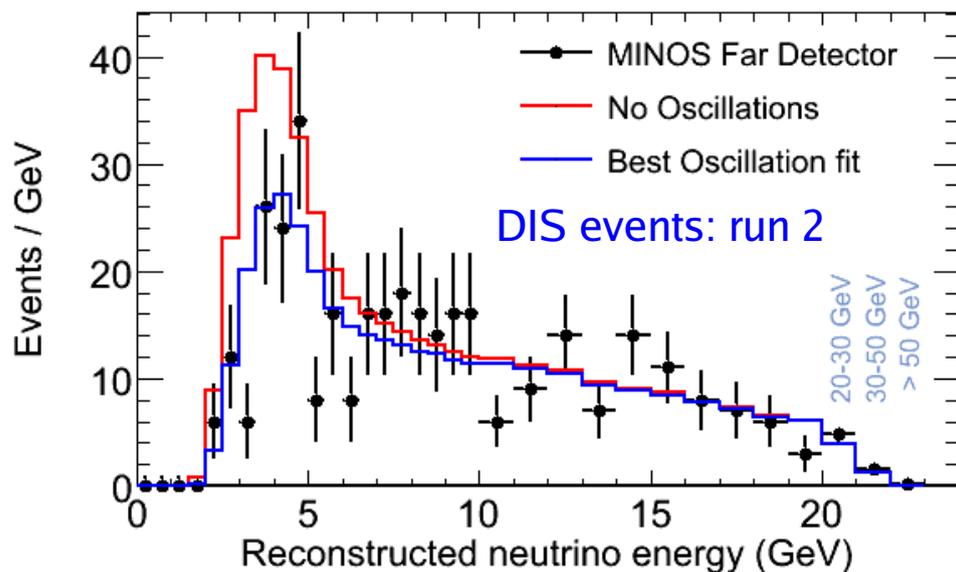
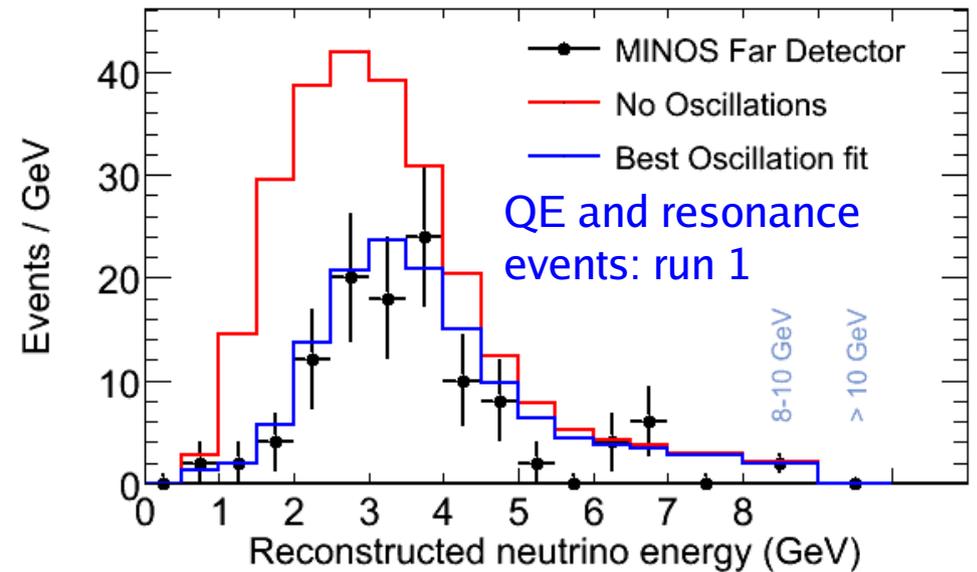
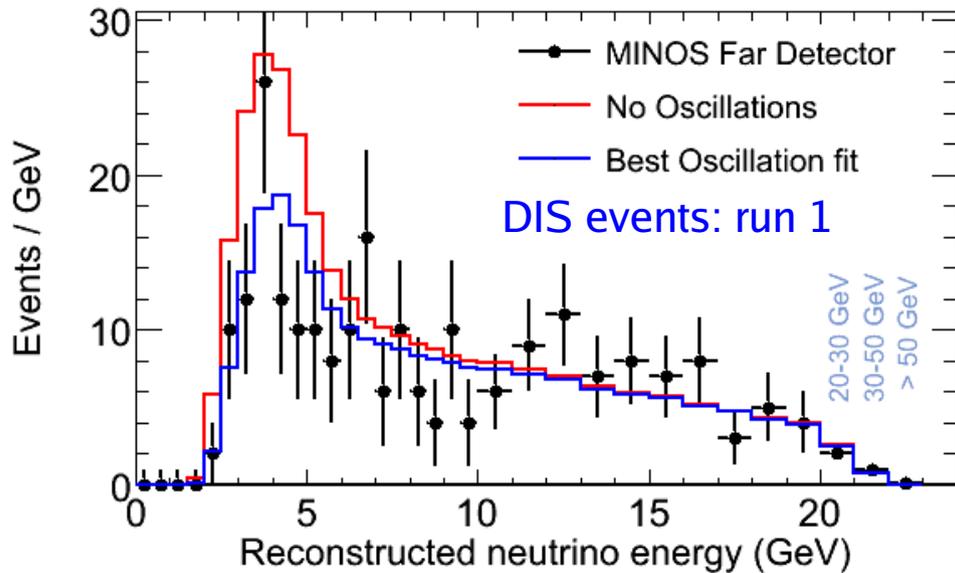
# Far detector energy spectra



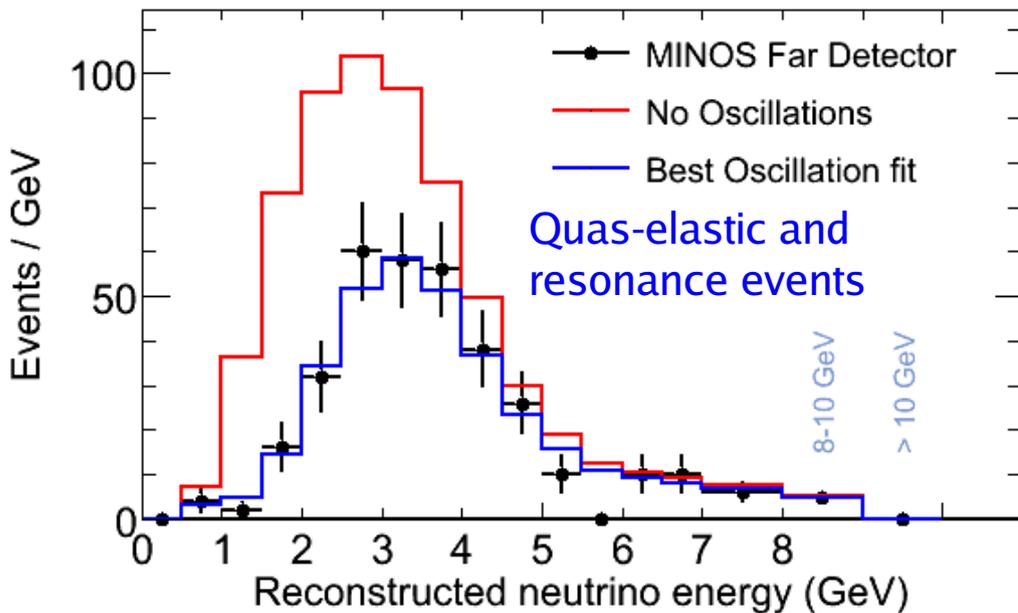
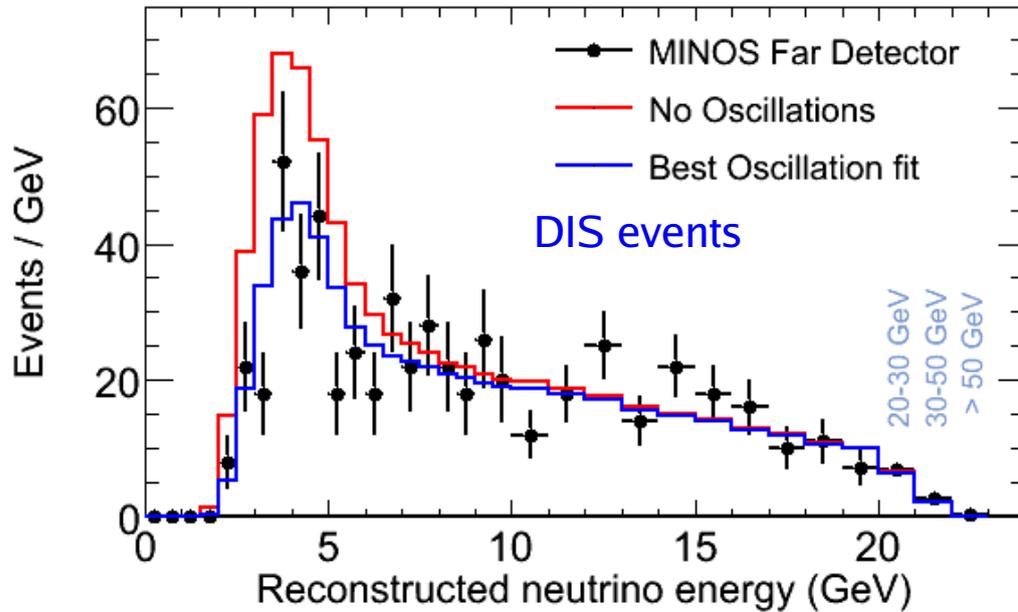
- All selected CC events for run 1 and run 2 data
- Deficit of data events compared to no oscillations hypothesis
- Expected 910 events
- Observed 702 events



# Far detector energy spectra (2)



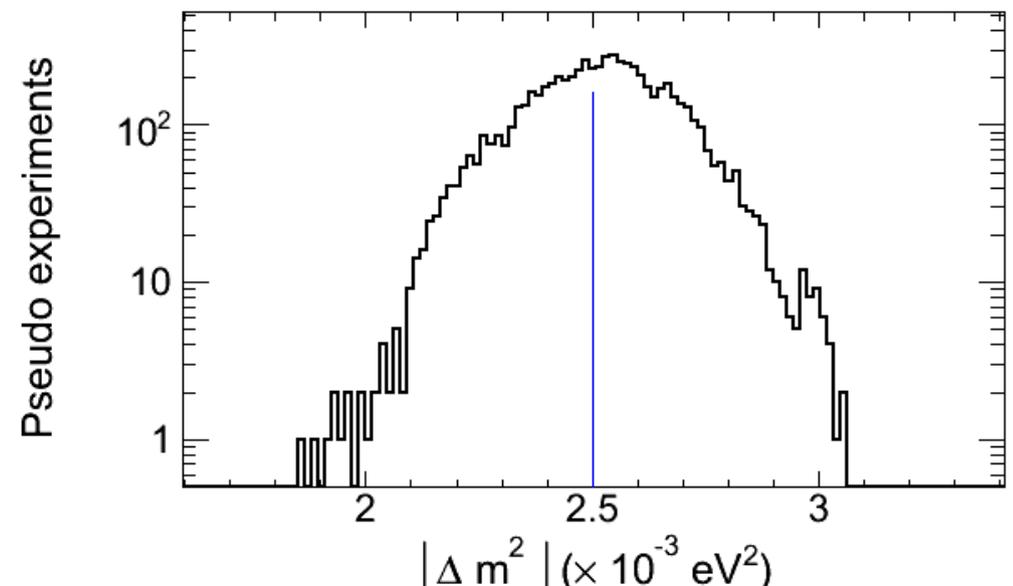
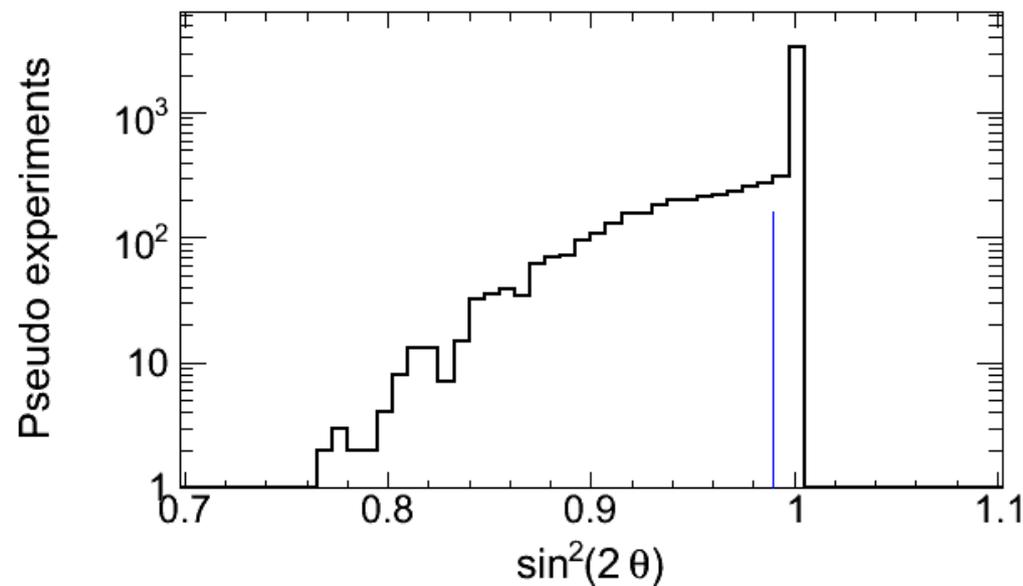
# Far detector oscillation fit



- Separate events for run 1 and 2:
  - DIS
  - QE and Resonance
- Fit total 4 histograms
- Two flavours neutrino oscillation hypothesis describes data very well

# Extrapolation error

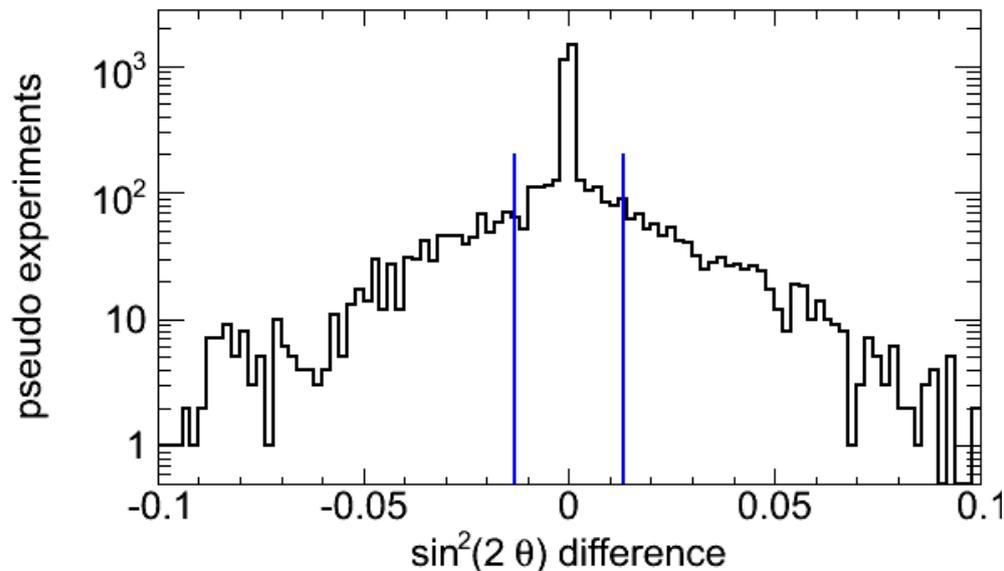
- Generate 9 additional sets of the near detector fits by varying selection cuts
- For default and 9 additional sets create 600 pseudo experiments
- Fit 6000 pseudo-experiments for two oscillation parameters



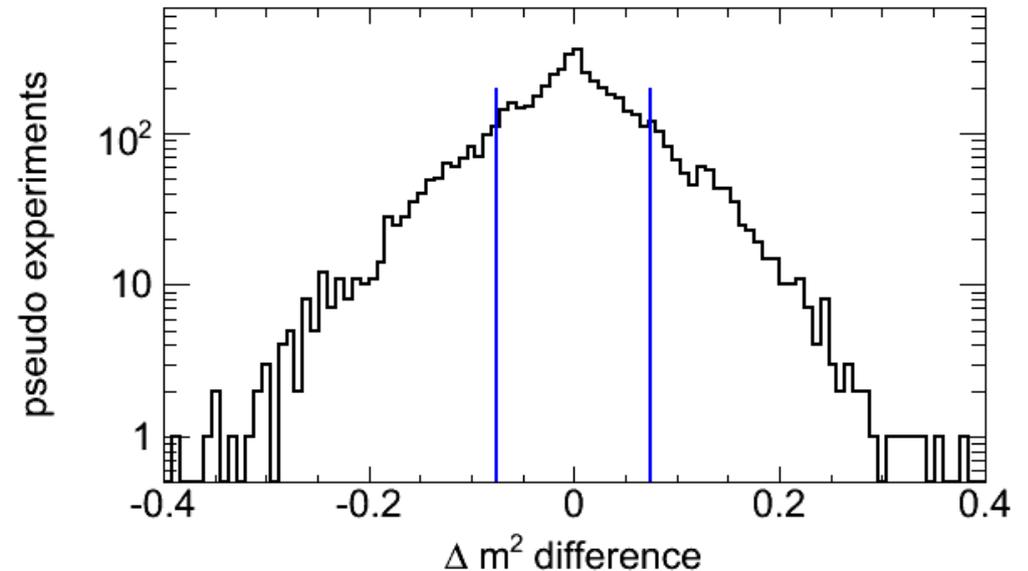
# Extrapolation error

- For each statistically independent pseudo experiment compute difference between best fit oscillation parameter from the default extrapolation and each of the 9 additional sets
- Determine range that contains 68% of pseudo experiments

$$\sigma(\sin^2 2\theta) = 0.013$$



$$\sigma(\Delta m^2) = 0.075 \times 10^{-3} eV^2$$



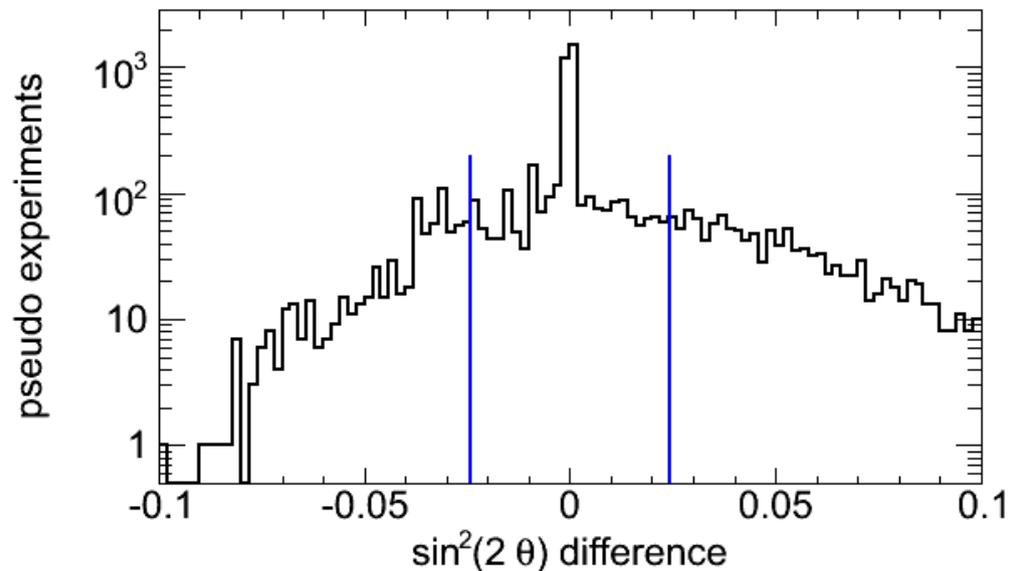
# Far detector systematic errors

- These are 6 largest sources of the systematic errors:
  - Absolute shower energy  $\pm 10.3\%$
  - Relative shower energy  $\pm 3.3\%$
  - Muon momentum from curvature  $\pm 3\%$
  - Muon momentum from range  $\pm 2\%$
  - Absolute event normalization  $\pm 4\%$
  - NC background  $\pm 50\%$
- Create 64 systematics sets by simultaneously varying all 6 systematic parameters by  $\pm$  error
- For each set create 100 pseudo experiments

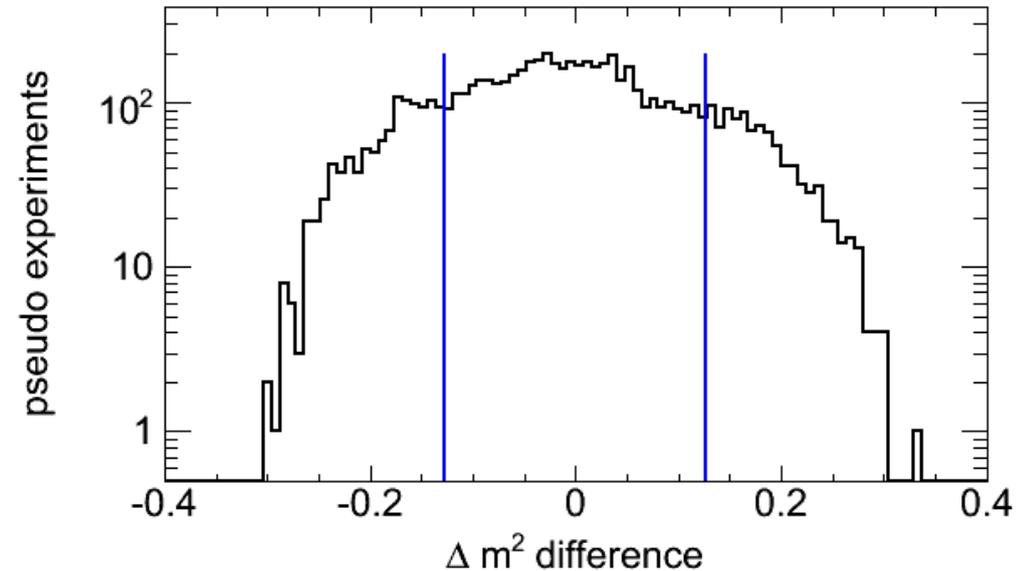
# Far detector systematic errors

- For each of 64 systematics sets create 100 pseudo experiments
- Determine range that contains 68% of pseudo experiments
- Largest errors come from absolute hadronic energy scale uncertainty and absolute event normalization uncertainty

$$\sigma(\sin^2 2\theta) = 0.024$$



$$\sigma(\Delta m^2) = 0.13 \times 10^{-3} eV^2$$

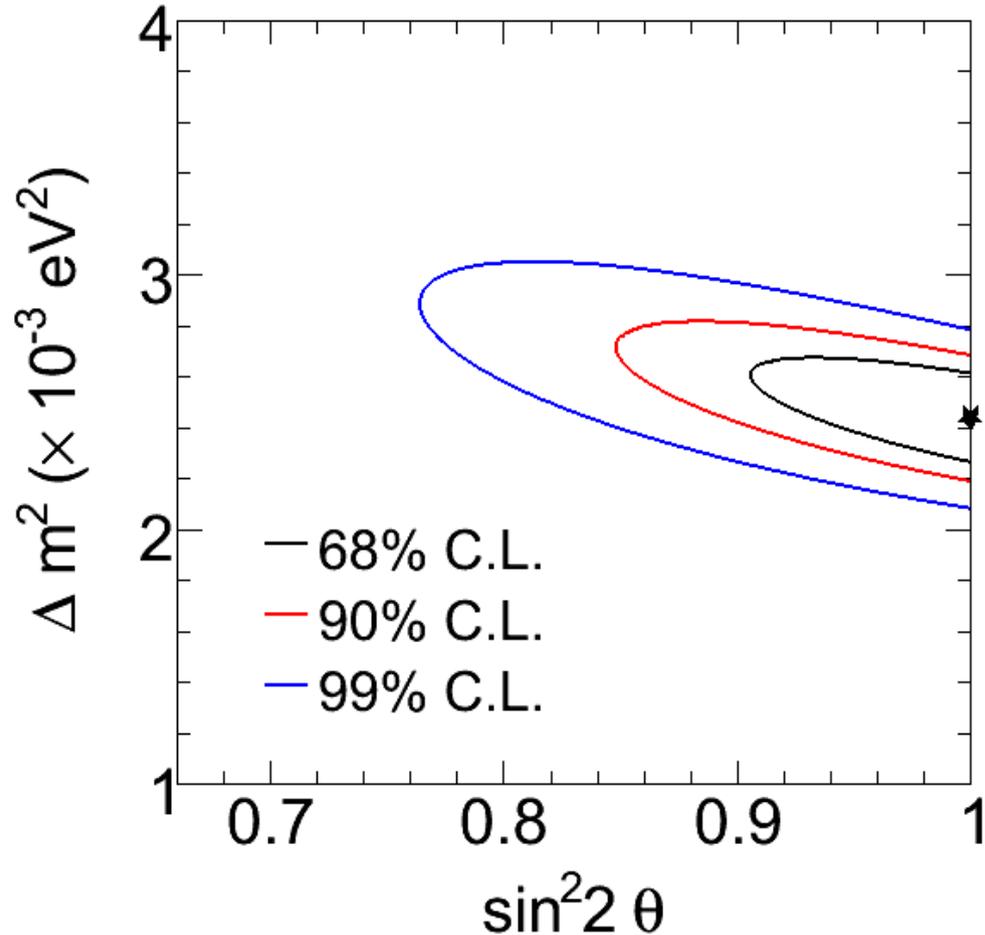


# Far detector oscillation fit

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

$$\chi^2 = \sum 2(N_{\text{exp}} - N_{\text{obs}}) - 2N_{\text{obs}} \ln(N_{\text{obs}}/N_{\text{exp}})$$

- Fit data with 2 oscillation parameters
- Constrain to physical region
- Oscillation fit gives good description of data



$$|\Delta m_{\text{atm}}^2| = 2.44_{-0.12}^{+0.12} (\text{stat})_{-0.15}^{+0.15} (\text{syst}) \times 10^{-3} \text{ eV}^2$$

$$\sin^2 2\theta = 1.000_{-0.038} (\text{stat})_{-0.028} (\text{syst})$$

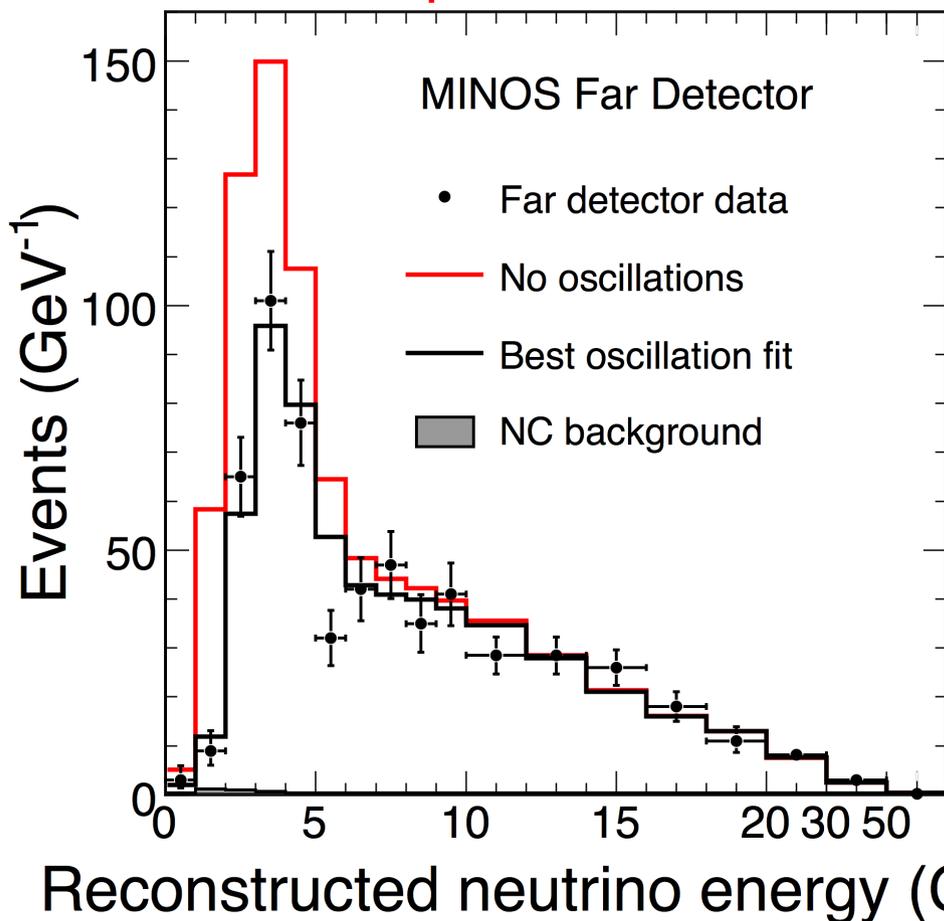
$$\text{Best fit: } \chi^2 / \text{ndf} = 86.5 / 92$$

$$\text{Null: } \chi^2 / \text{ndf} = 239.9 / 92$$

# 2008 CC result

$$\chi^2 = \sum 2(N_{\text{exp}} - N_{\text{obs}}) - 2N_{\text{obs}} \ln(N_{\text{obs}}/N_{\text{exp}})$$

arXiv:hep-ex/0806.2237



Including the three largest sources of systematic uncertainty as nuisance parameters:

- Absolute hadronic energy scale: 10.3%
- Normalization: 4%
- NC contamination: 50%

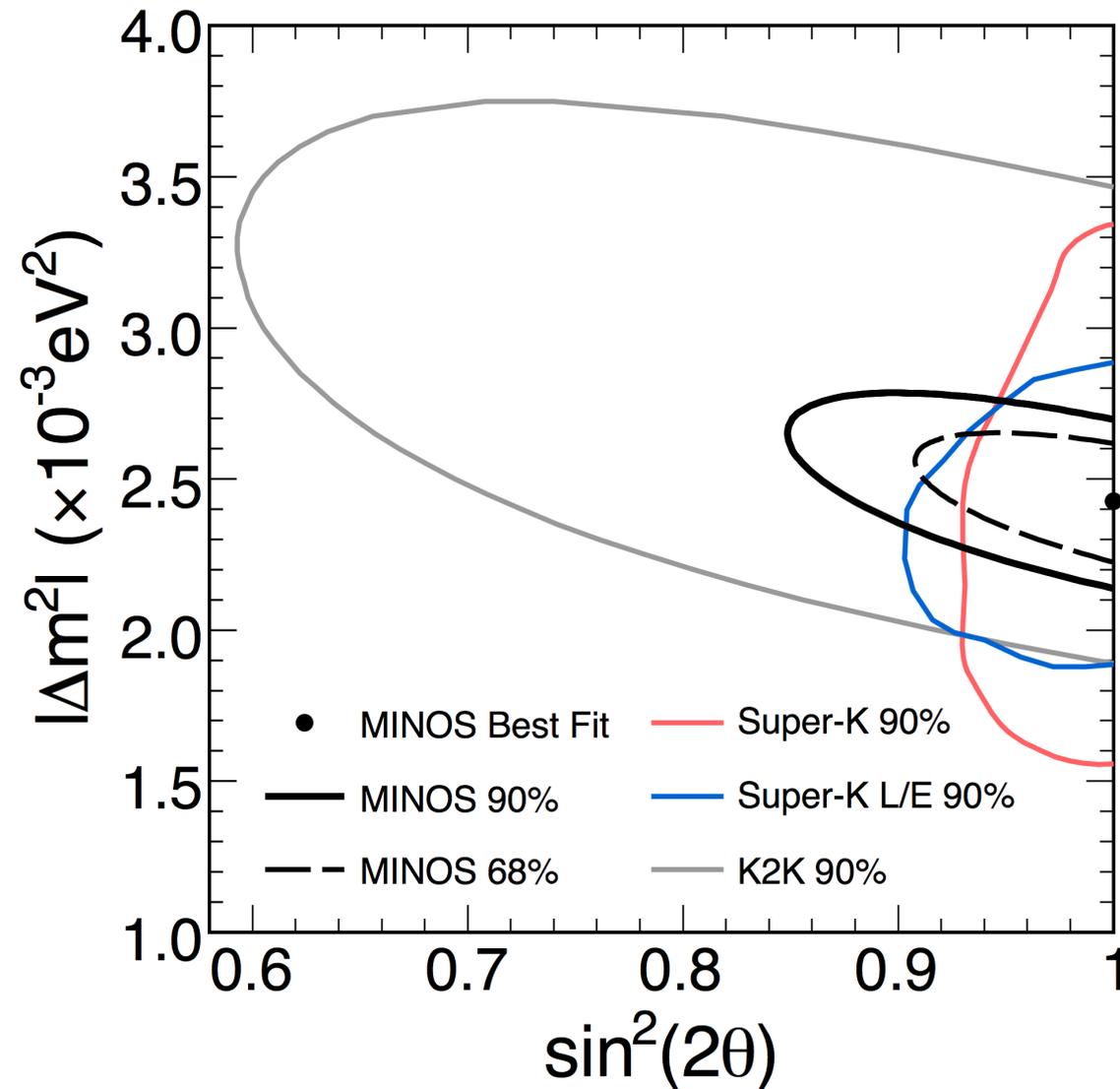
$$P(\nu_{\mu} \rightarrow \nu_{\mu}) = 1 - \sin^2 2\theta \sin^2(1.27 \Delta m^2 L/E)$$

$$|\Delta m_{\text{atm}}^2| = 2.43^{+0.13}_{-0.13} (\text{stat+syst}) \times 10^{-3} eV^2$$

$$\sin^2 2\theta > 0.90 \text{ at } 90\% \text{ C.L.}$$

$$\text{Best fit: } \chi^2 / \text{ndf} = 90 / 97$$

# 2008 CC result



$$|\Delta m^2| = (2.43 \pm 0.13) \times 10^{-3} \text{ eV}^2$$

(68% C.L.)

$$\sin^2(2\theta) > 0.90$$

(90% C.L.)

$$\chi^2/\text{ndof} = 90/97$$

Fit is constrained to the physical region.

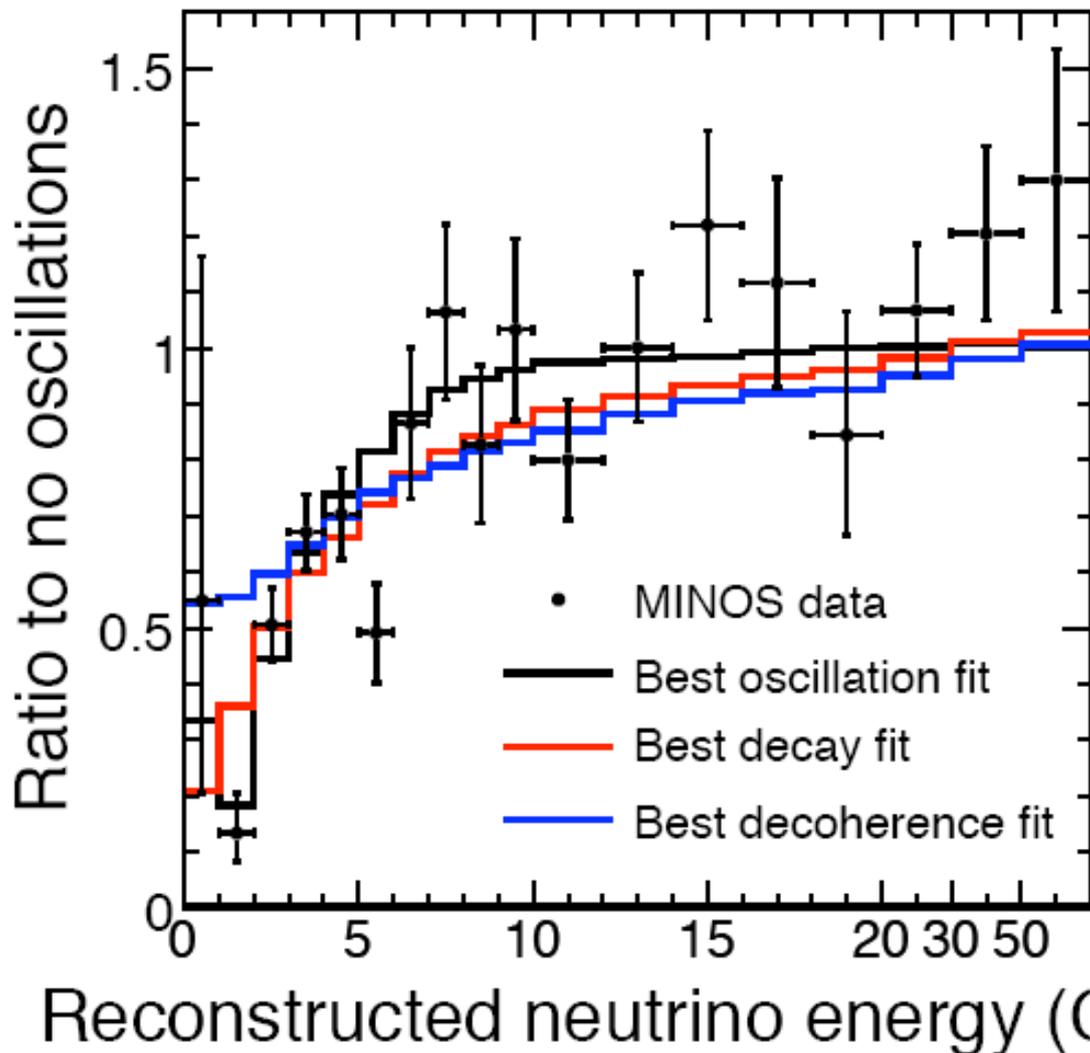
Unconstrained:

$$|\Delta m|^2 = 2.33 \times 10^{-3} \text{ eV}^2$$

$$\sin^2(2\theta) = 1.07$$

$$\Delta\chi^2 = -0.6$$

# 2008 CC result



## Decay:

$$P_{\mu\mu} = \sin^4 \theta + \cos^4 \theta \exp(-\alpha L / E)$$

V. Barger *et al.*, PRL82:2640(1999)

$$\chi^2/\text{ndof} = 104/97$$

$$\Delta\chi^2 = 14$$

disfavored at  $3.7\sigma$

## Decoherence:

$$P_{\mu\mu} = 1 - \frac{\sin^2 2\theta}{2} \left( 1 - \exp\left(\frac{-\mu^2 L}{2E_\nu}\right) \right)$$

G.L. Fogli *et al.*, PRD67:093006 (2003)

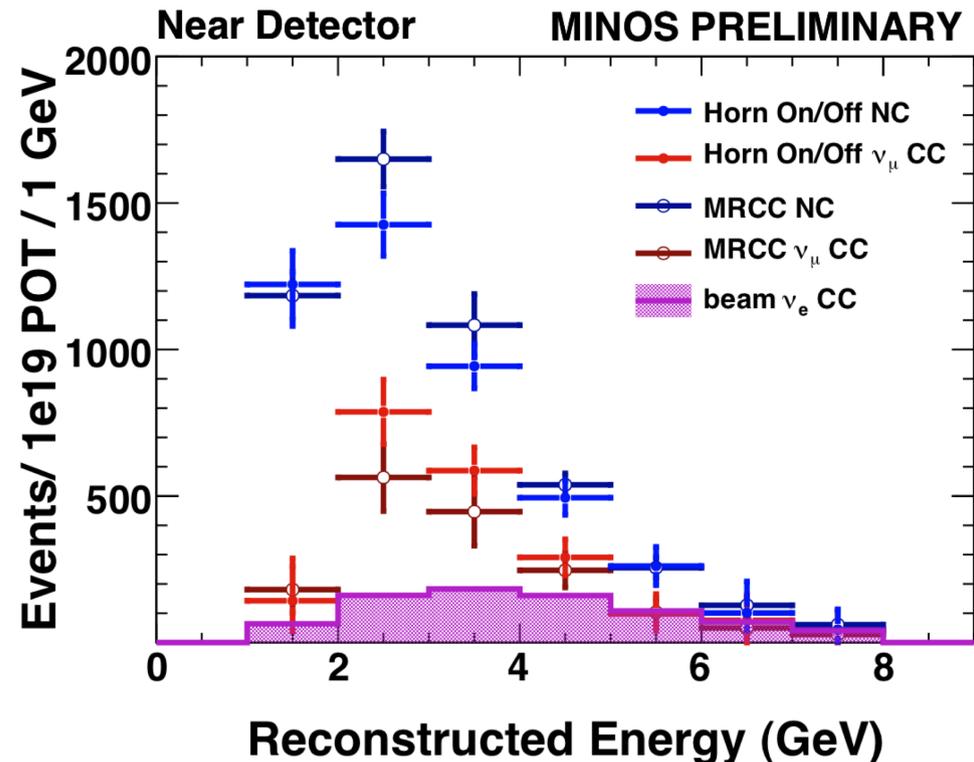
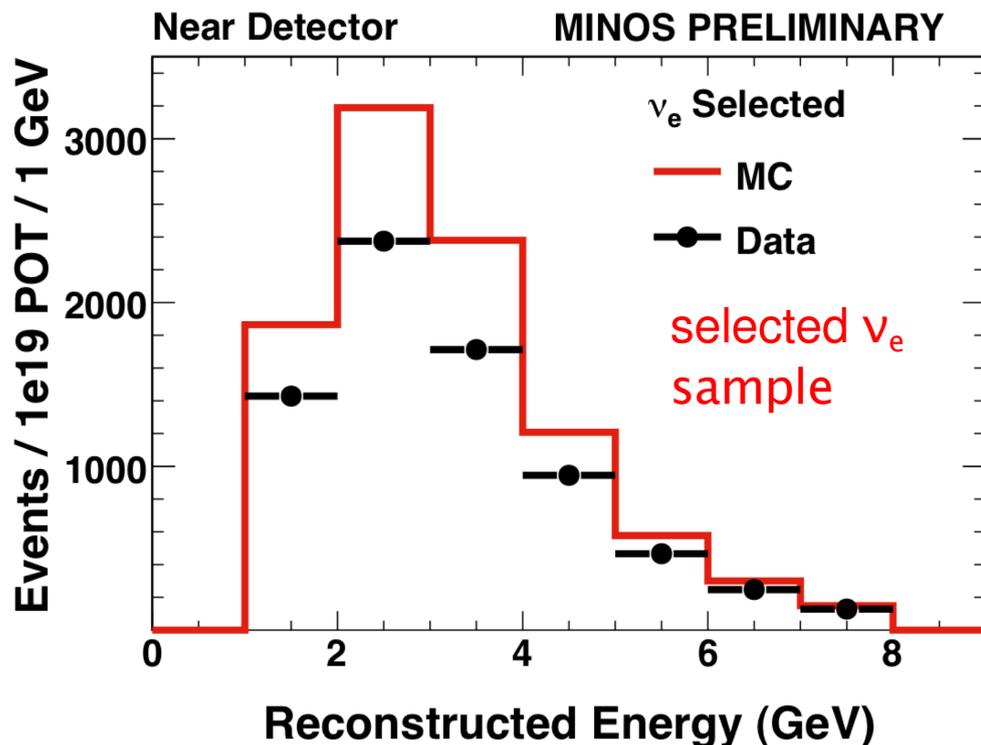
$$\chi^2/\text{ndof} = 123/97$$

$$\Delta\chi^2 = 33$$

disfavored at  $5.7\sigma$

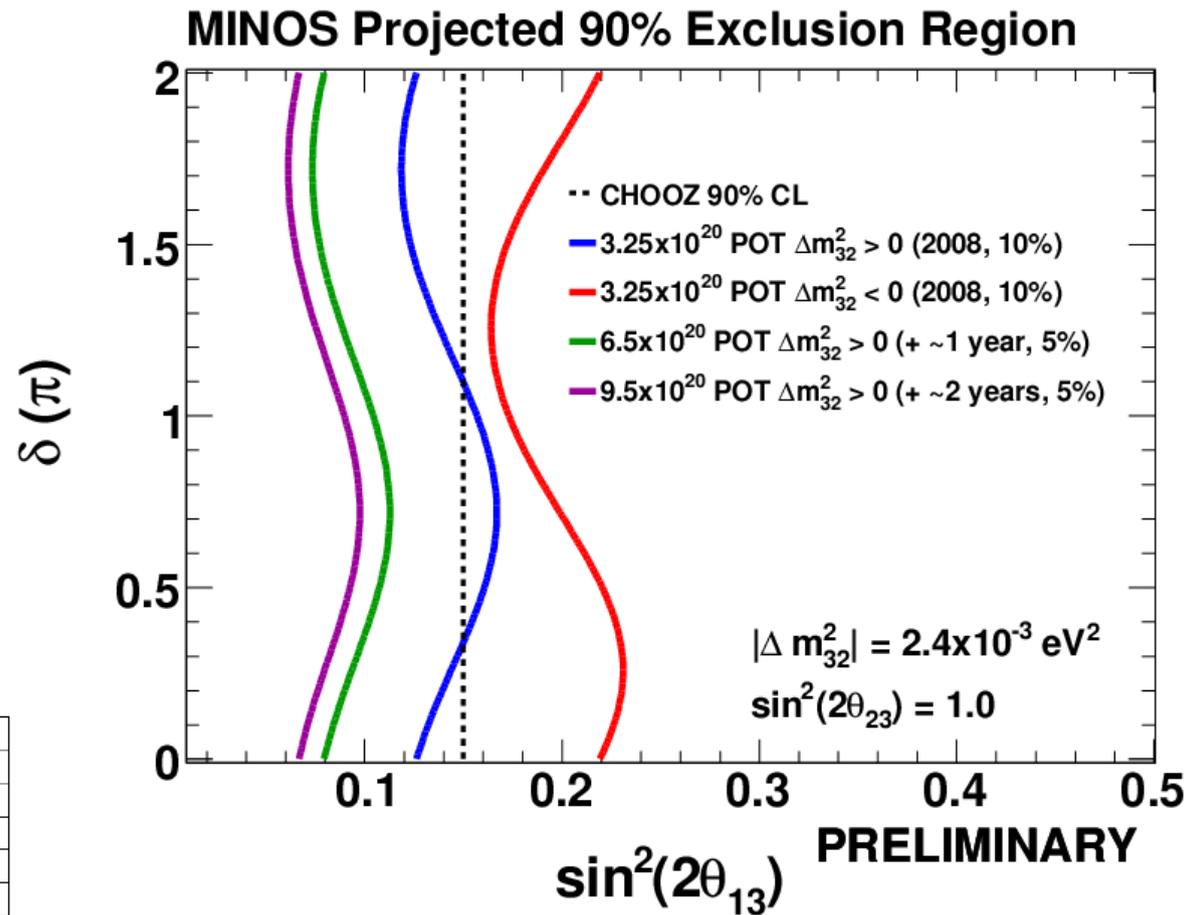
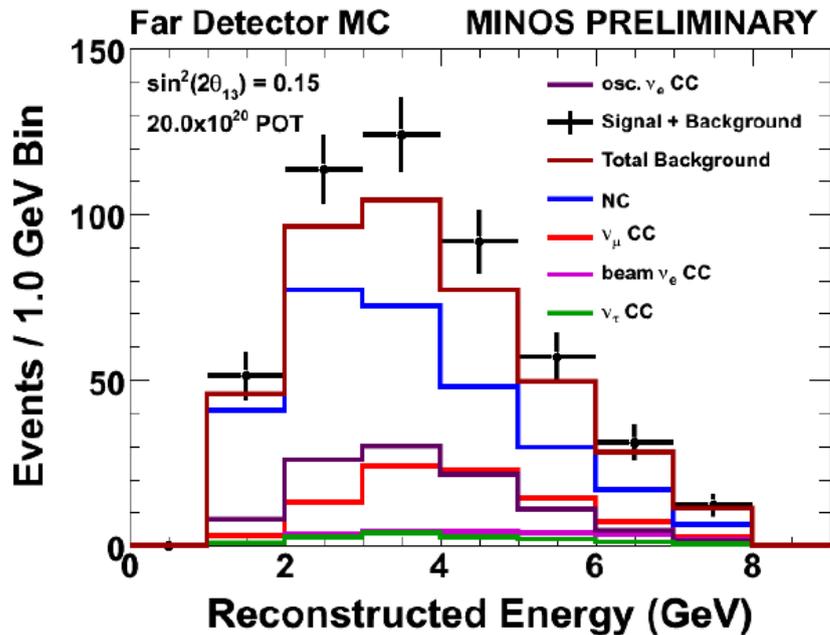
# MINOS $\nu_e$ appearance search

- Search for far detector  $\nu_e$  appearance in initially 99%  $\nu_\mu$  beam
- Select  $\nu_e$  with neural net based algorithm
- Selected near detector events are mostly  $CC\nu_\mu$  and NC
- Use two independent data driven methods to estimate separately NC and CC backgrounds from near detector data



# MINOS $\nu_e$ sensitivity

- Projected limits shown with current and expected MINOS exposure
- At CHOOZ limit expect 12  $\nu_e$  signal events and 42 background events with  $3.25 \times 10^{20}$  protons



- Use sidebands to study predicted far detector backgrounds
- Expect first result later this year

# Summary and outlook

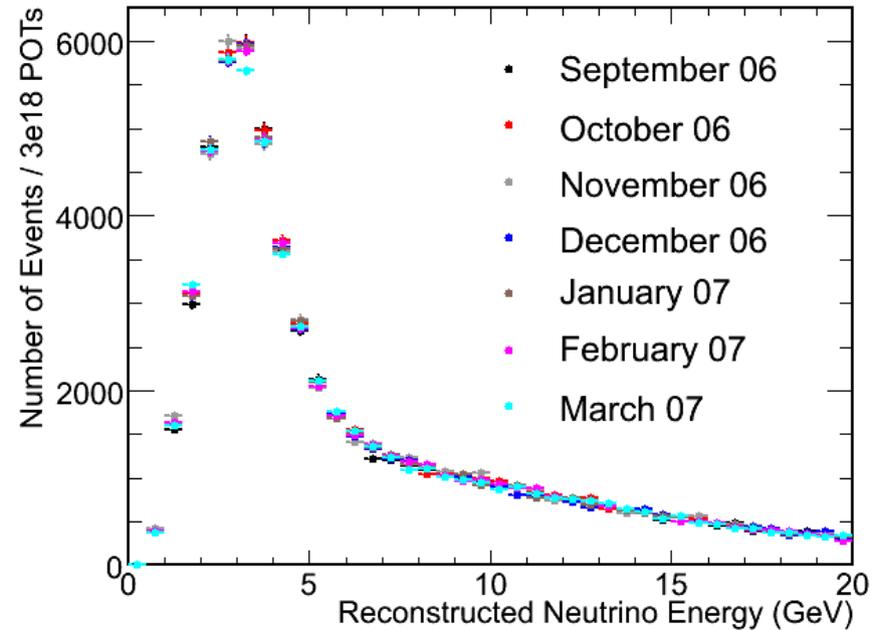
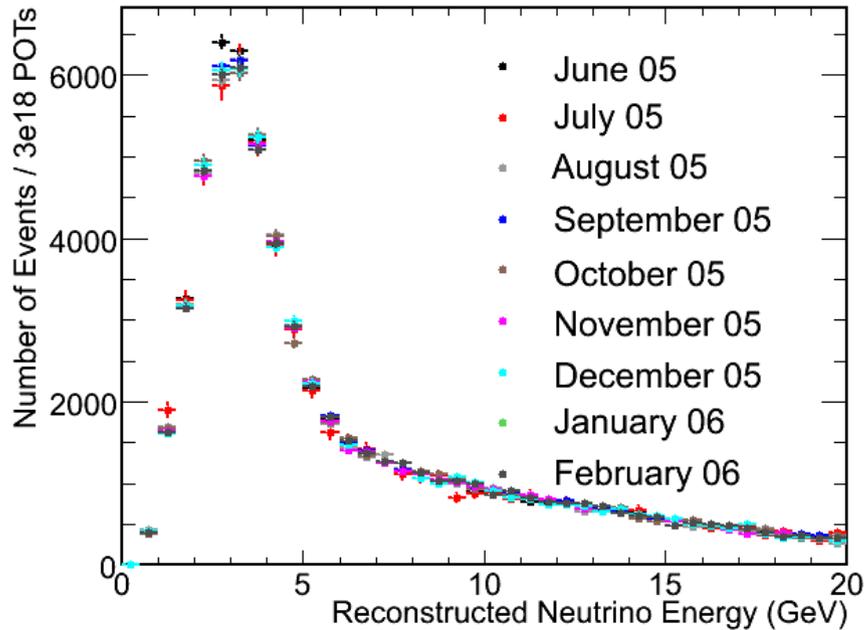
- The MINOS analysed data with  $3.2 \times 10^{20}$  protons on target and the results are consistent with standard oscillation picture:

$$\left| \Delta m_{atm}^2 \right| = 2.44_{-0.12}^{+0.12} (\text{stat})_{-0.15}^{+0.15} (\text{syst}) \times 10^{-3} eV^2$$
$$\sin^2 2\theta = 1.000_{-0.038} (\text{stat})_{-0.028} (\text{syst})$$

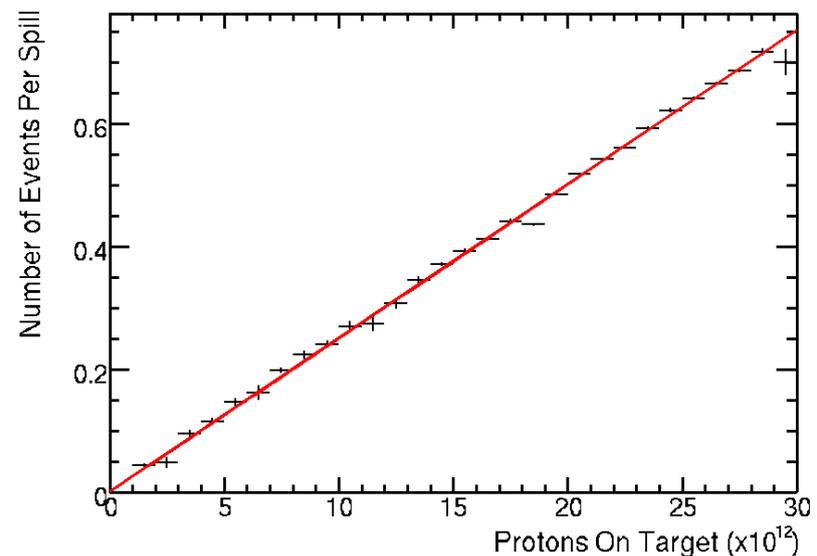
- Accumulating data and expect  $>6 \times 10^{20}$  by next Spring
- Electron neutrino appearance result later this year
- Many other MINOS physics measurements:
  - Cosmic ray muons
  - Measurement of neutrino disappearance to sterile neutrinos
  - Atmospheric  $\nu_\mu$  anti- $\nu_\mu$  oscillations
  - Near detector neutrino cross-section

# Backup slides

# Near detector stability

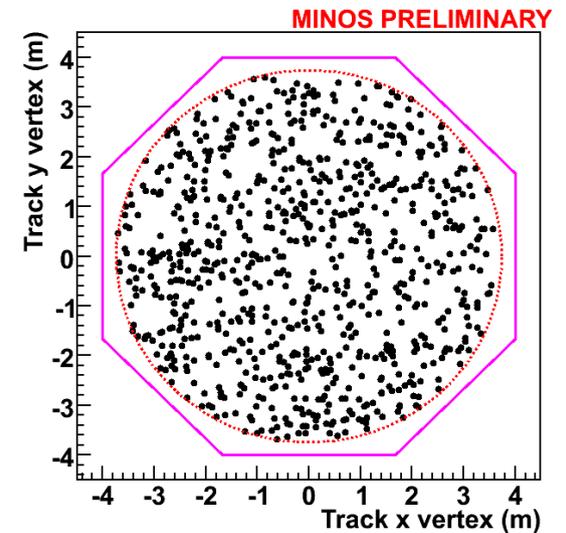
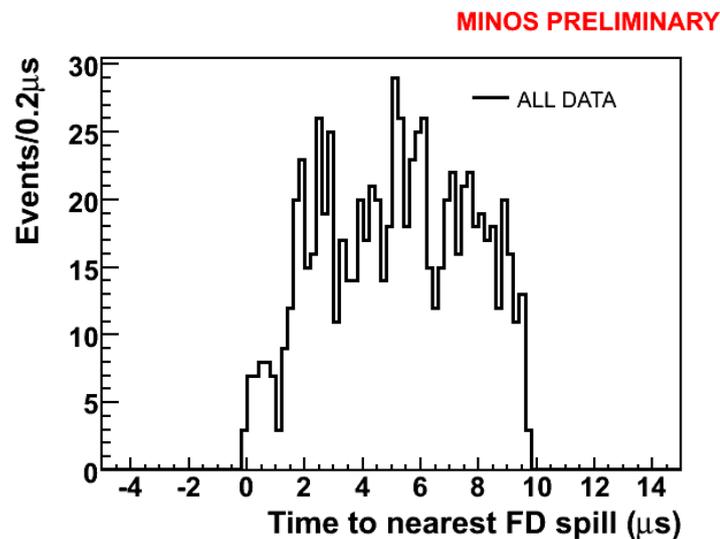
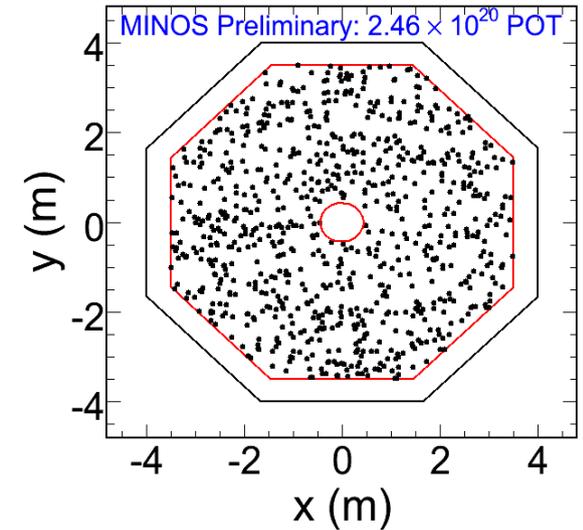
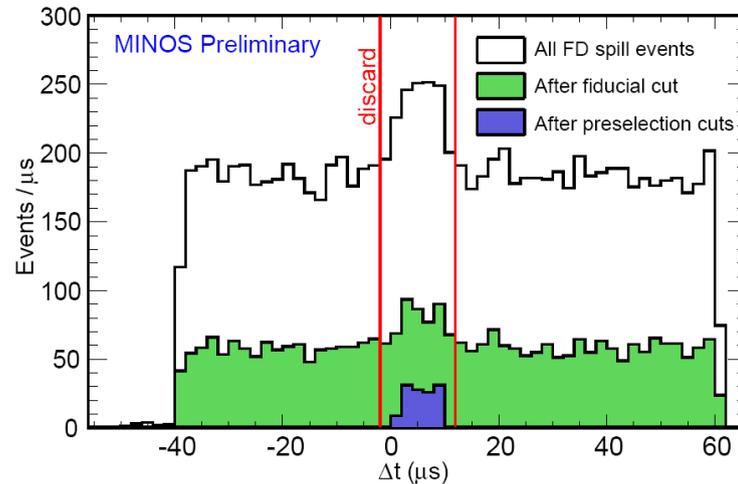


- Remove beam spills with "bad" beam properties: width and position at target, horn trips, etc
- Remove spills with bad detector state
- Selected spills are stable with time
- Energy peak in Run 2 is 7% lower due to change in target position



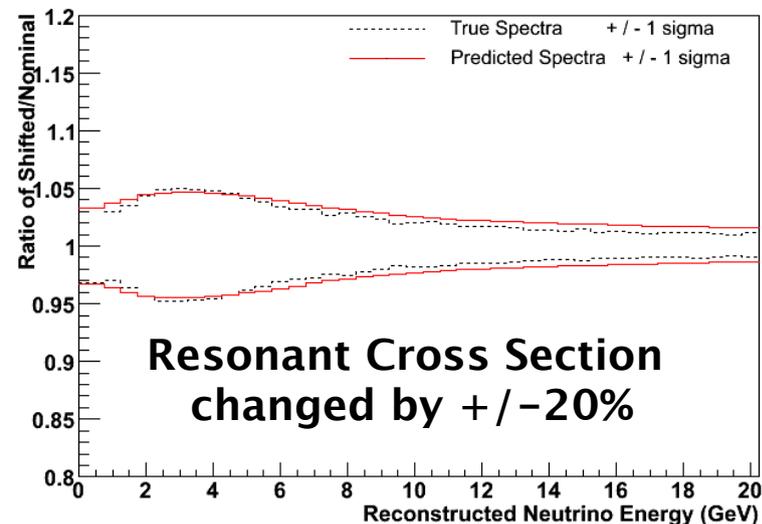
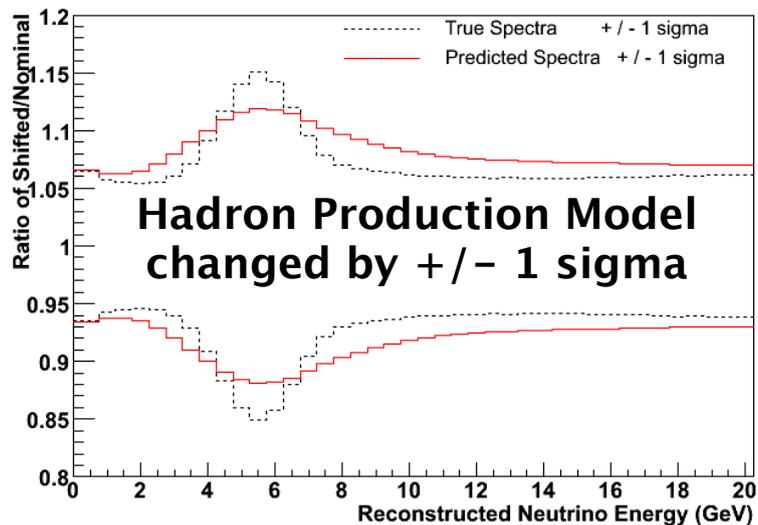
# Far detector events

- Trigger far detector with GPS timestamp sent over Internet
- Select far detector events in time with beam spill using GPS clocks at near and far detectors
- Cosmic induced backgrounds are negligible in both NC(top) and CC (bottom) selected samples — check with "fake" spill triggers

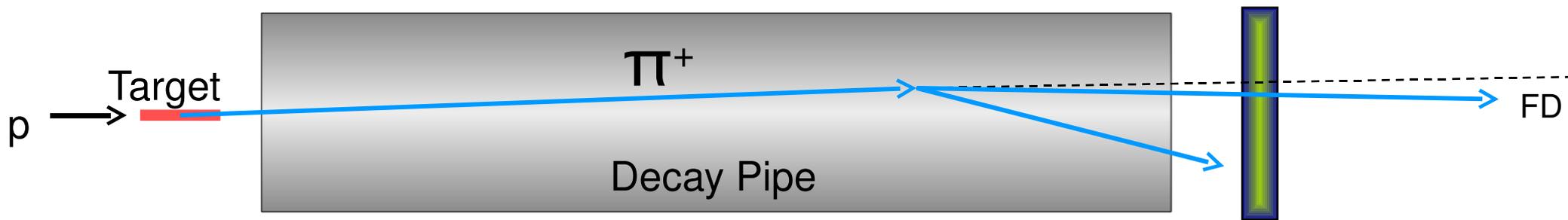


# CC analysis systematic errors

Uncertainty	Shift in $\Delta m^2$ ( $10^{-3} \text{ eV}^2$ )	Shift in $\sin^2(2\theta)$
Near/Far normalization $\pm 4\%$	0.065	$<0.005$
Absolute hadronic energy scale $\pm 10\%$	0.075	$<0.005$
NC contamination $\pm 50\%$	0.010	0.008
All other systematic uncertainties	0.041	$<0.005$
<b>Total systematic (summed in quadrature)</b>	<b>0.11</b>	<b>0.008</b>
<b>Statistical error (data)</b>	<b>0.17</b>	<b>0.080</b>

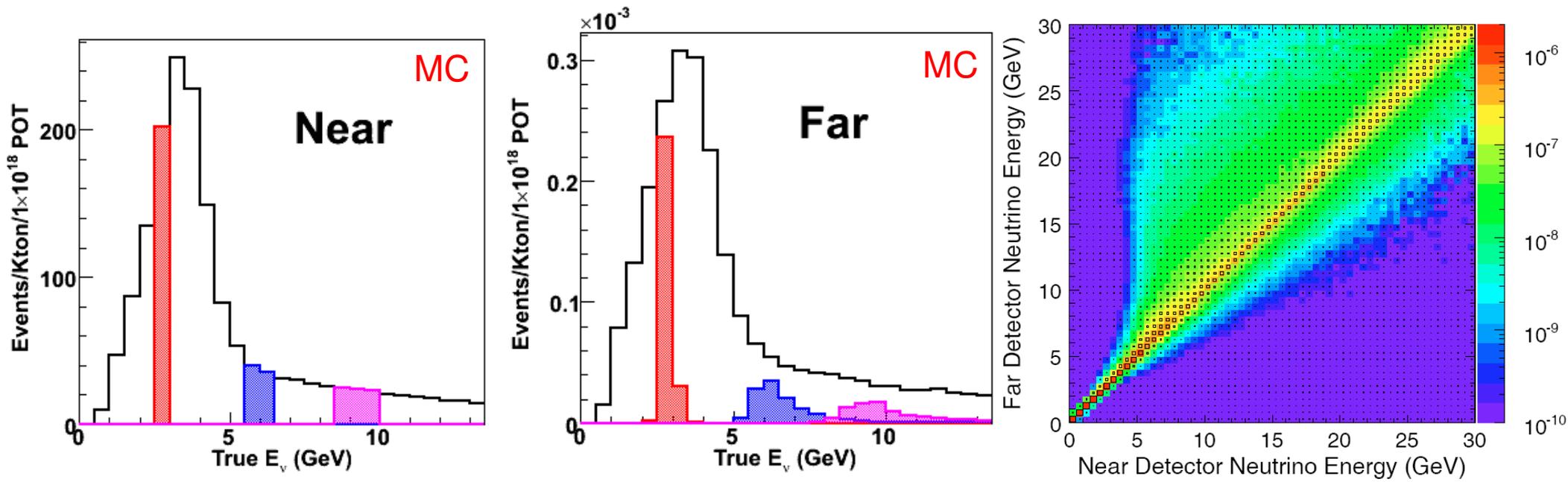


# Near to Far Extrapolation

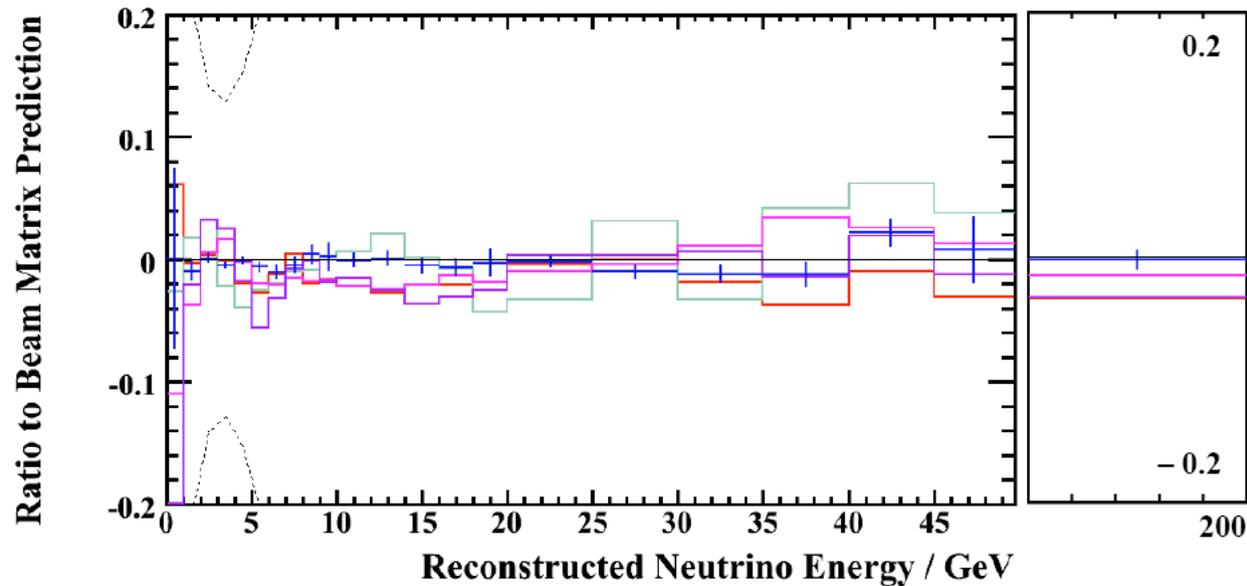
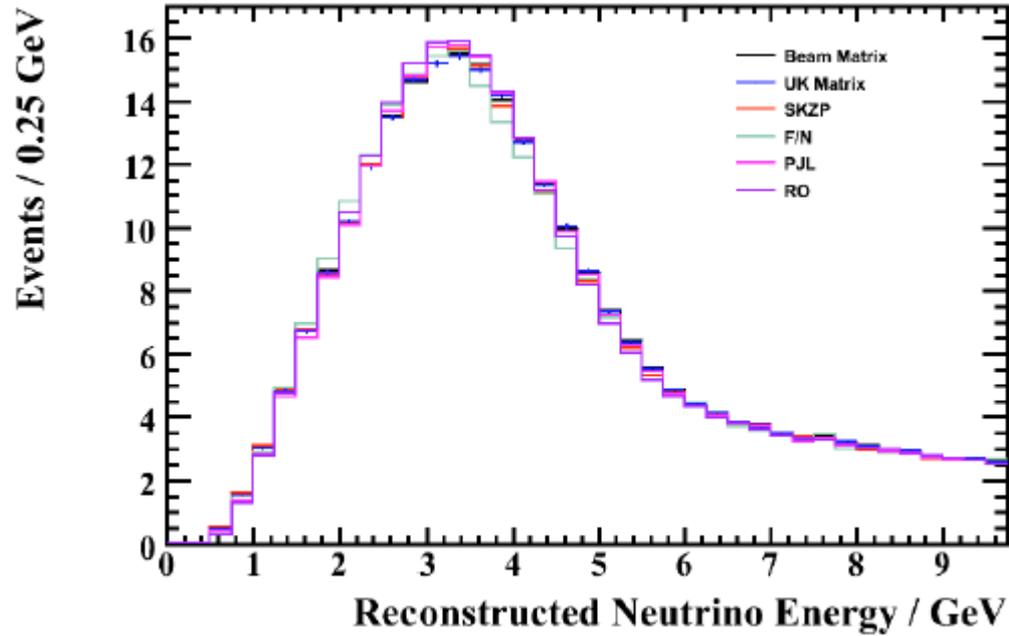


Start with near detector data & extrapolation to the far detector

- Use Monte Carlo to provide corrections due to energy smearing and acceptance
- Encode pion decay kinematics & the geometry of the beamline into a **matrix** used to transform the ND spectrum into the FD energy spectrum



# Many Extrapolations

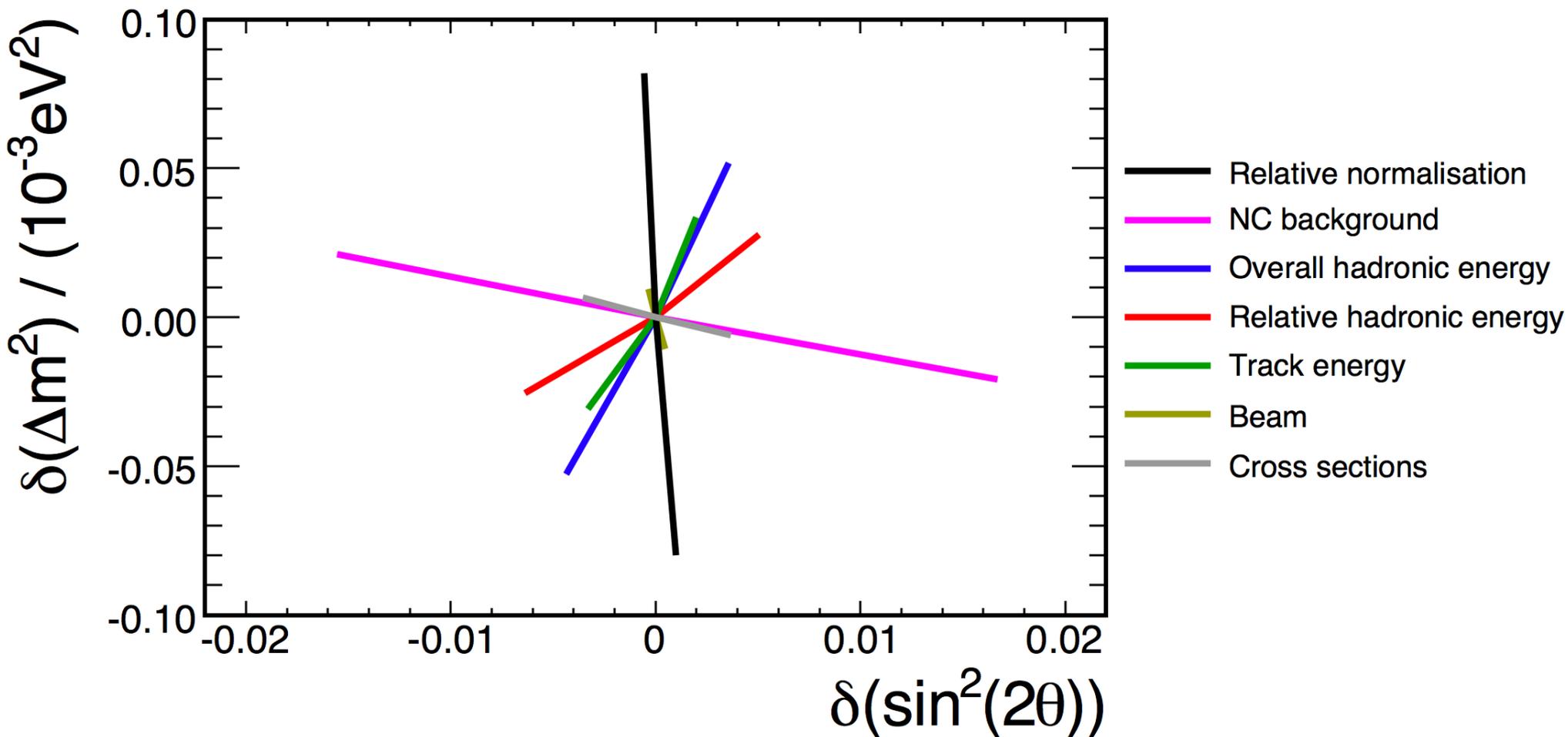


# CC Systematics

Uncertainty	$\Delta m^2$ ( $10^{-4}$ eV <sup>2</sup> )	$\sin^2 2\theta$
<b>Near/Far normalization <math>\pm 4\%</math></b>	<b>0.43</b>	<b>0.004</b>
Muon momentum scale (range $\pm 2\%$ , curvature $\pm 3\%$ )	0.32	0.004
<b>Absolute hadronic energy scale (<math>\pm 10.3\%</math>)</b>	<b>0.67</b>	<b>0.003</b>
Near/Far shower energy scale $\pm 3.3\%$	0.35	0.006
<b>NC contamination <math>\pm 50\%</math></b>	<b>0.20</b>	<b>0.017</b>
CC cross-section uncertainties	0.12	0.004
Beam uncertainties	0.25	0.005
Total Systematic (summed in quadrature)	0.96	0.019
Expected Statistical sensitivity	1.9	0.09

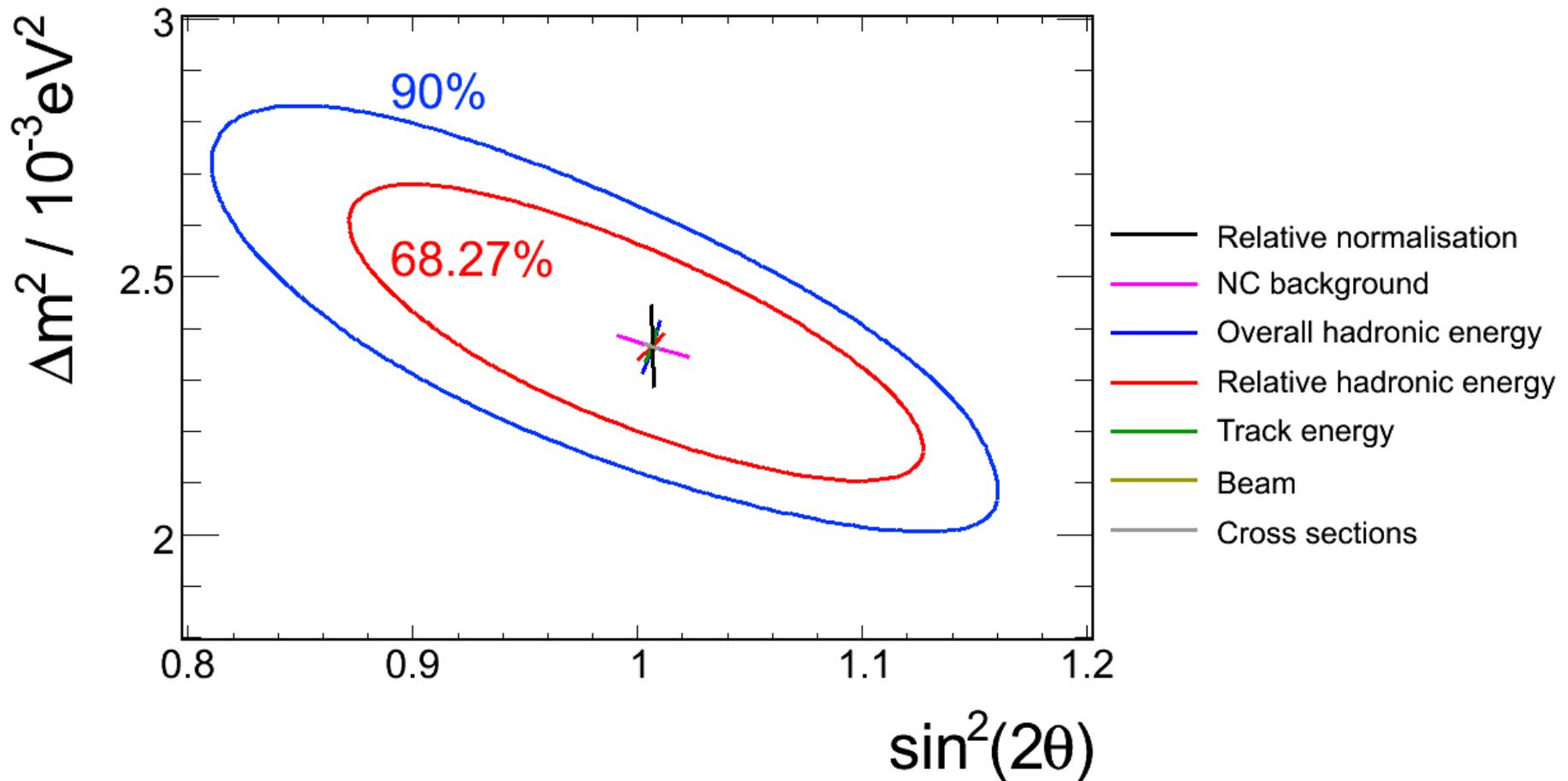
# 2008 CC result

Example of the impact of different sources of systematic uncertainty were evaluated by fitting modified MC in place of the data:

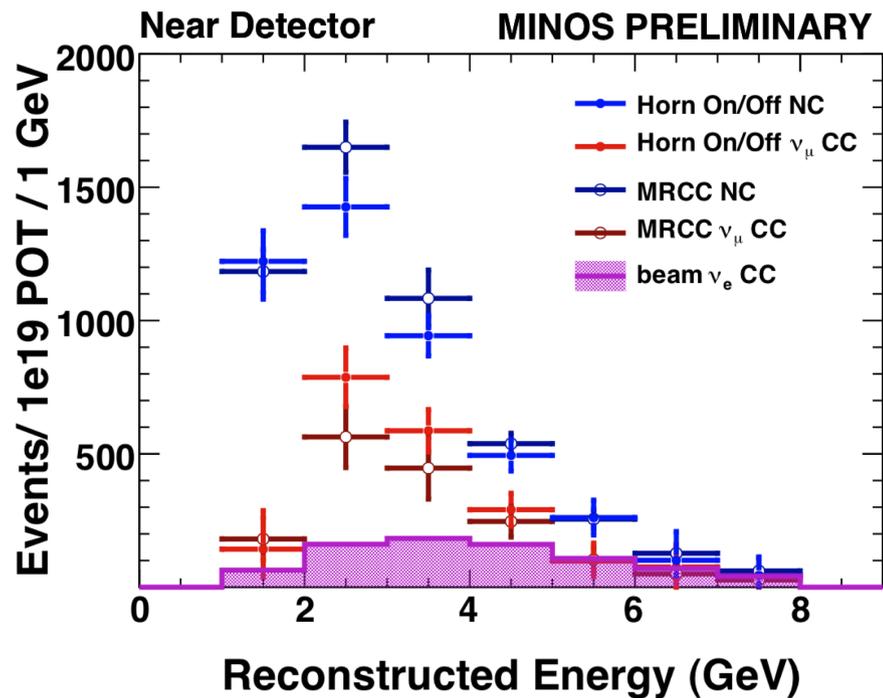


# 2008 CC result

Example of the impact of different sources of systematic uncertainty were evaluated by fitting modified MC in place of the data:



# MINOS $\nu_e$ appearance



- Estimate two background components: NC and  $CC\nu_\mu$
- Two independent methods use near detector data events and agree with each other:

- Muon removed events
- Horn ON/Horn OFF beams

